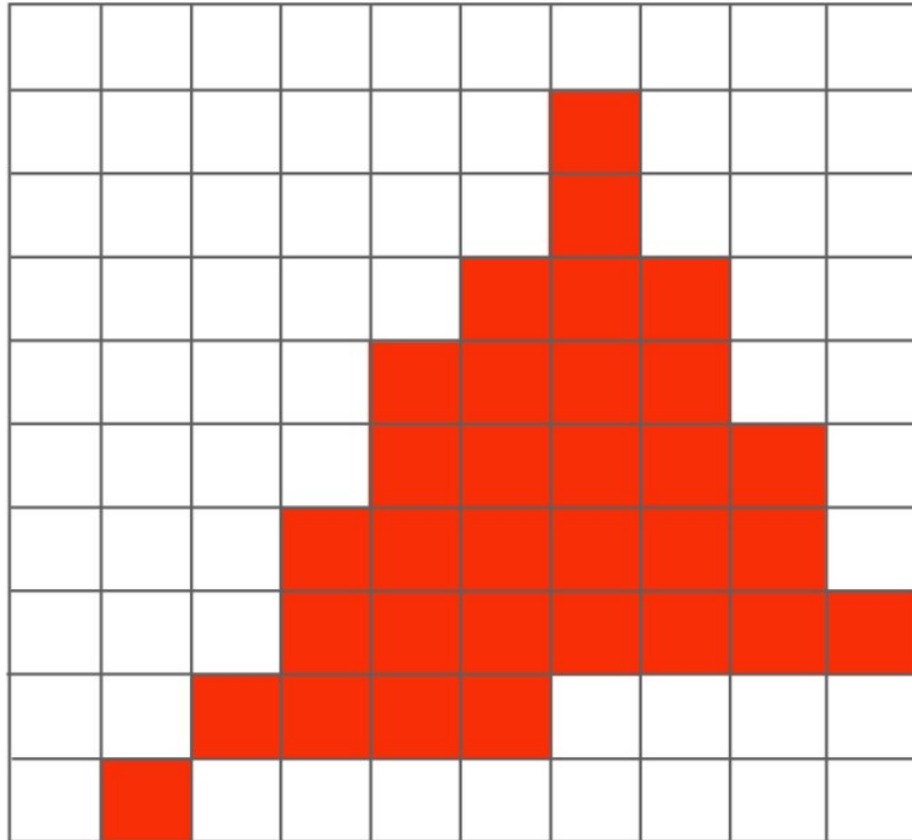


# Sampling Theory - CSEI 60 – Nov 24

- What aliasing looks like
- Sampling a function
- Reconstructing a function
- Supersampling
- Representing a function as sines and cosines
- Filtering (frequency domain)
- Pre-filtering for anti-aliasing
- Convolution Theorem
- Administrative
- Q&A
- (last time ended 20 min early)

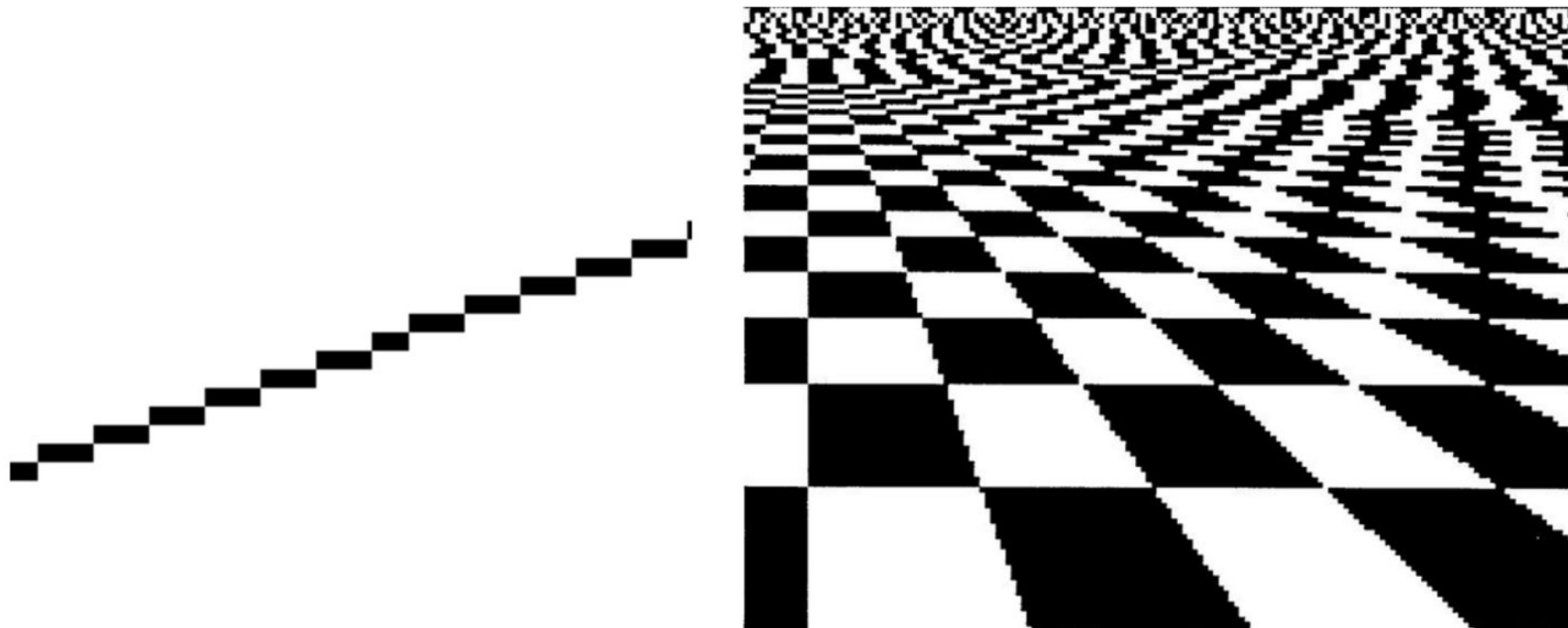
**What aliasing looks like**

# What's wrong with this picture?



**Jaggies!**

# Jaggies (staircase pattern)

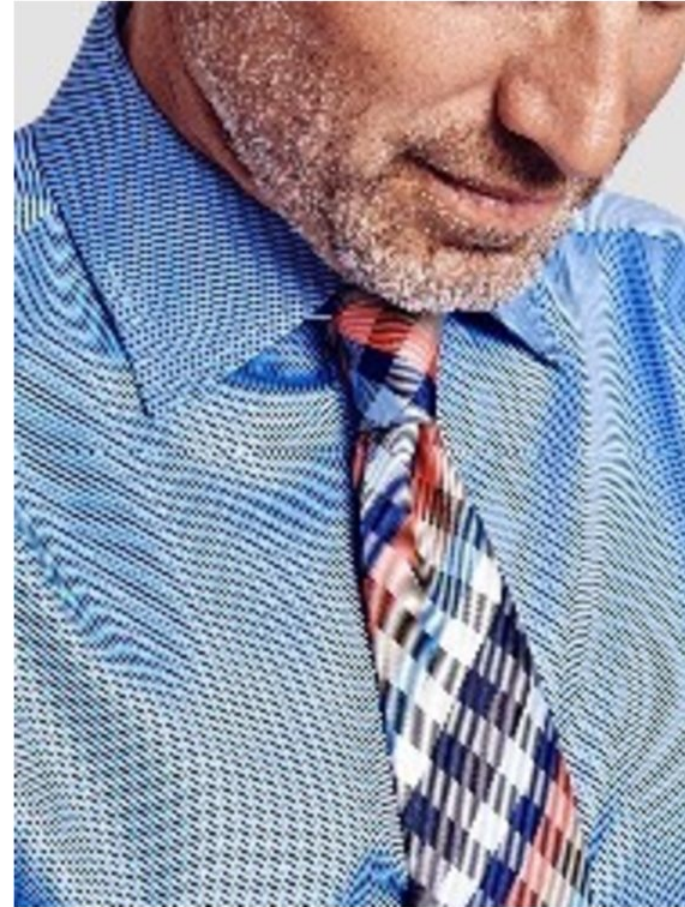




# Moiré patterns in imaging



**Full resolution image**



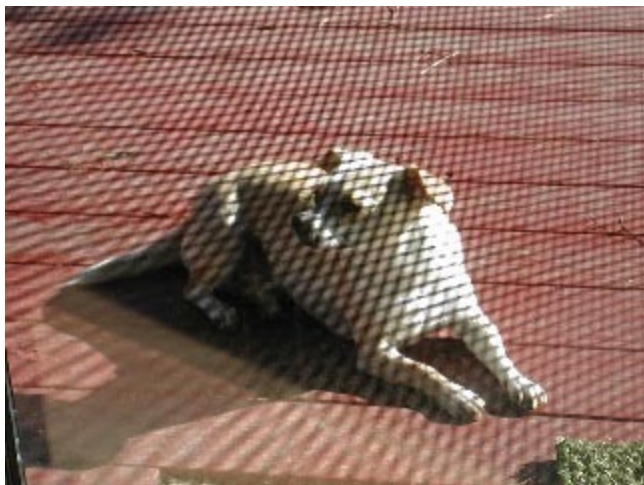
**1/2 resolution image:  
skip pixel odd rows and columns**

lyst.it.com

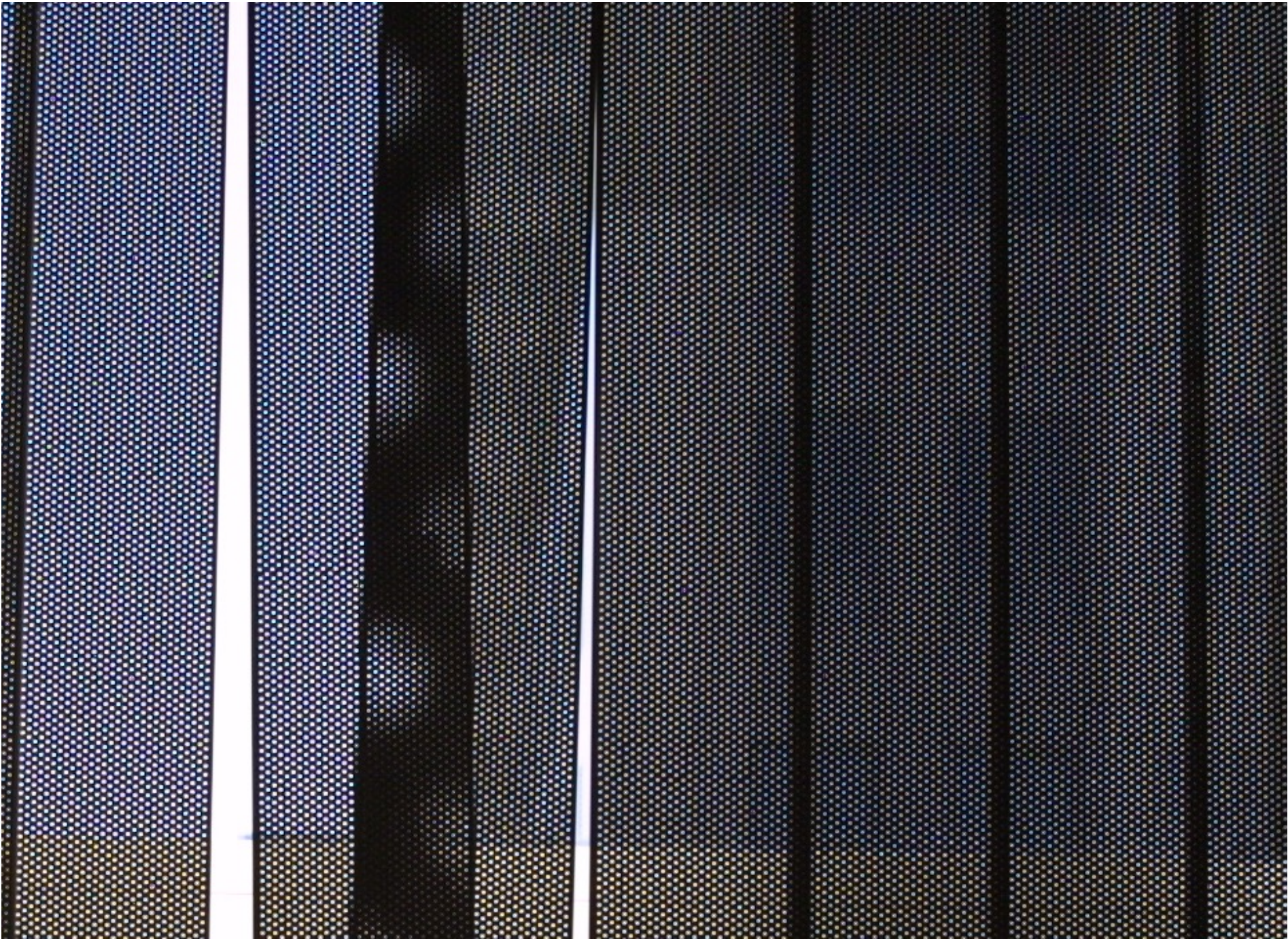














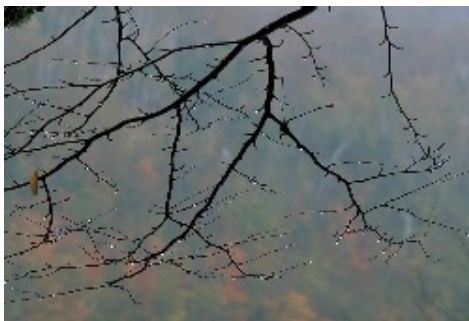


1000 pixel width

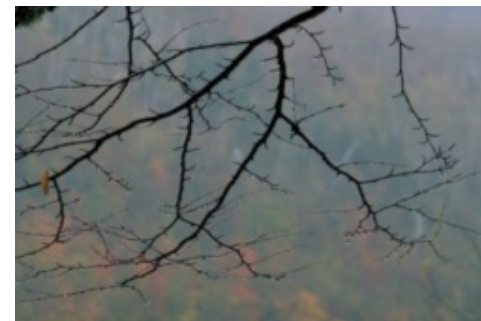
[Philip Greenspun]



[Philip Greenspun]



by dropping pixels



gaussian filter

250 pixel width

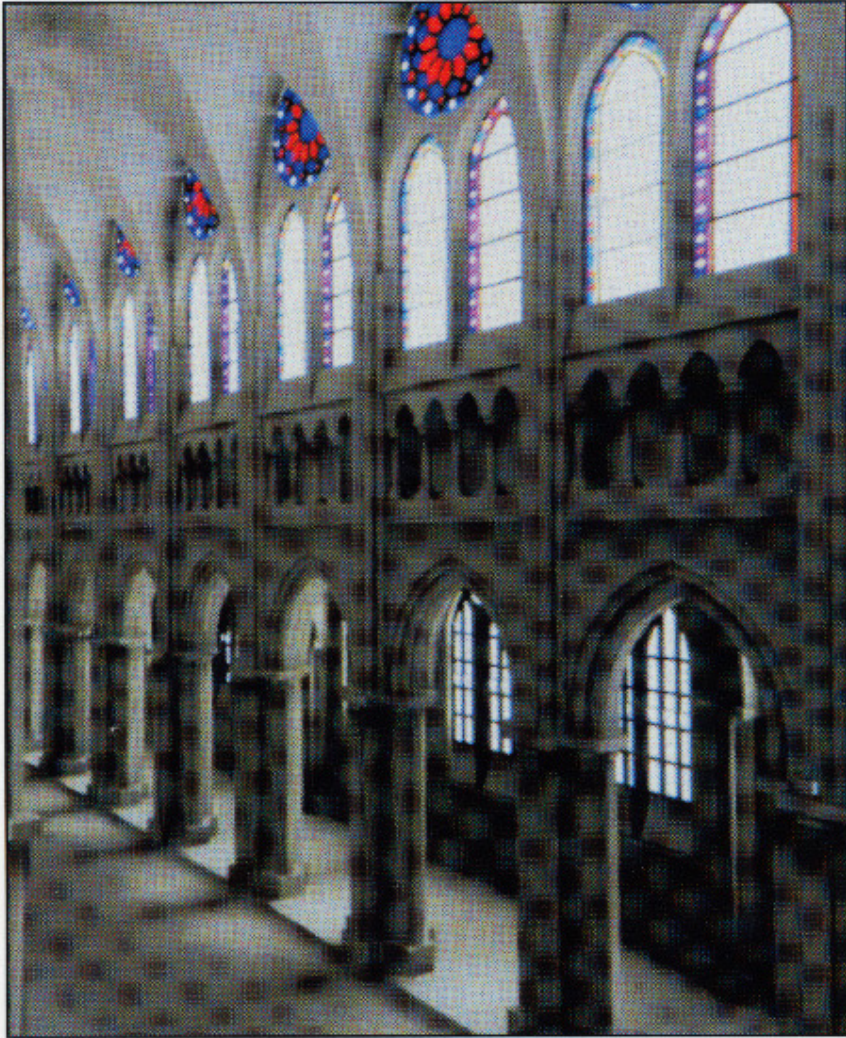




[Hearn & Baker cover]

600ppi scan of a color halftone image





by dropping pixels



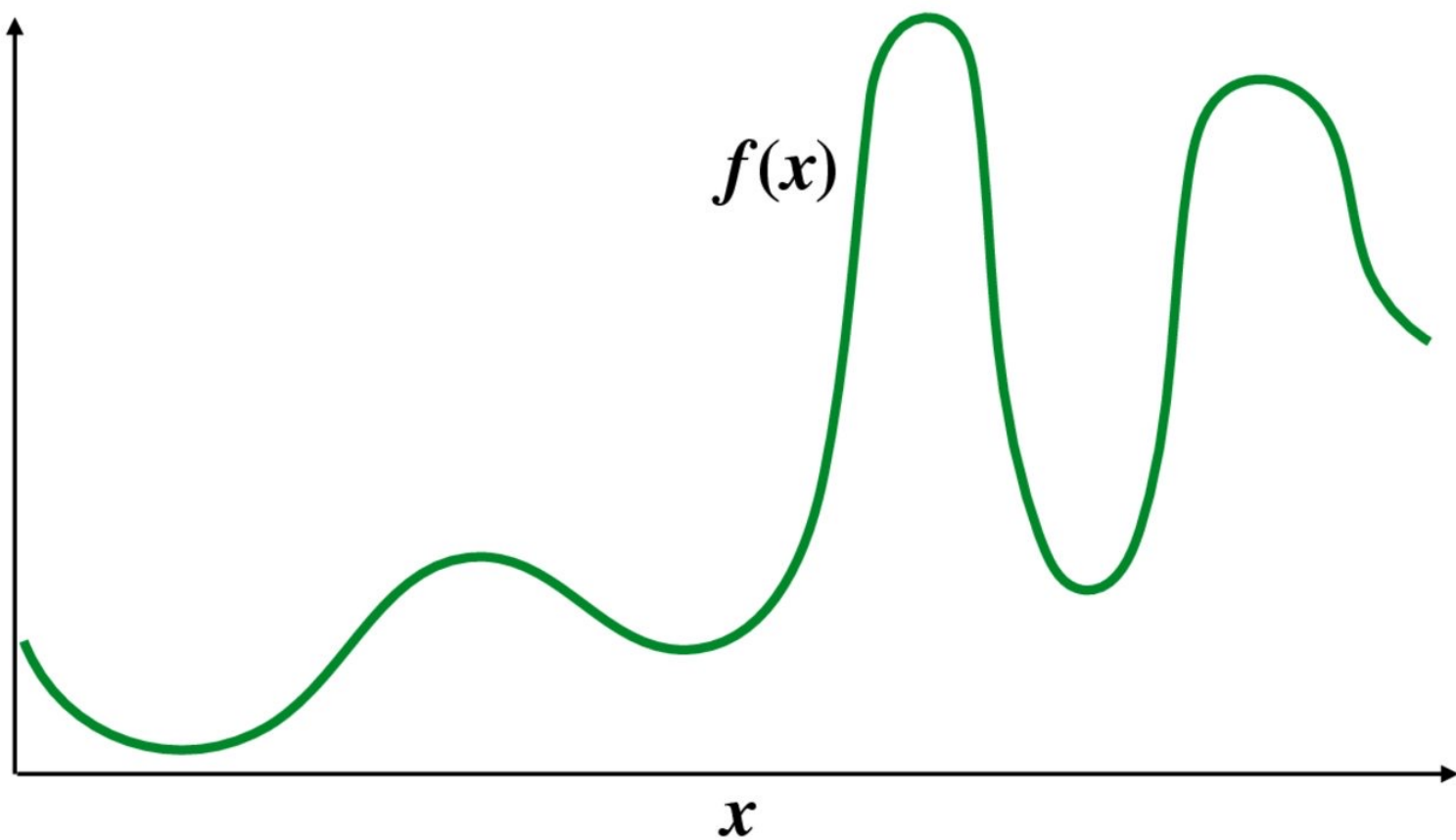
gaussian filter

downsampling a high resolution scan

[Hearn & Baker cover]

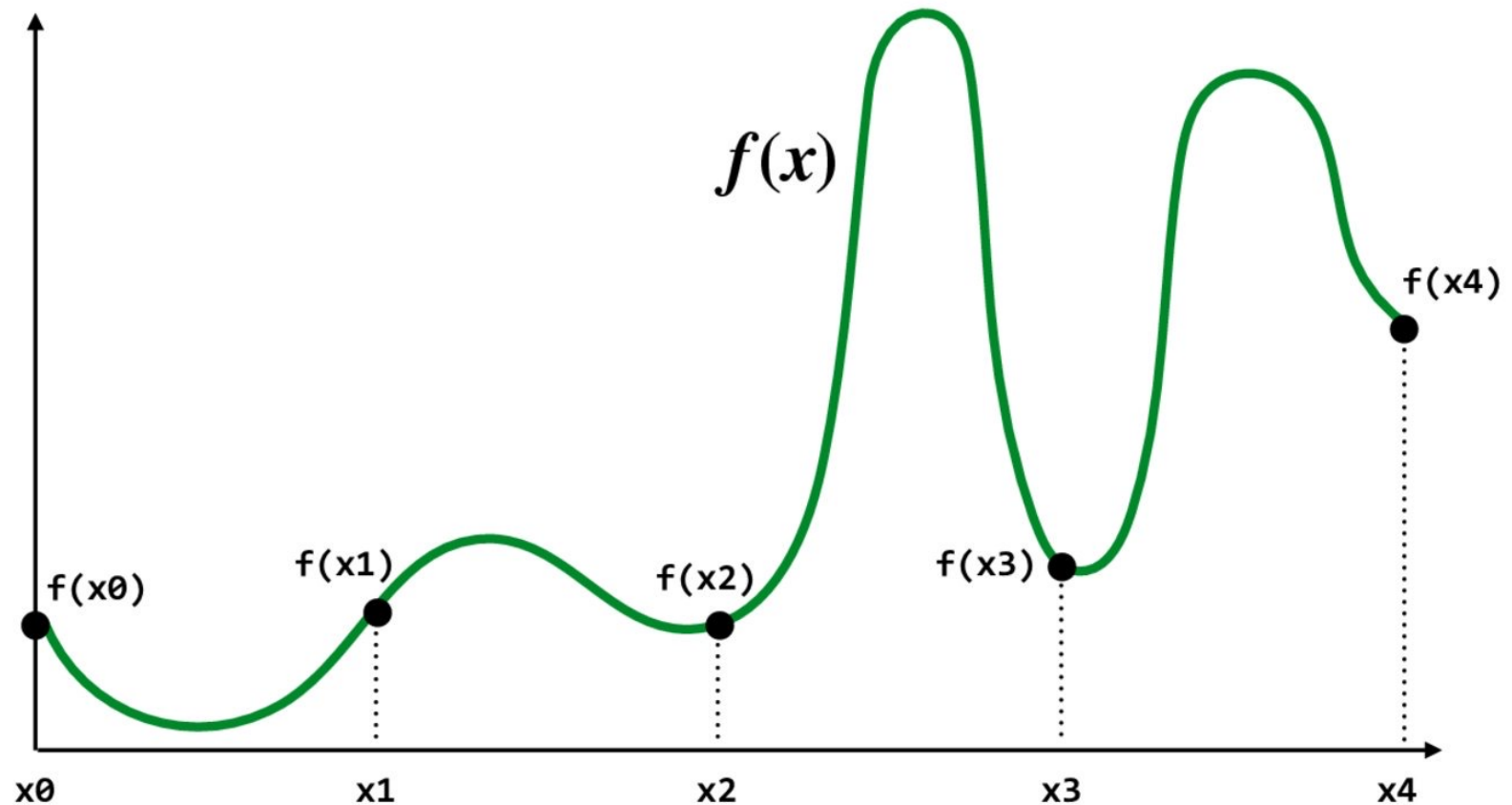
# Sampling a function

# Consider a 1D signal: $f(x)$



# Sampling: taking measurements of a signal

Below: five measurements ("samples") of  $f(x)$



# Audio file: stores samples of a 1D signal

Audio is often sampled at 44.1 KHz





# Sampling a function

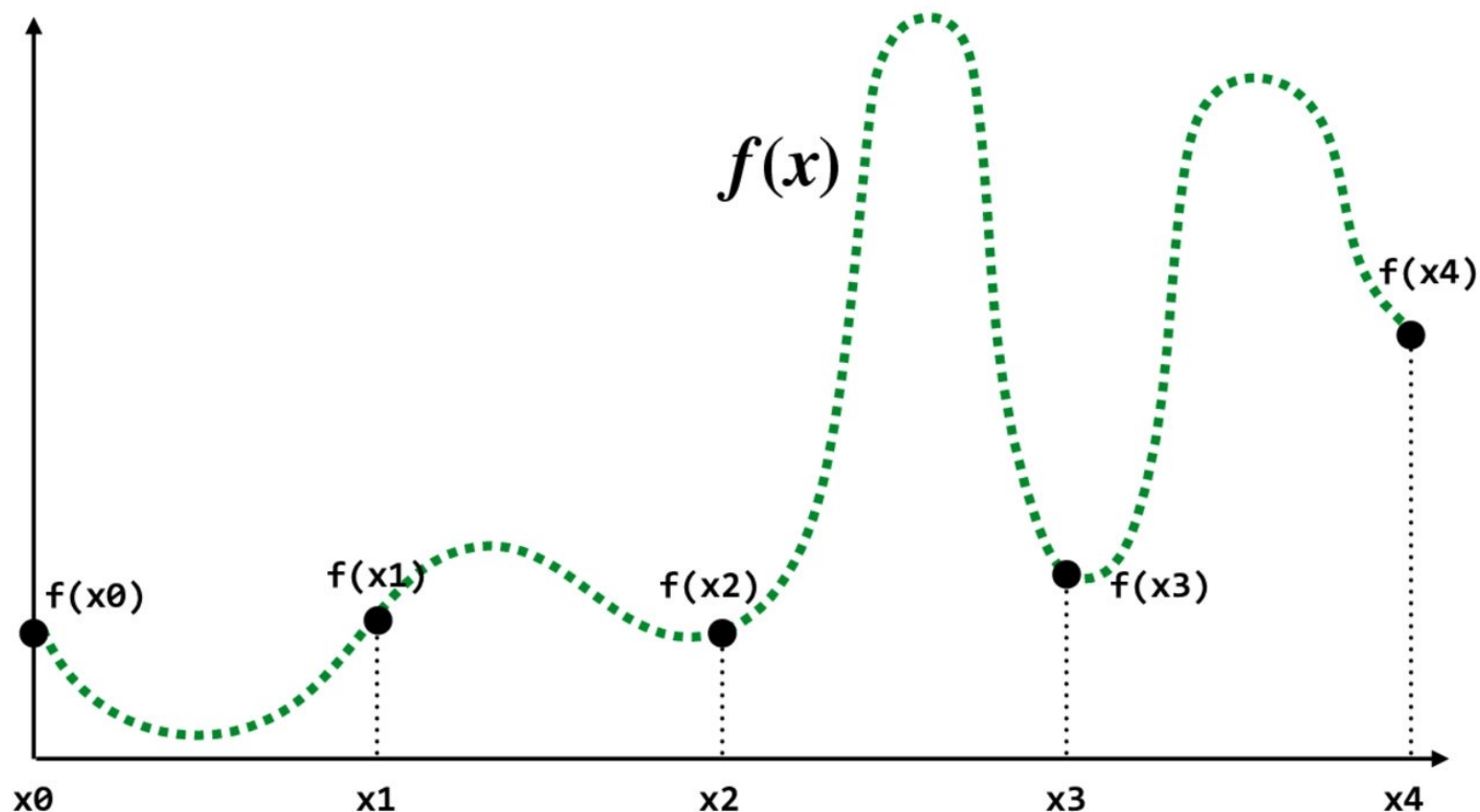
- Evaluating a function at a point is sampling the function's value
- We can discretize a function by periodic sampling

```
for(int x = 0; x < xmax; x++)  
    output[x] = f(x);
```

- Sampling is a core idea in graphics. In this class we'll sample time (1D), area (2D), angle (2D), volume (3D), etc ...

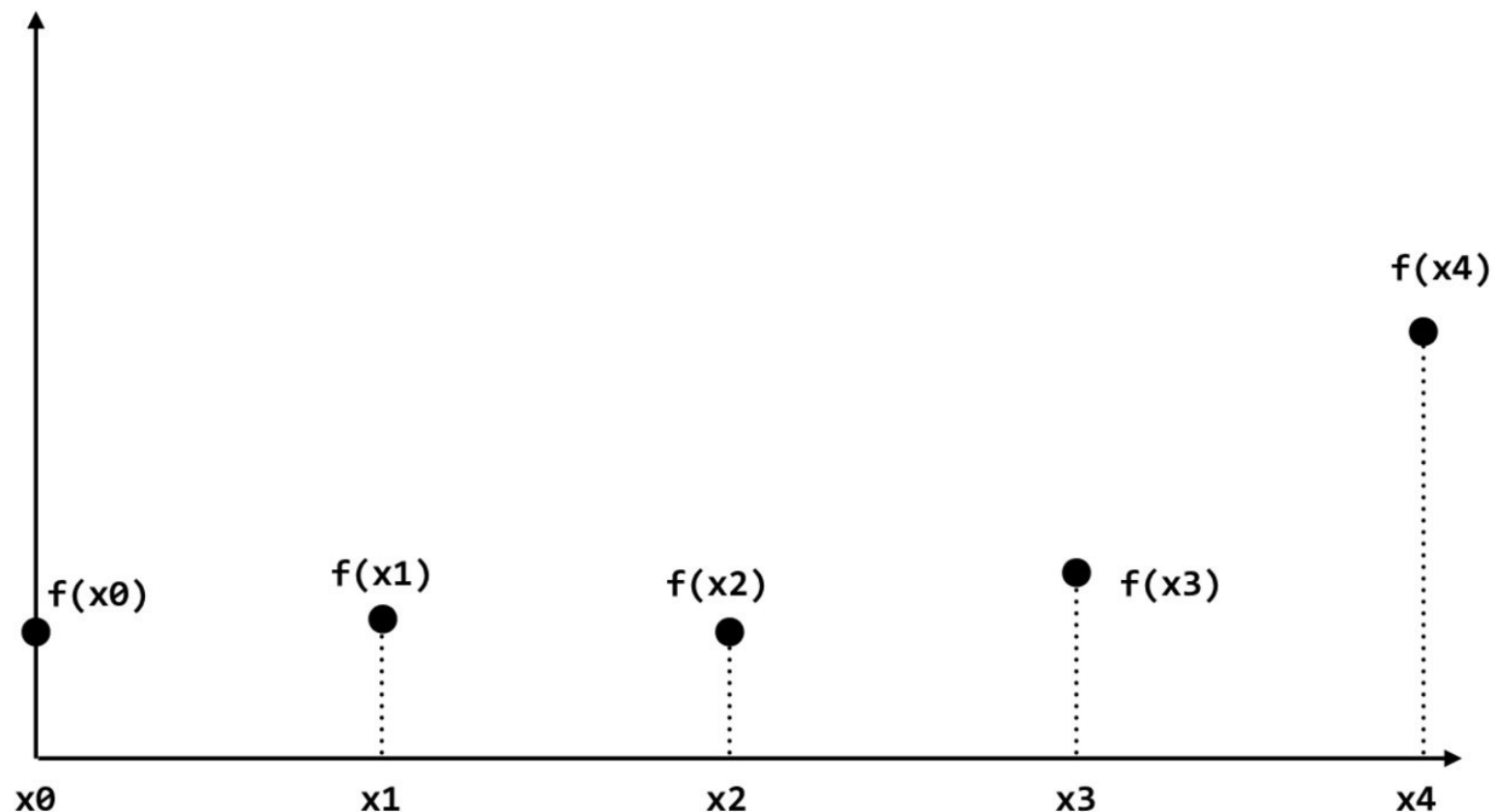
# Reconstructing a function

**Reconstruction: given a set of samples, how might we attempt to reconstruct the original signal  $f(x)$ ?**





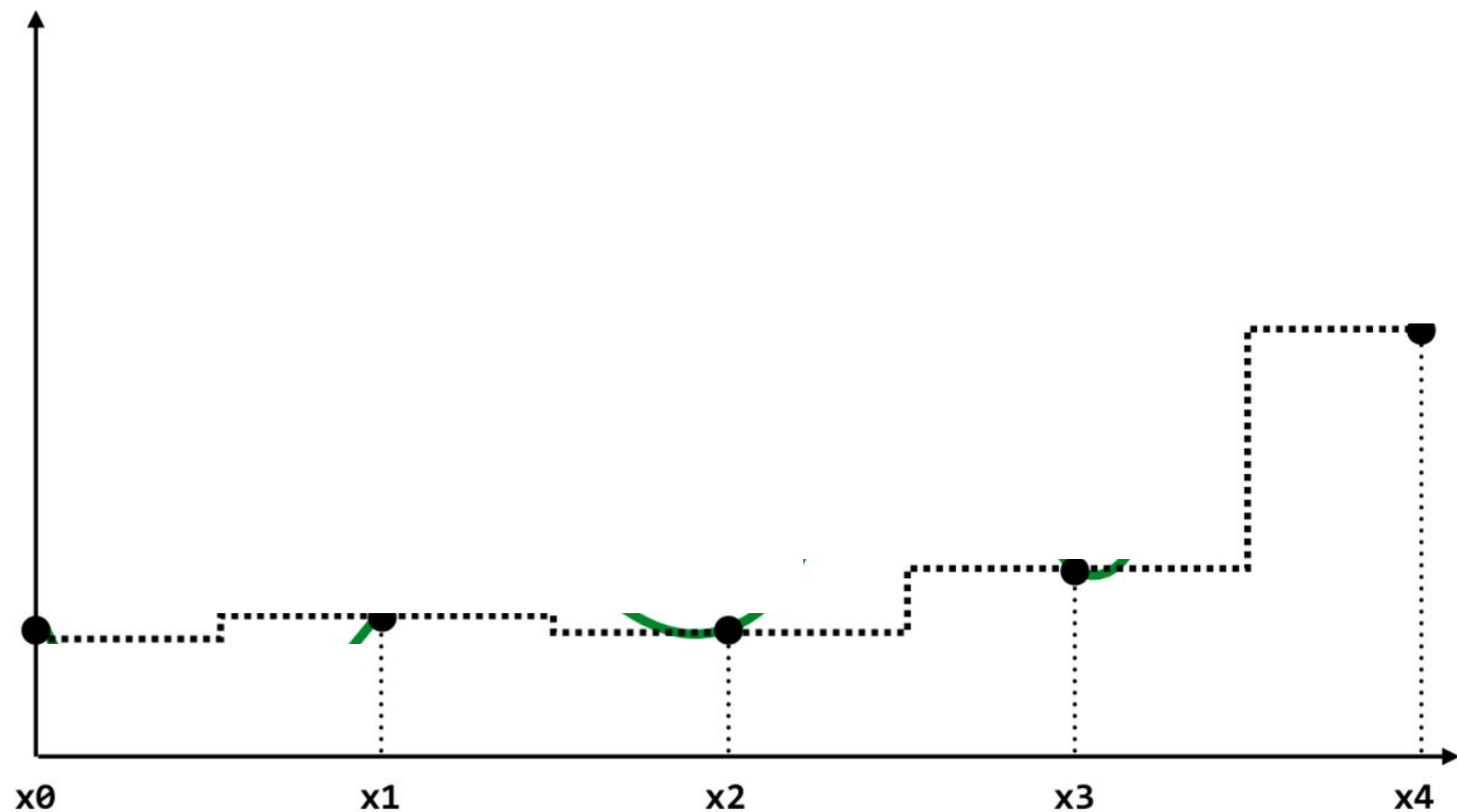
**Reconstruction: given a set of samples, how might we attempt to reconstruct the original signal  $f(x)$ ?**



# Piecewise constant approximation

$f_{recon}(x)$  = value of sample closest to  $x$

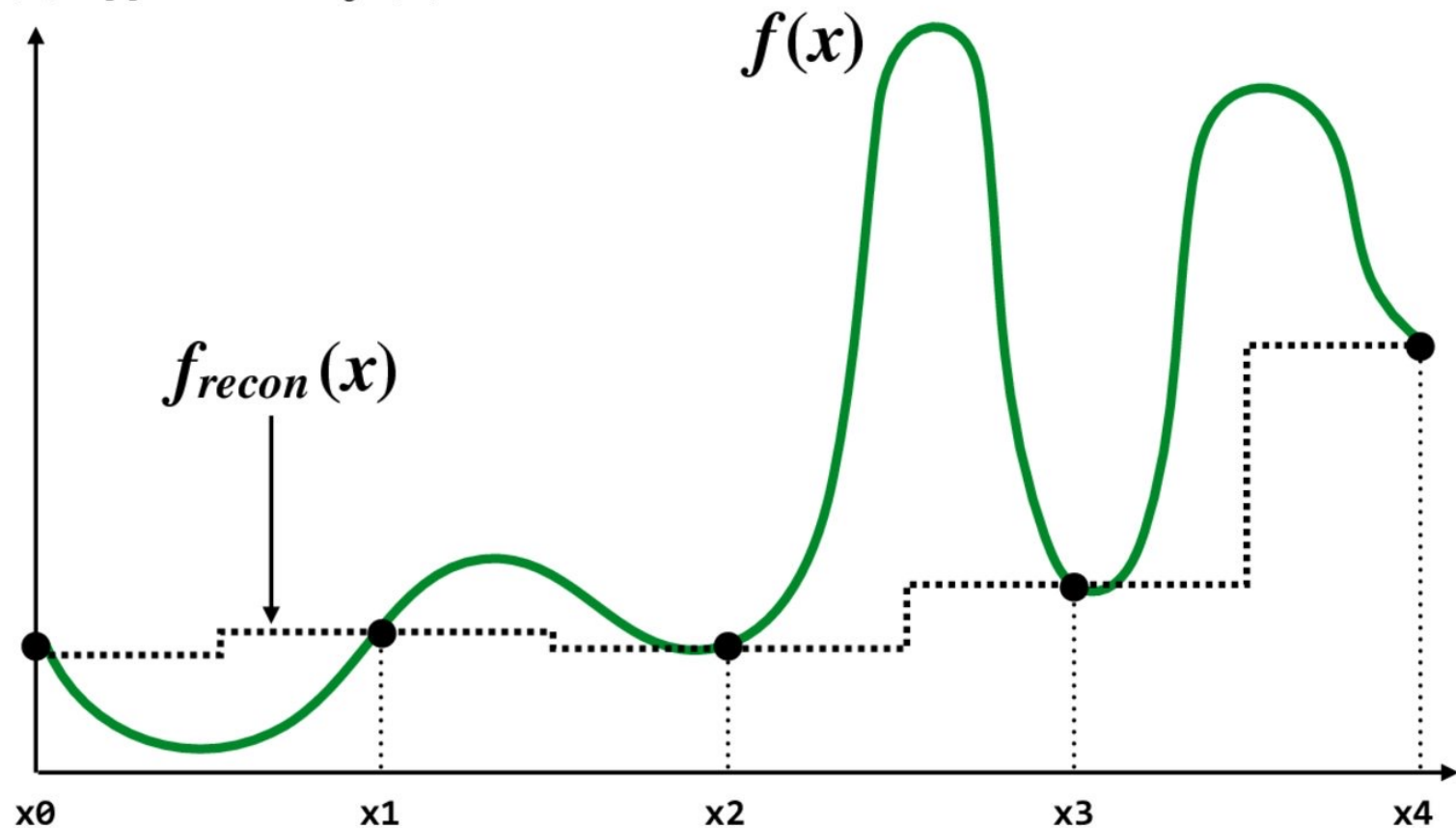
$f_{recon}(x)$  approximates  $f(x)$



# Piecewise constant approximation

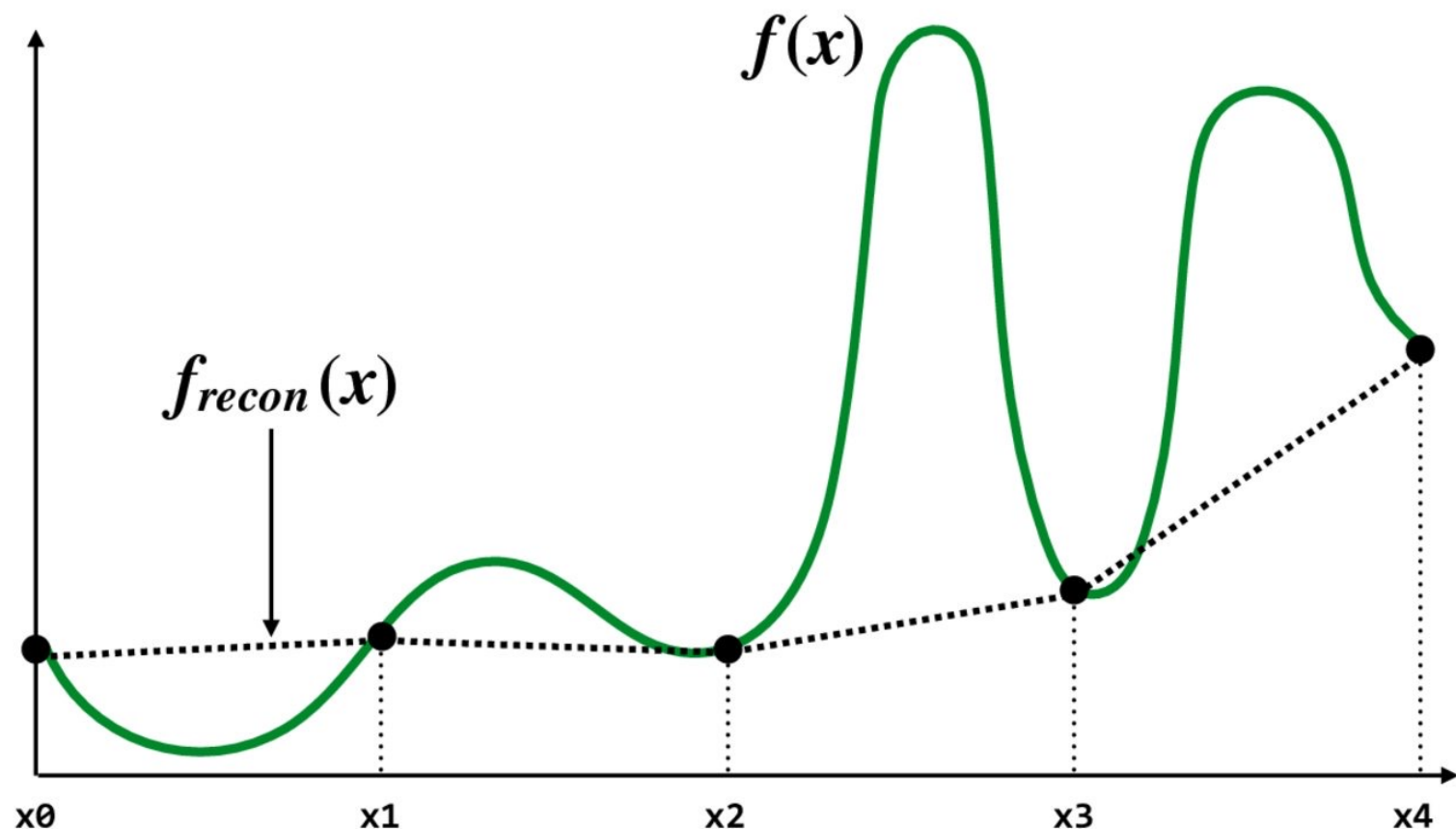
$f_{recon}(x)$  = value of sample closest to  $x$

$f_{recon}(x)$  approximates  $f(x)$

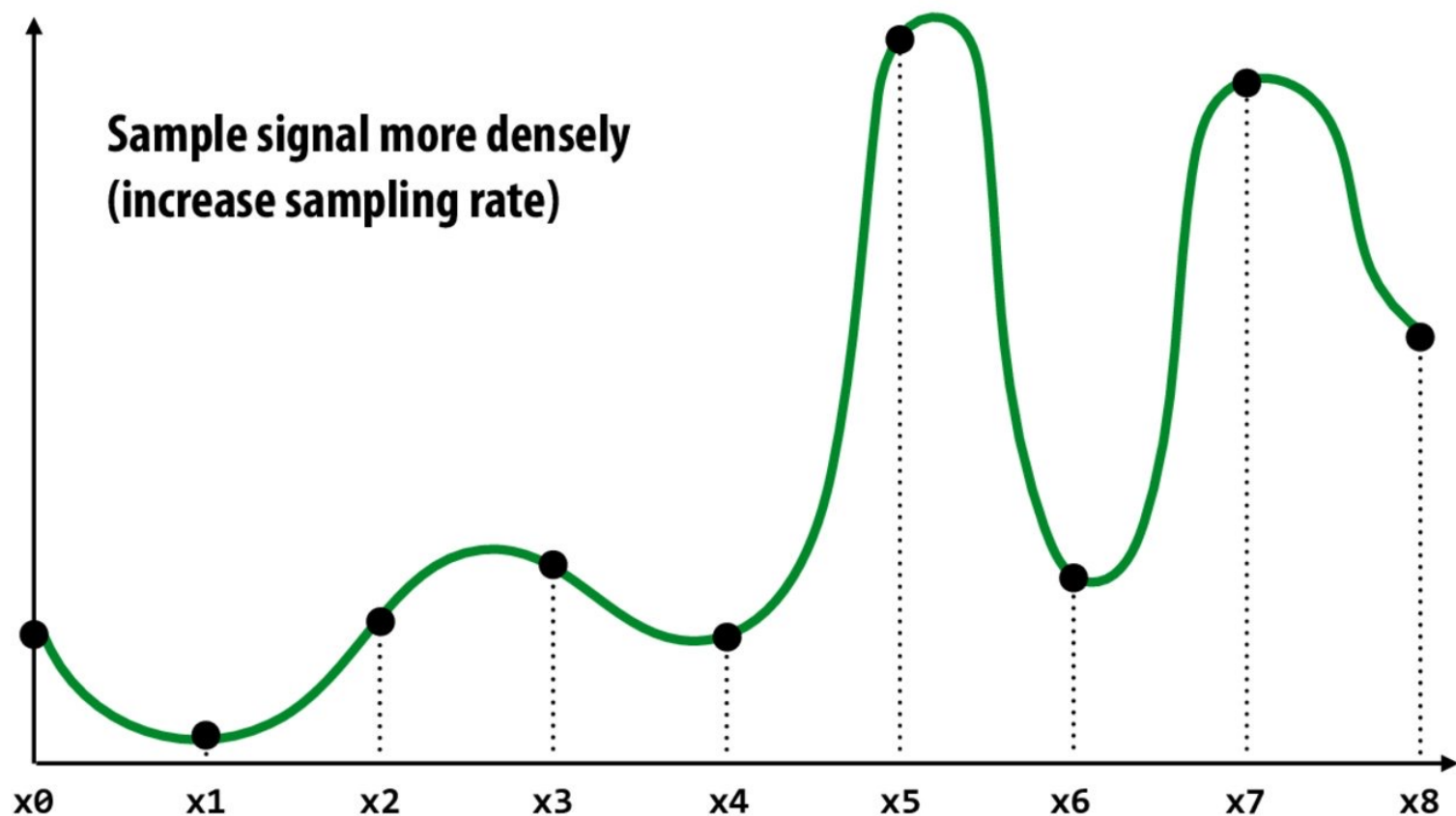


# Piecewise linear approximation

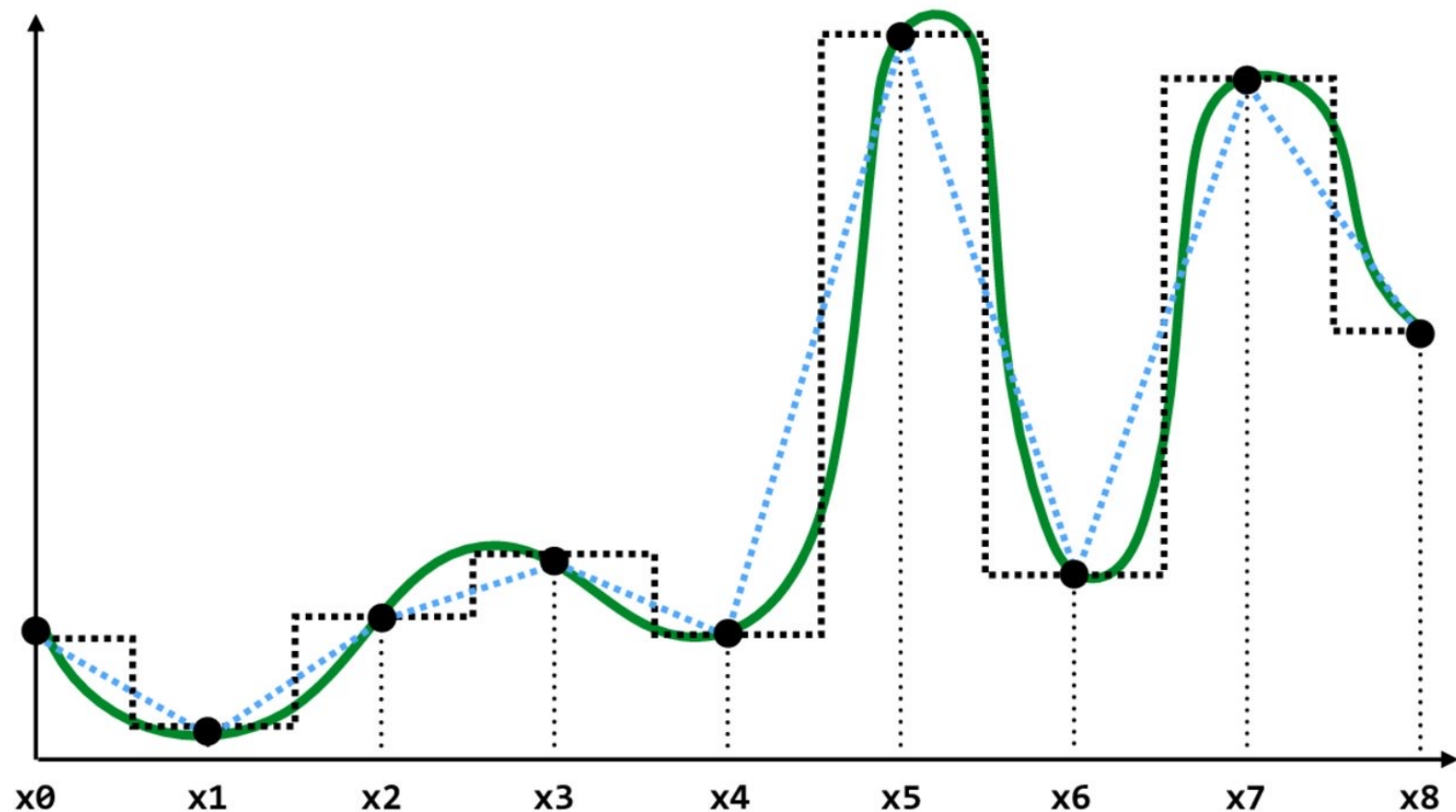
$f_{recon}(x)$  = linear interpolation between values of two closest samples to  $x$



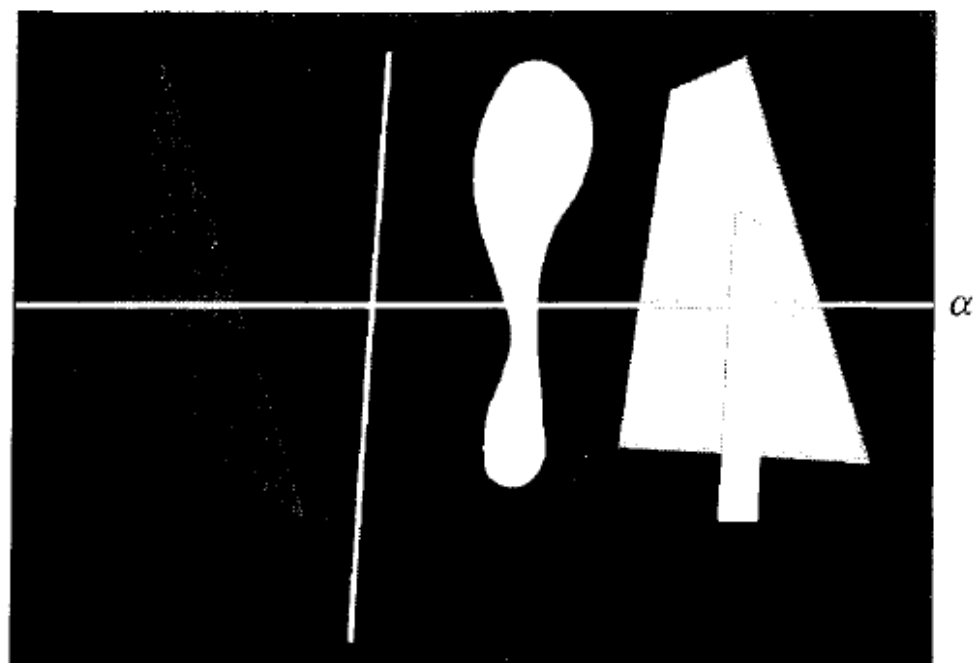
# How can we represent the signal more accurately?



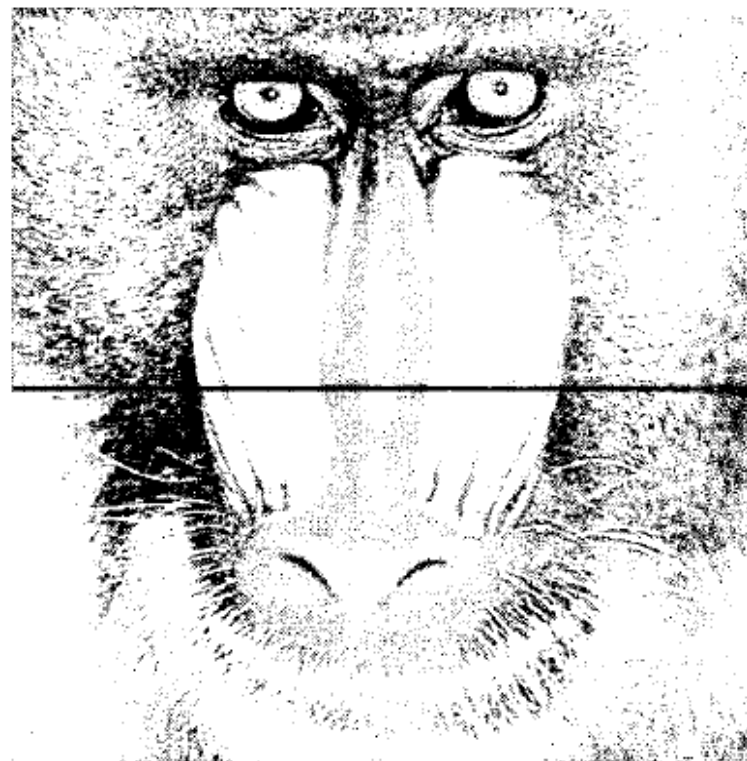
# More accurate reconstructions result from denser sampling



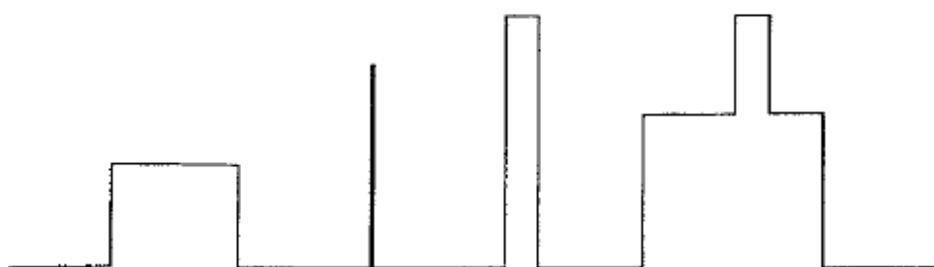
- ..... = reconstruction via nearest neighbor
- ..... = reconstruction via linear interpolation



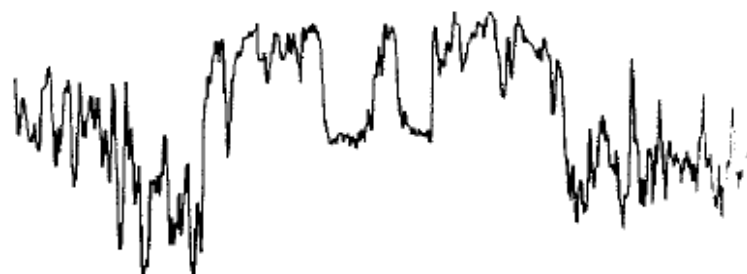
(a)



(b)

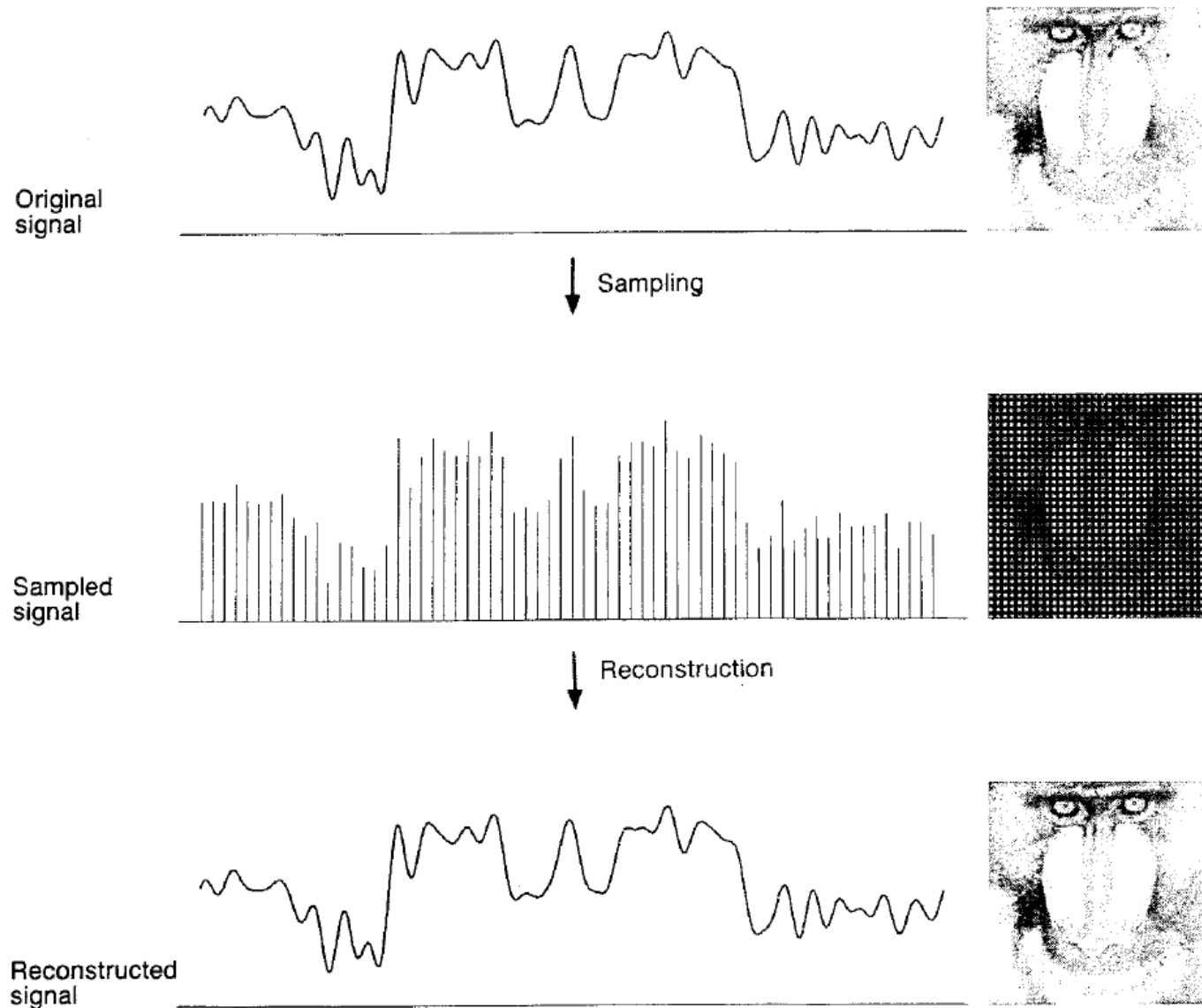


(c)



(d)

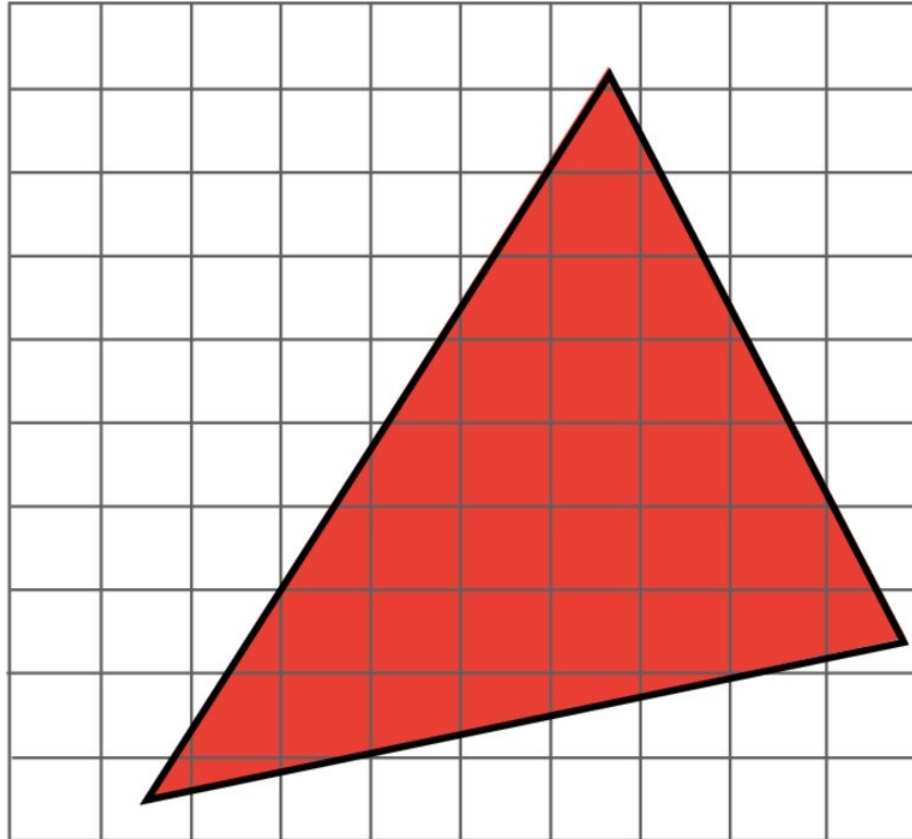
**Fig. 14.8** Image. (a) Graphical primitives. (b) Mandrill. (c) Intensity plot of scan line  $\alpha$  in (a). (d) Intensity plot of scan line  $\alpha$  in (b). (Part d is courtesy of George Wolberg, Columbia University.)



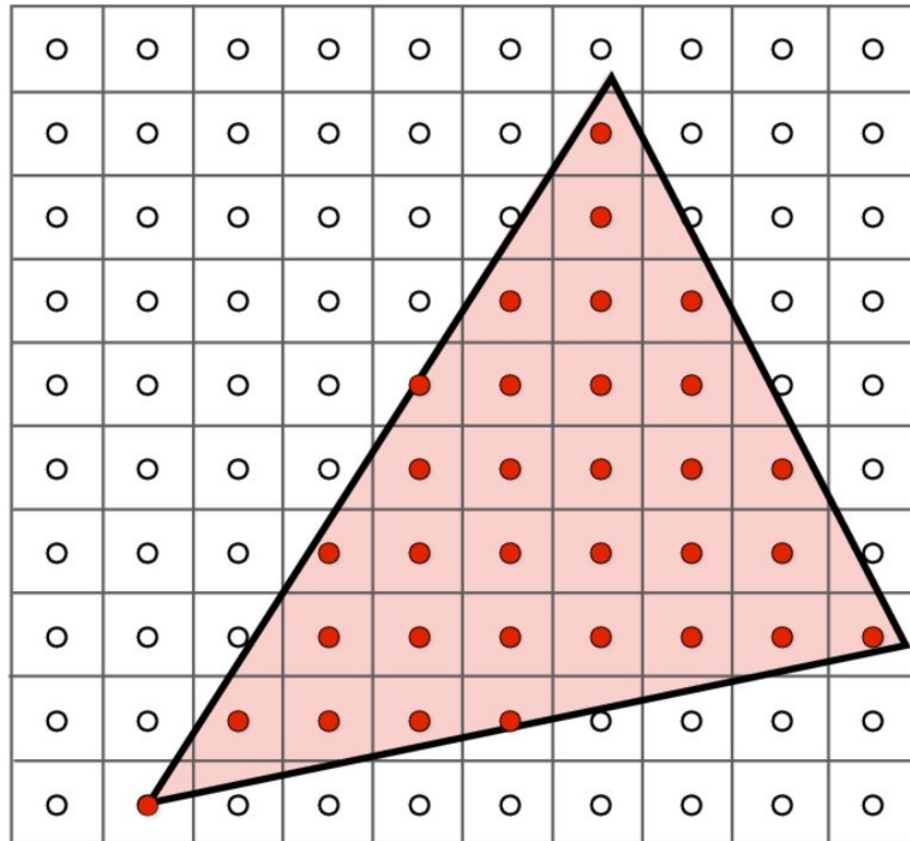
**Fig. 14.9** The original signal is sampled, and the samples are used to reconstruct the signal. (Sampled 2D image is an approximation, since point samples have no area.) (Courtesy of George Wolberg, Columbia University.)



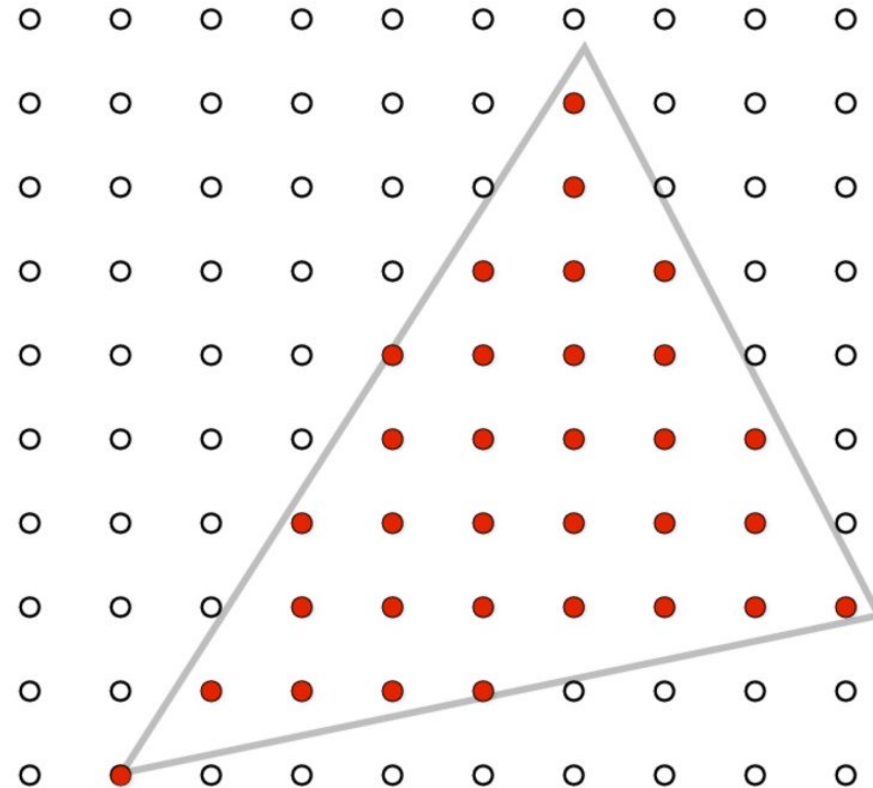
# Drawing a triangle by 2D sampling



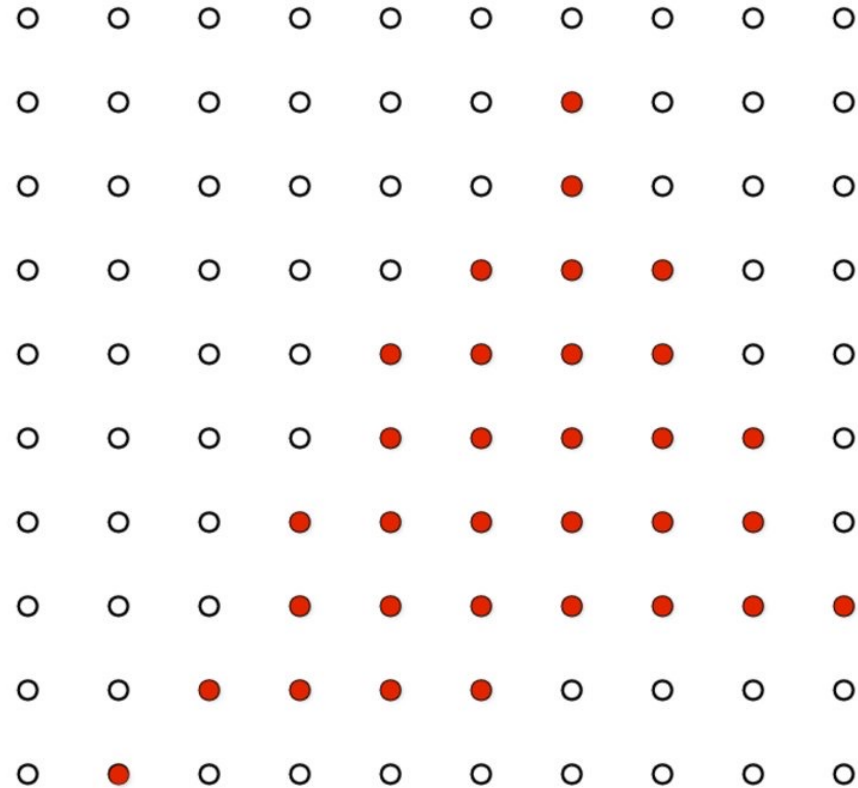
# Sample coverage at pixel centers



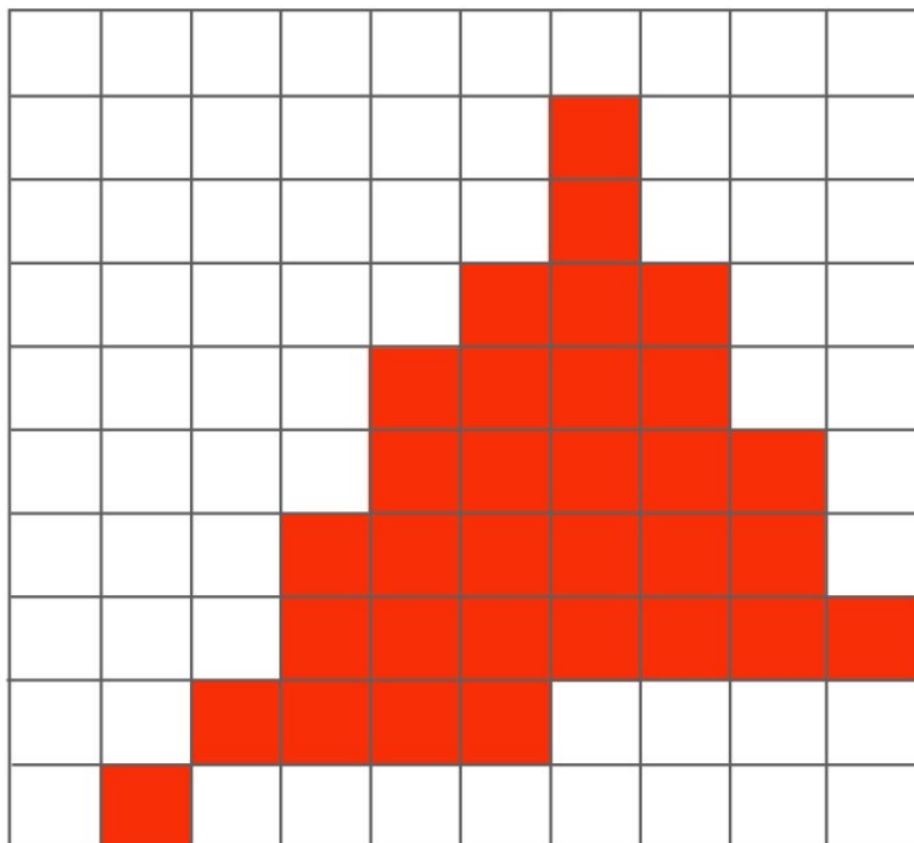
# Sample coverage at pixel centers



# So, if we send the display this sampled signal

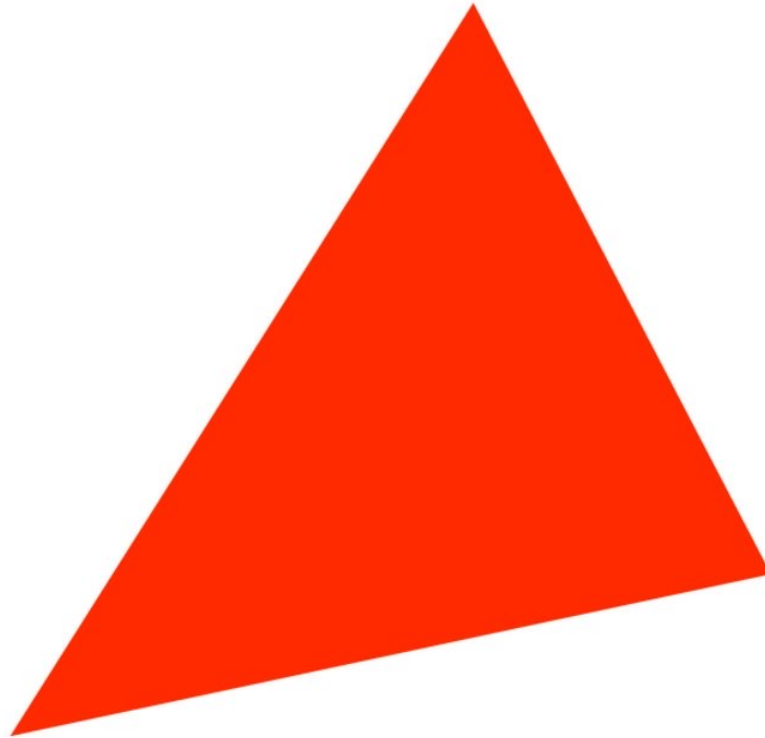


# The display physically emits this signal



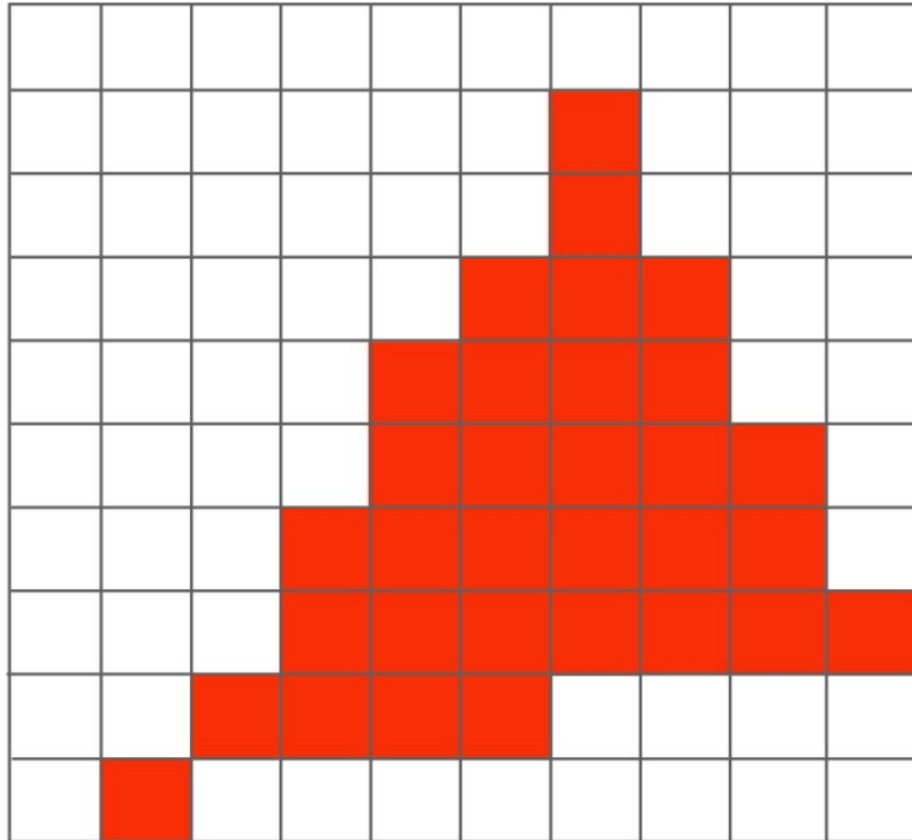
**Given our simplified “square pixel” display assumption, we’ve effectively performed a piecewise constant reconstruction**

# Compare: the continuous triangle function



# Super-sampling

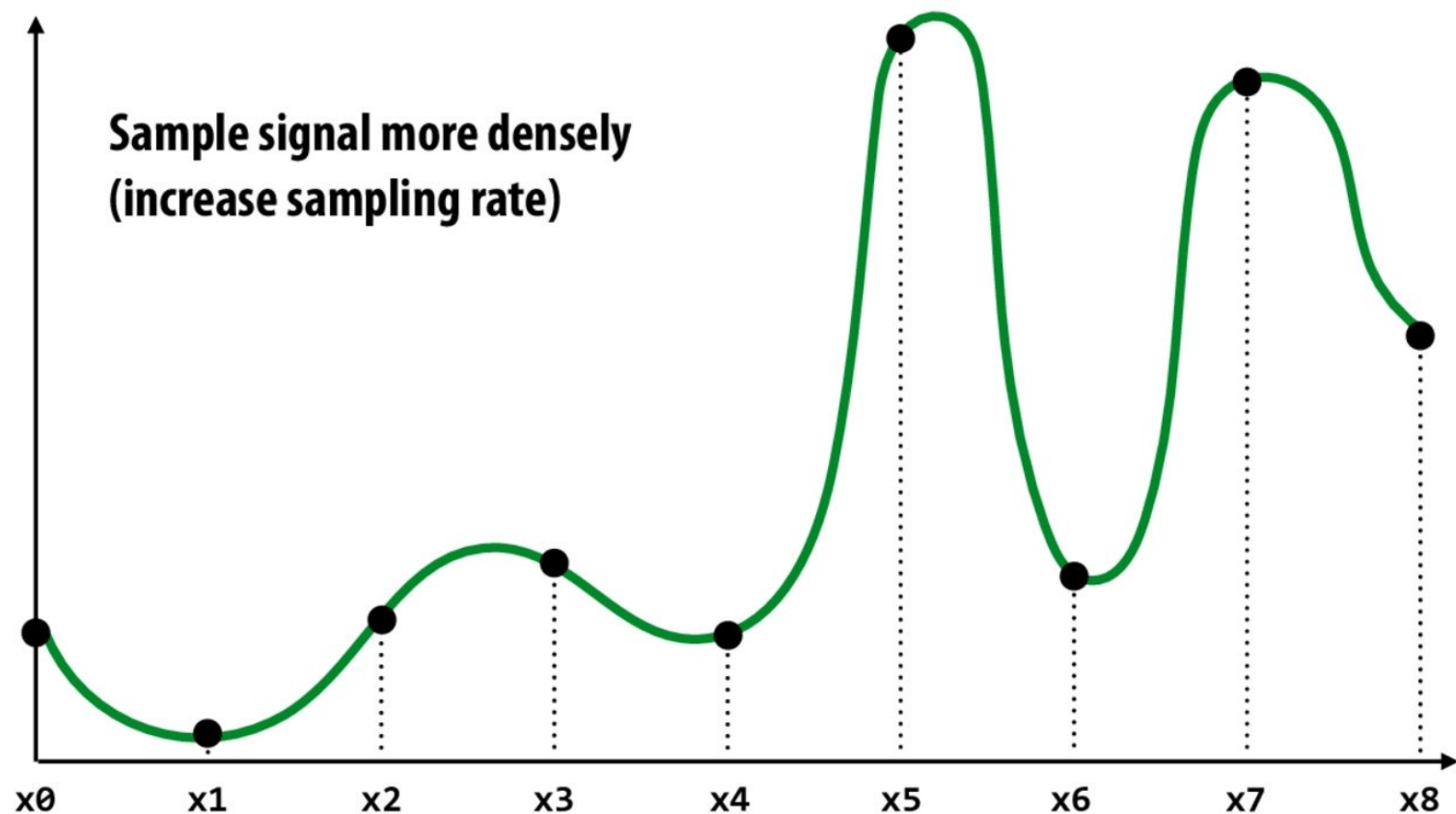
# What's wrong with this picture?



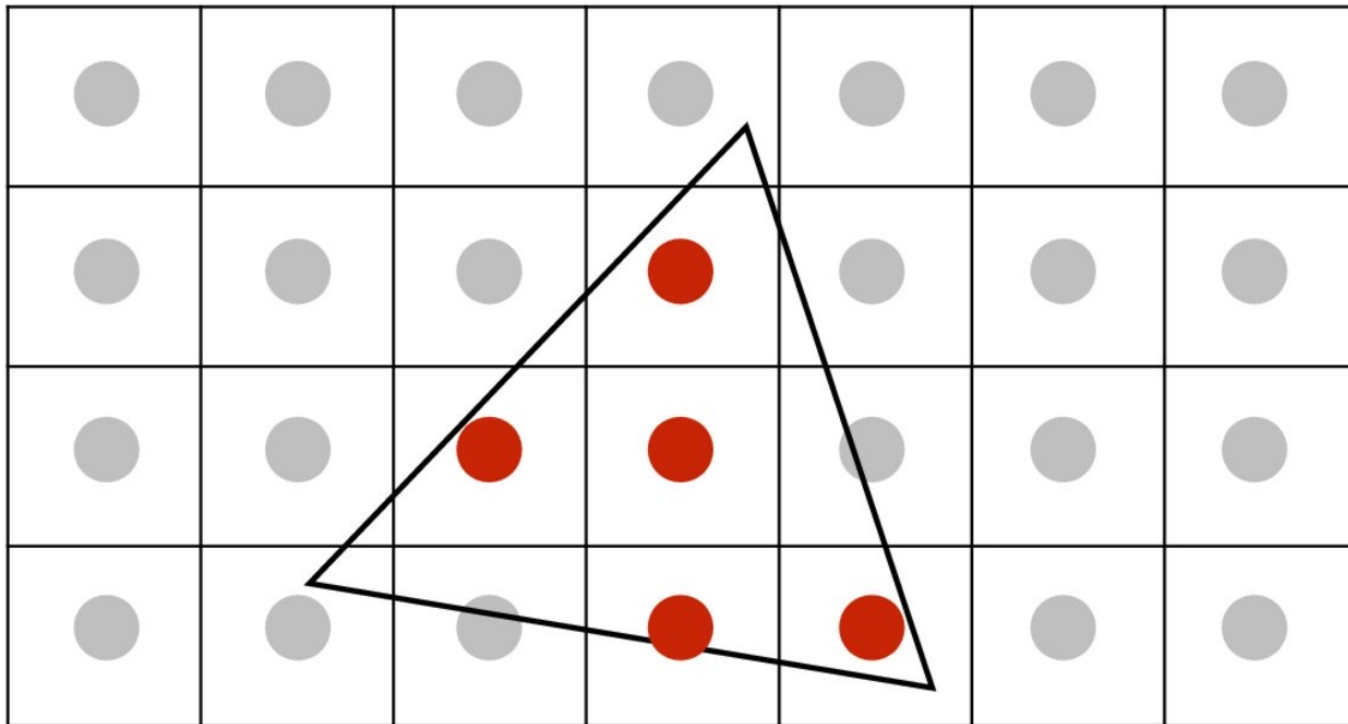
**Jaggies!**



# Reminder: how can we represent a sampled signal more accurately?



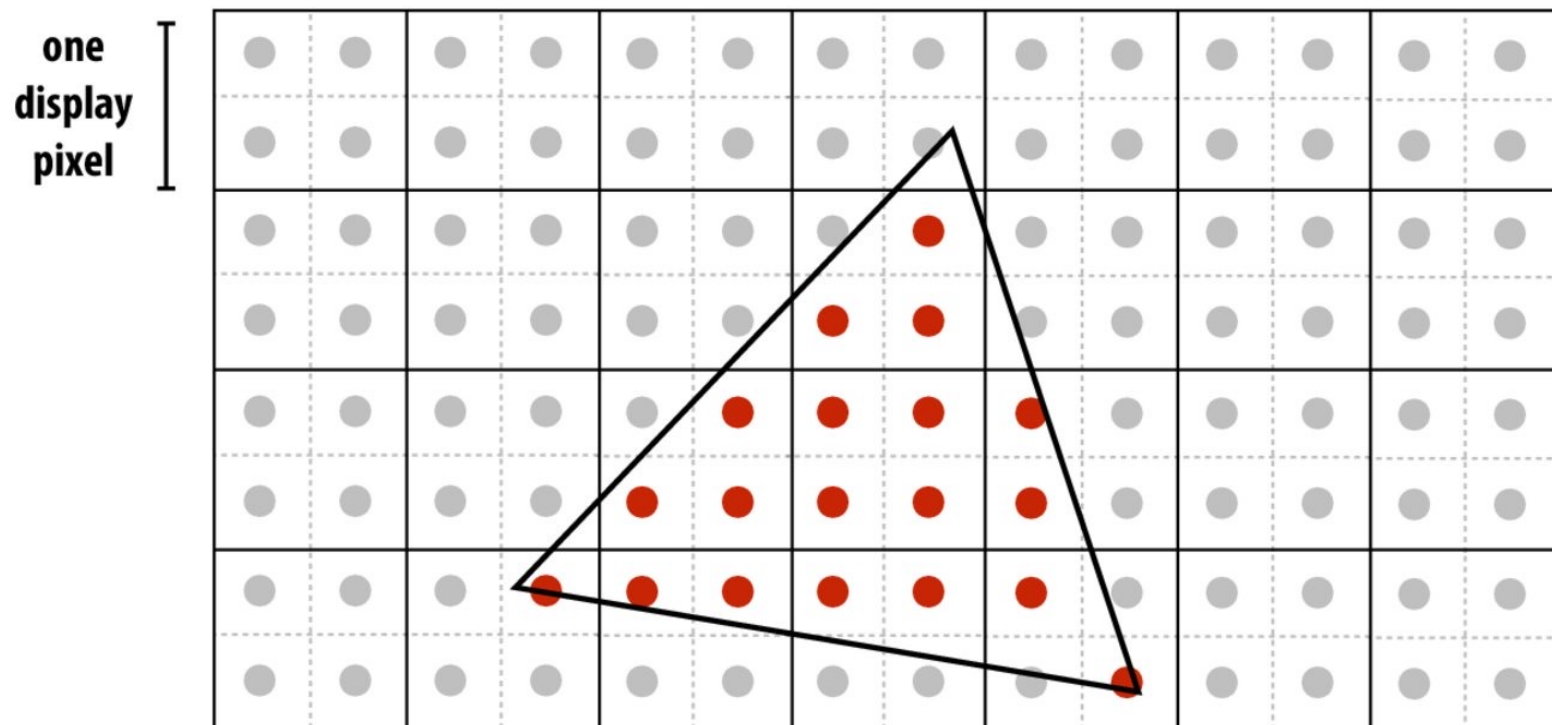
# Point sampling: one sample per pixel



# Supersampling: step 1

Take  $N \times N$  samples in each pixel

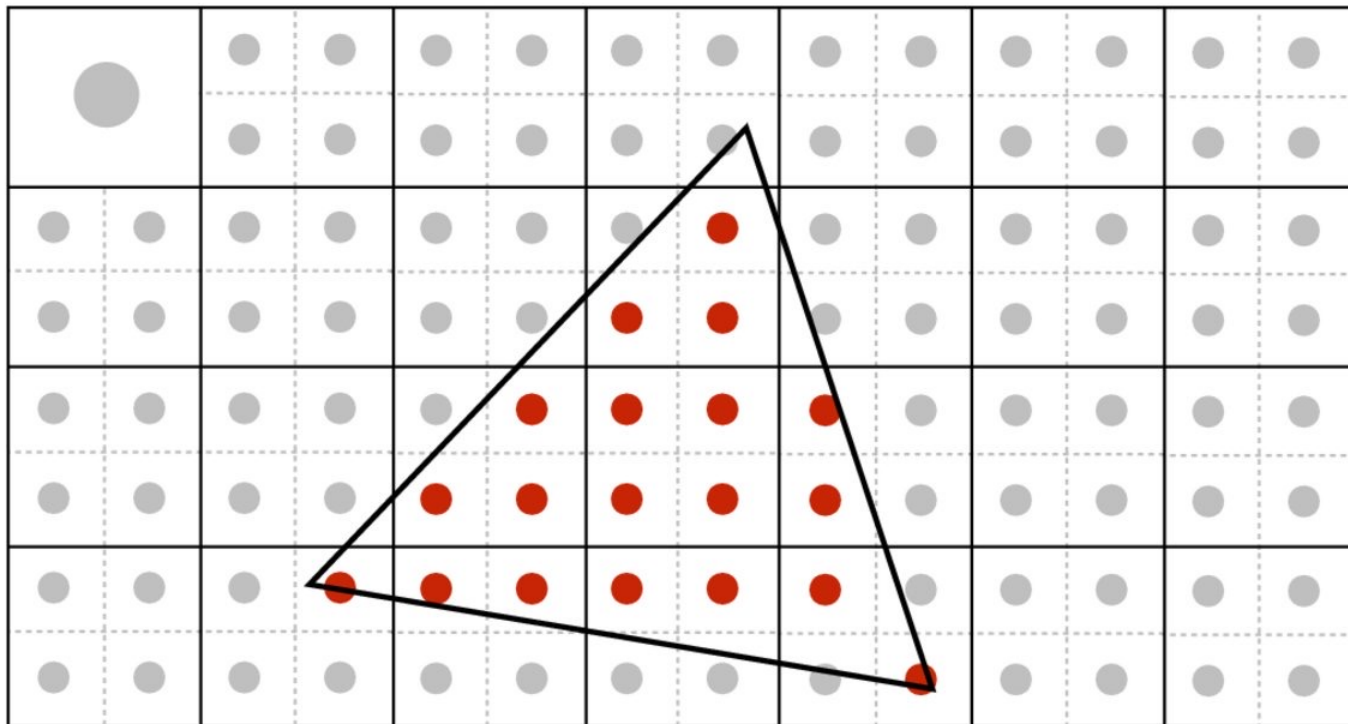
(but... how do we use these samples to drive a display, since there are four times more samples than display pixels!)



2x2 supersampling

# Supersampling: step 2

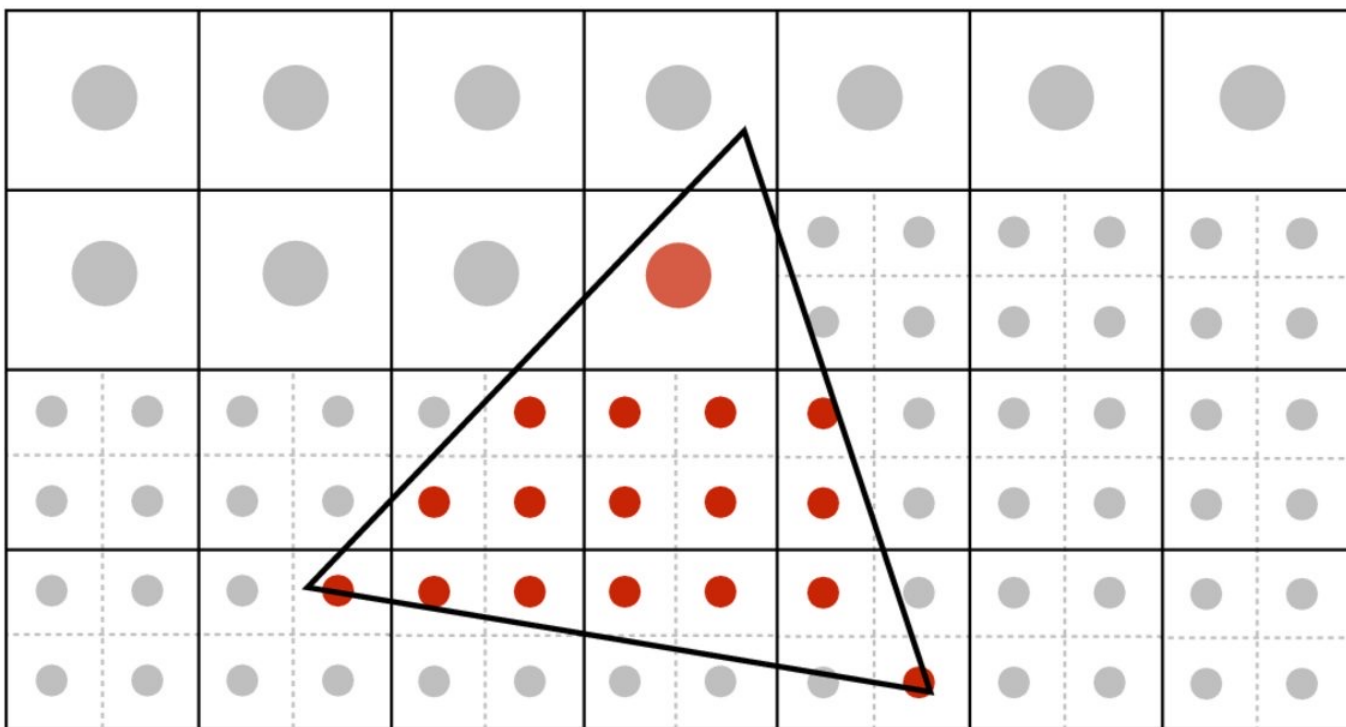
Average the  $N \times N$  samples “inside” each pixel



Averaging down

# Supersampling: step 2

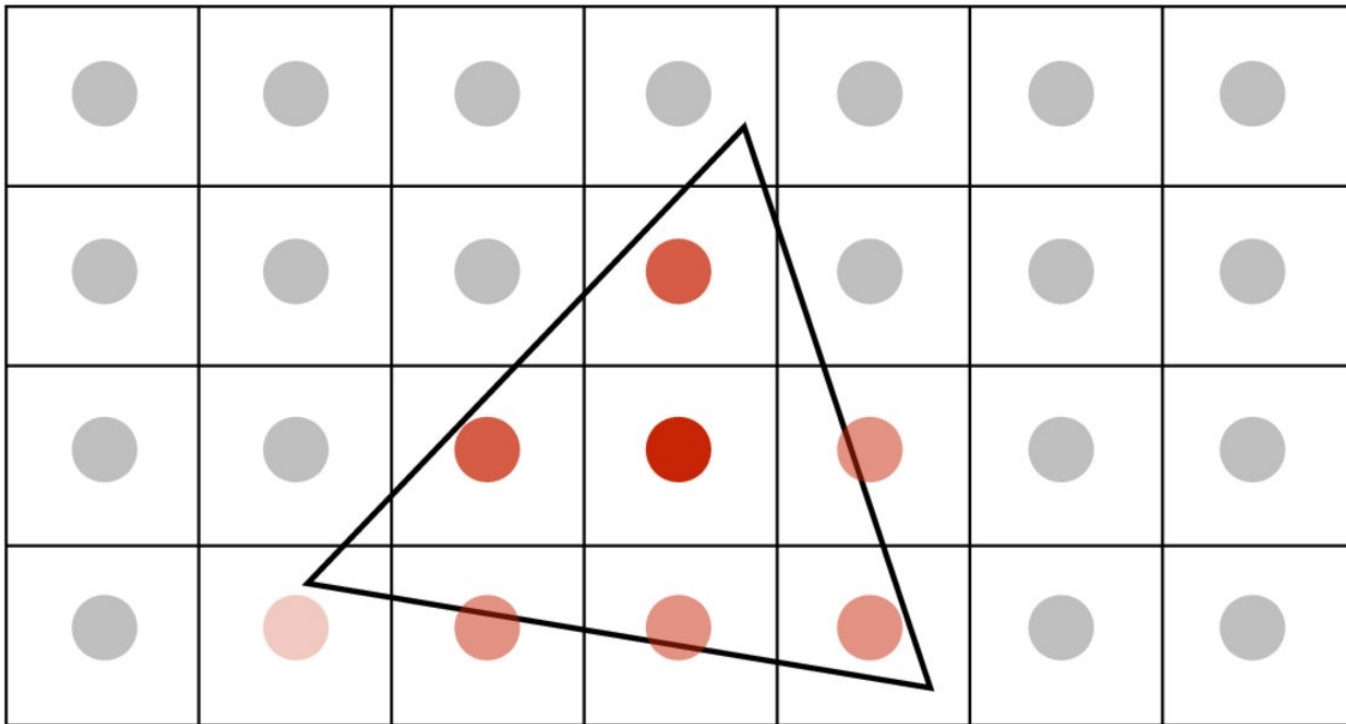
Average the  $N \times N$  samples “inside” each pixel



**Averaging down**

# Supersampling: step 2

Average the  $N \times N$  samples “inside” each pixel

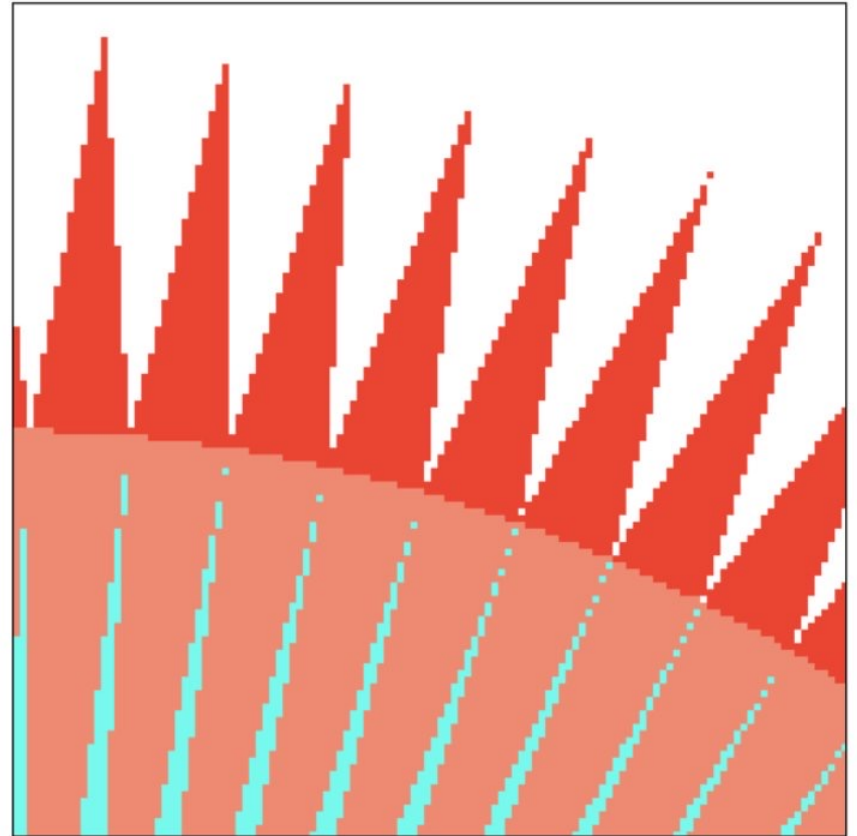
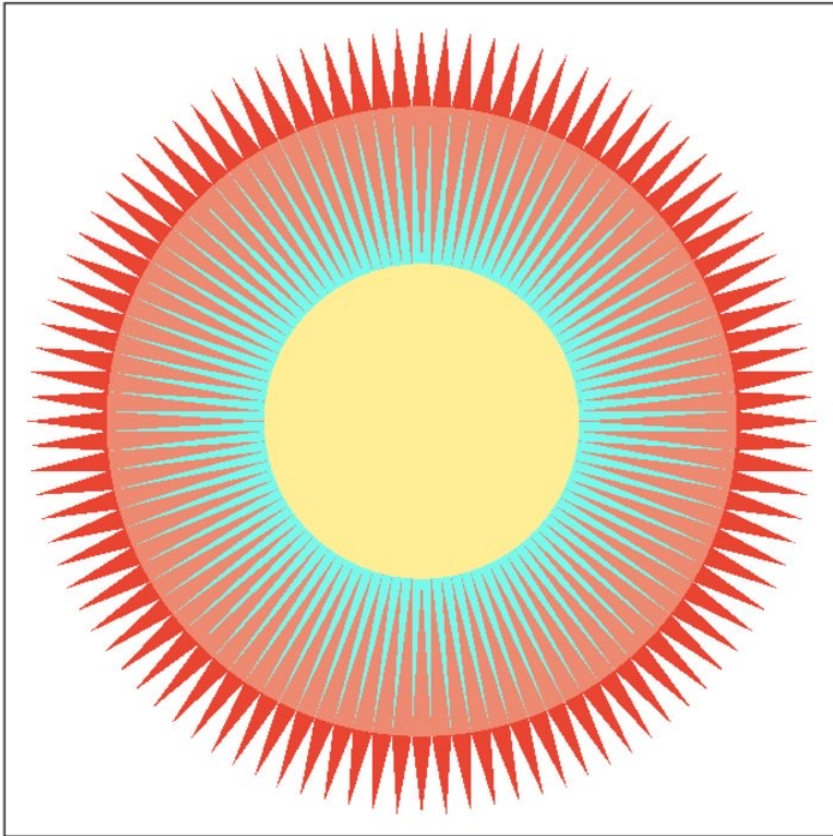


# Supersampling: result

This is the corresponding signal emitted by the display

			75%			
		100%	100%	50%		
	25%	50%	50%	50%		

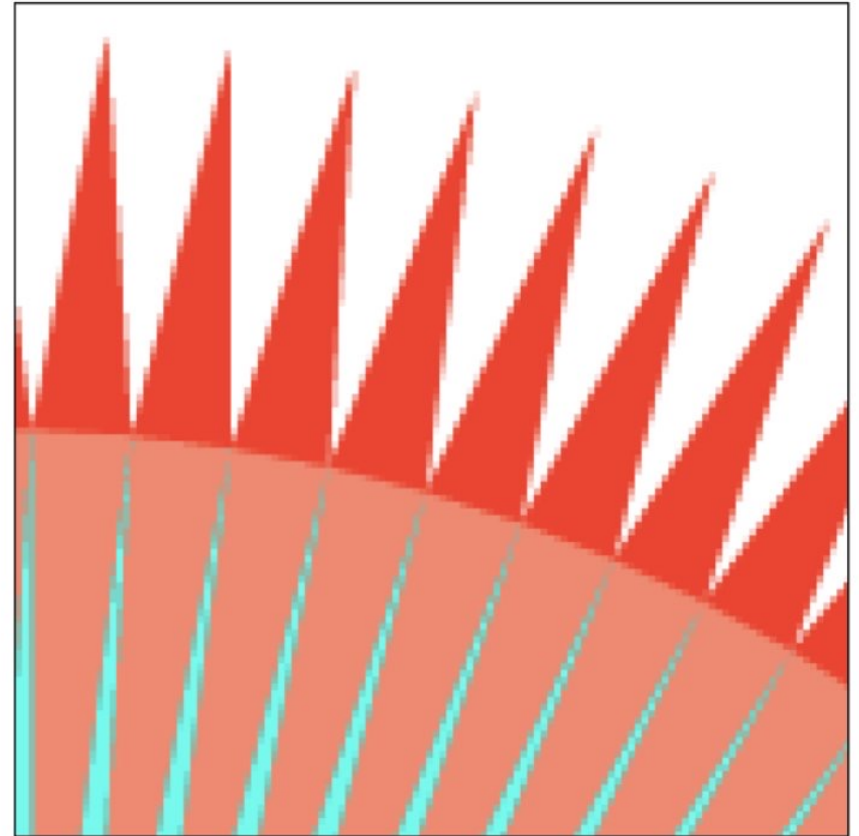
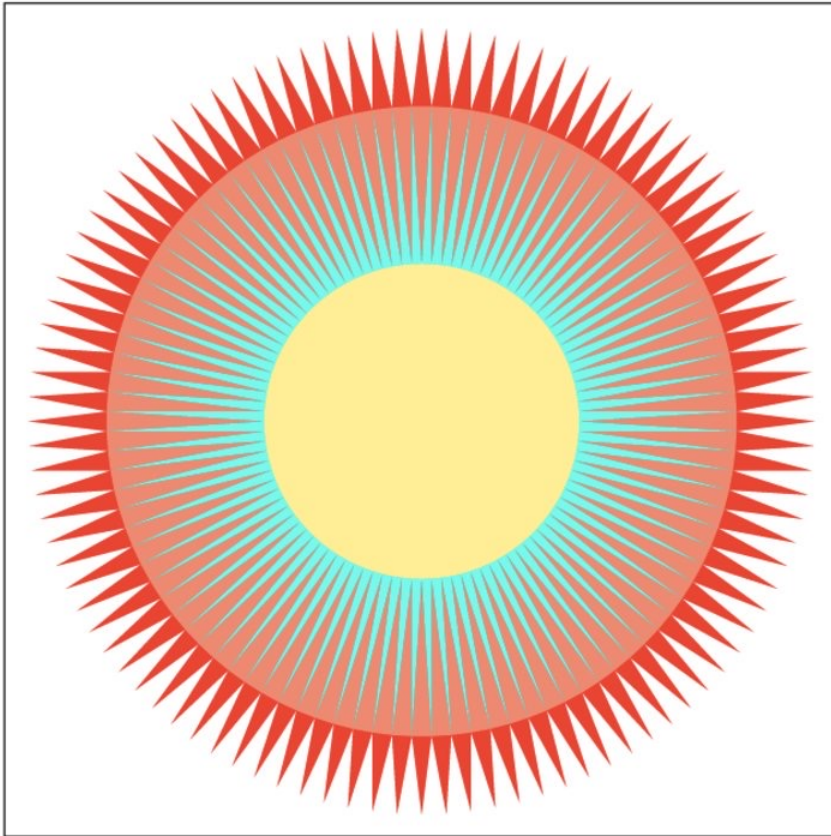
# Point sampling



**One sample per pixel**



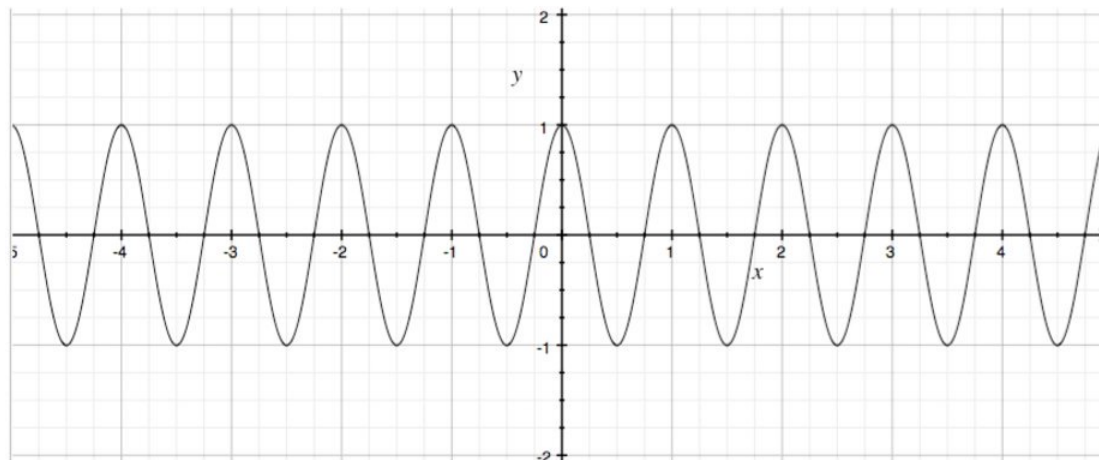
# 4x4 supersampling + downsampling



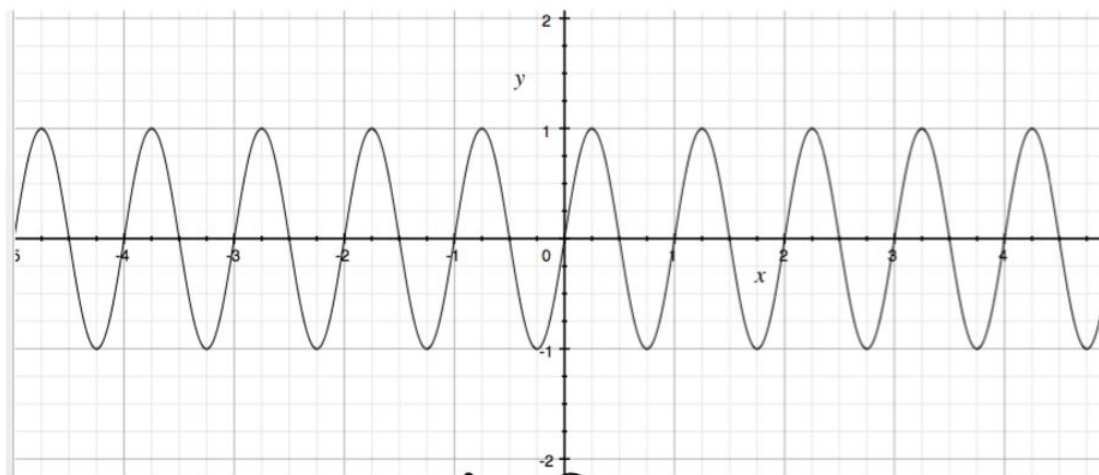
**Pixel value is average of 4x4 samples per pixel**

# Representing functions as sines and cosines

# Sines and cosines



$$\cos 2\pi x$$

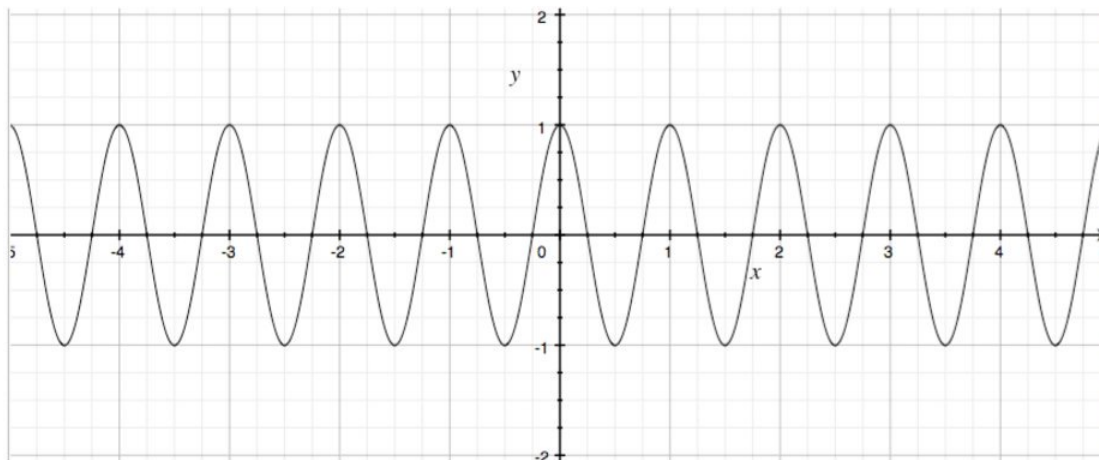


$$\sin 2\pi x$$

# Frequencies

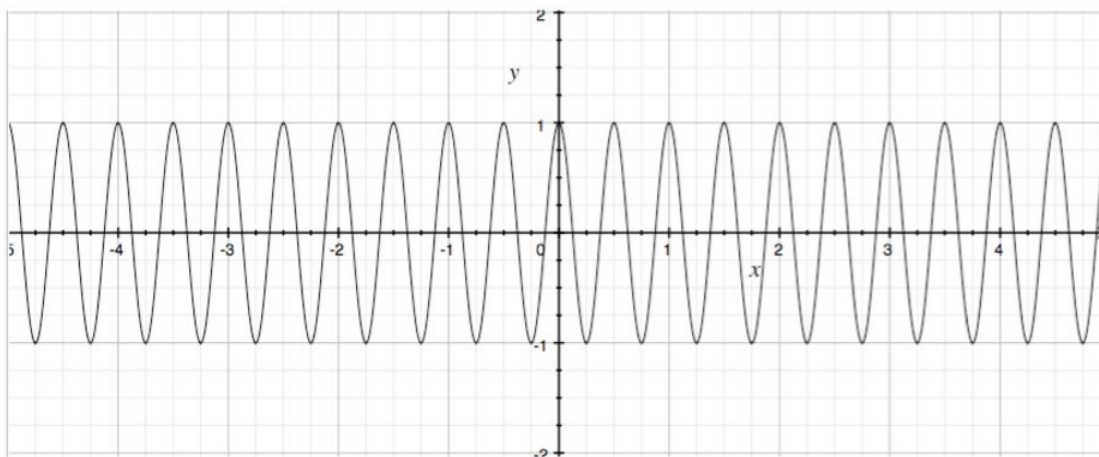
$$\cos 2\pi f x$$

$$f = \frac{1}{T}$$



$$f = 1$$

$$\cos 2\pi x$$

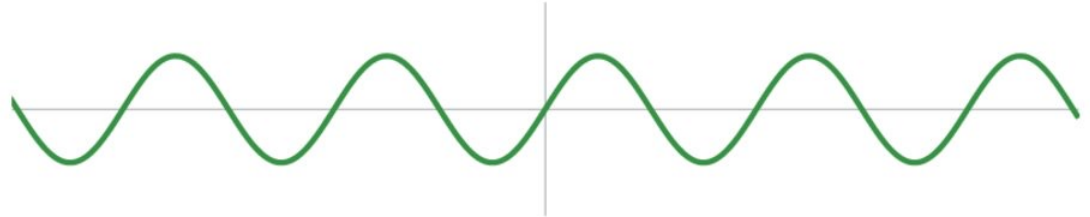


$$f = 2$$

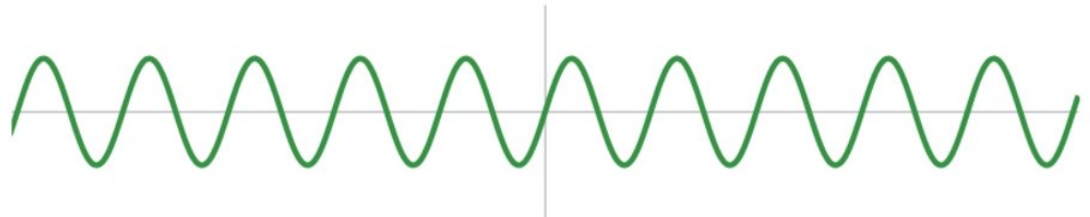
$$\cos 4\pi x$$

# Representing sound wave as a superposition of frequencies

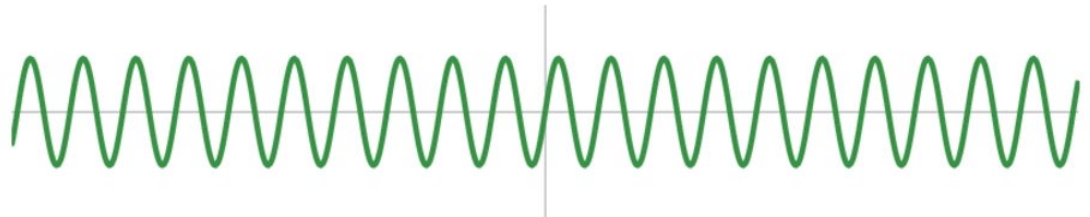
$$f_1(x) = \sin(\pi x)$$



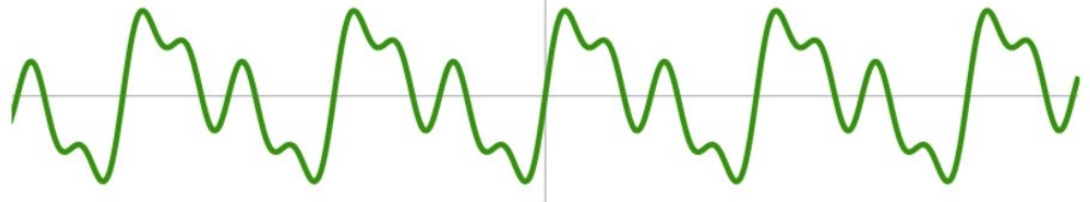
$$f_2(x) = \sin(2\pi x)$$



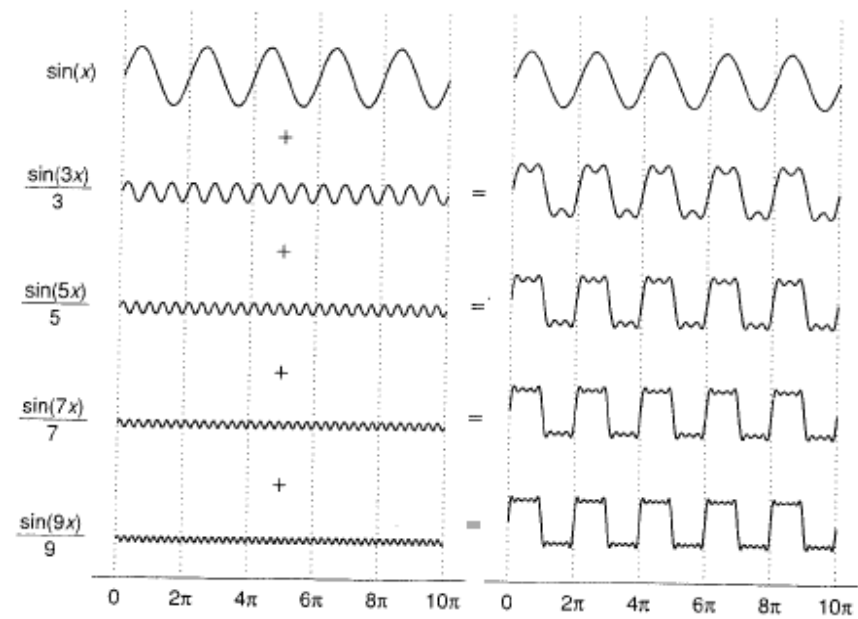
$$f_4(x) = \sin(4\pi x)$$



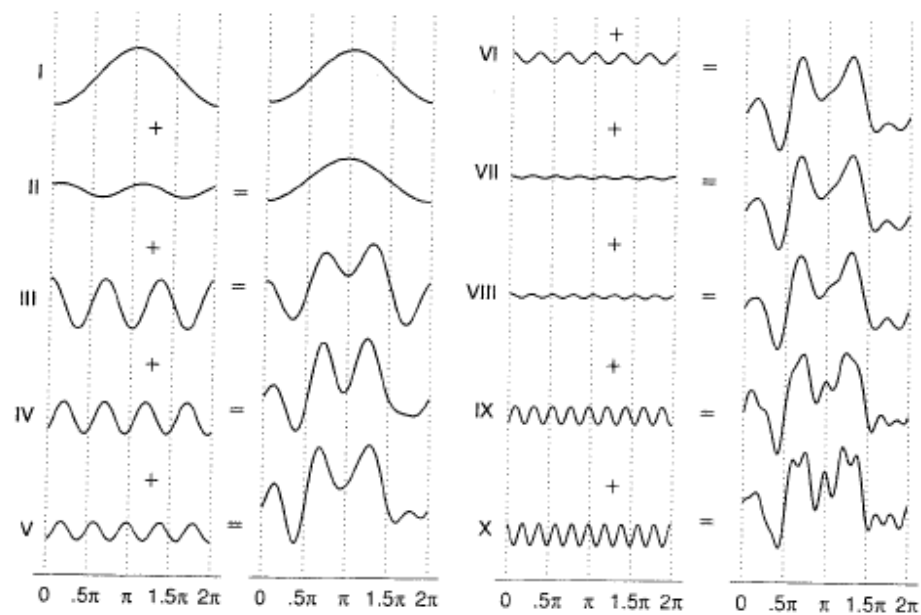
$$f(x) = 1.0 f_1(x) + 0.75 f_2(x) + 0.5 f_4(x)$$



Keep these  
weights, instead  
of the  $f(x)$   
samples



(a)



(b)



# Audio spectrum analyzer: representing sound as a sum of its constituent frequencies



# **How to compute frequency-domain representation of a signal?**

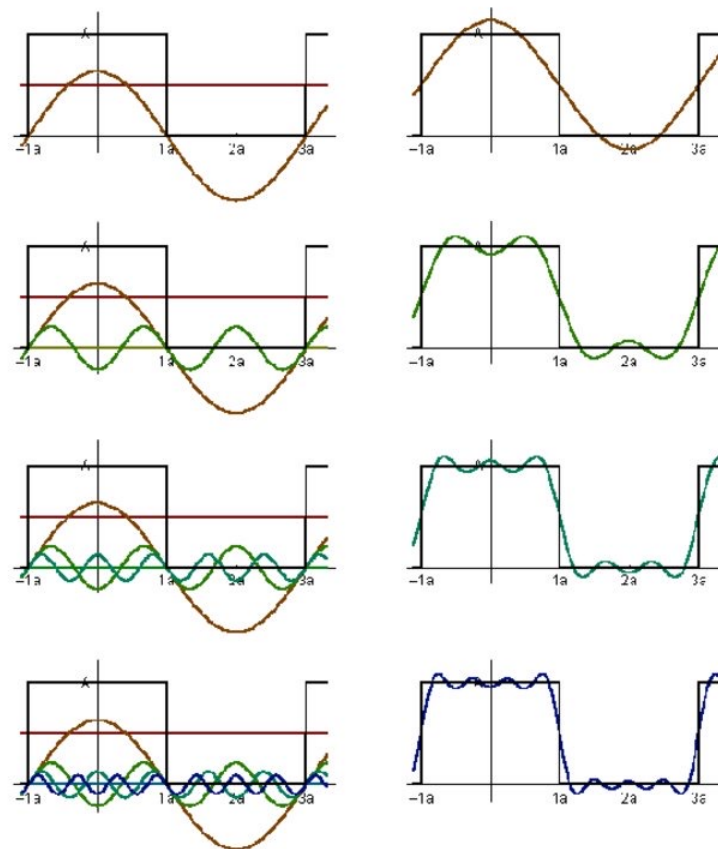


# Fourier transform

Represent a function as a weighted sum of sines and cosines



Joseph Fourier 1768 - 1830



$$f(x) = \frac{A}{2} + \frac{2A \cos(t\omega)}{\pi} - \frac{2A \cos(3t\omega)}{3\pi} + \frac{2A \cos(5t\omega)}{5\pi} - \frac{2A \cos(7t\omega)}{7\pi} + \dots$$

# Fourier transform

- Convert representation of signal from primal domain (spatial/temporal) to frequency domain by projecting signal into its component frequencies

Recall! I don't think I took that class...

Recall:

$$e^{ix} = \cos x + i \sin x$$

$$F(\omega) = \int_{-\infty}^{\infty} f(x) e^{-2\pi i x \omega} dx$$

$$= \int_{-\infty}^{\infty} f(x) (\cos(2\pi \omega x) - i \sin(2\pi \omega x)) dx$$

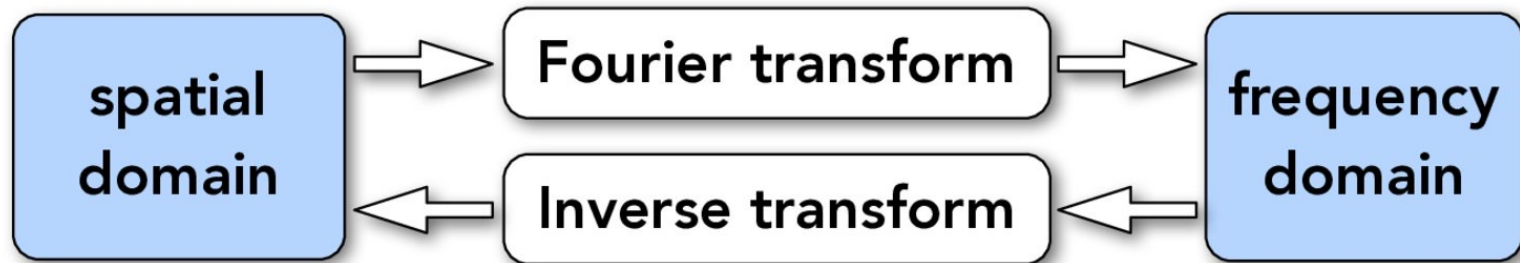
Ow! My head hurts!

- 2D form:

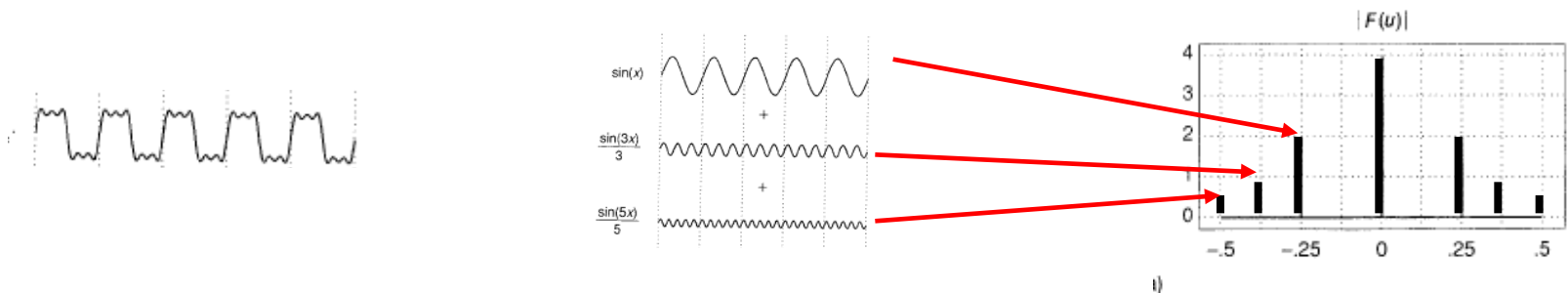
$$F(u, v) = \int \int f(x, y) e^{-2\pi i (ux + vy)} dx dy$$

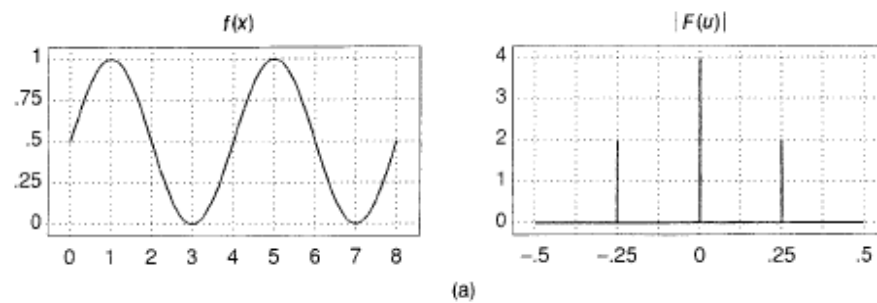
# Fourier transform decomposes a signal into its constituent frequencies

$$f(x) \quad F(\omega) = \int_{-\infty}^{\infty} f(x) e^{-2\pi i \omega x} dx \quad F(\omega)$$

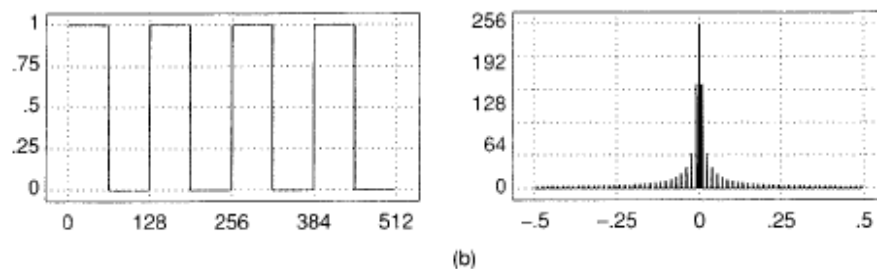


$$f(x) = \int_{-\infty}^{\infty} F(\omega) e^{2\pi i \omega x} d\omega$$

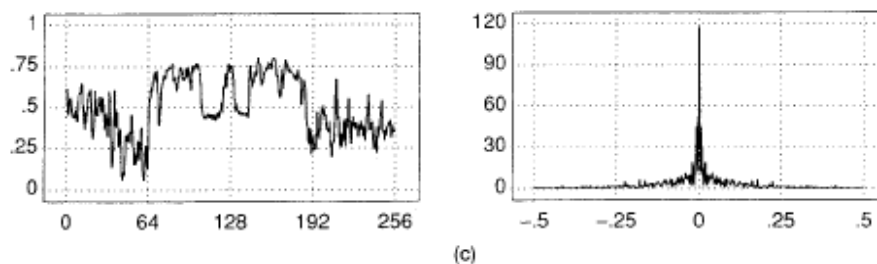




(a)



(b)



(c)

**Fig. 14.15** Signals in the spatial and frequency domains. (a) Sine. (b) Square Wave. (c) Mandrill. (Courtesy of George Wolberg, Columbia University.)

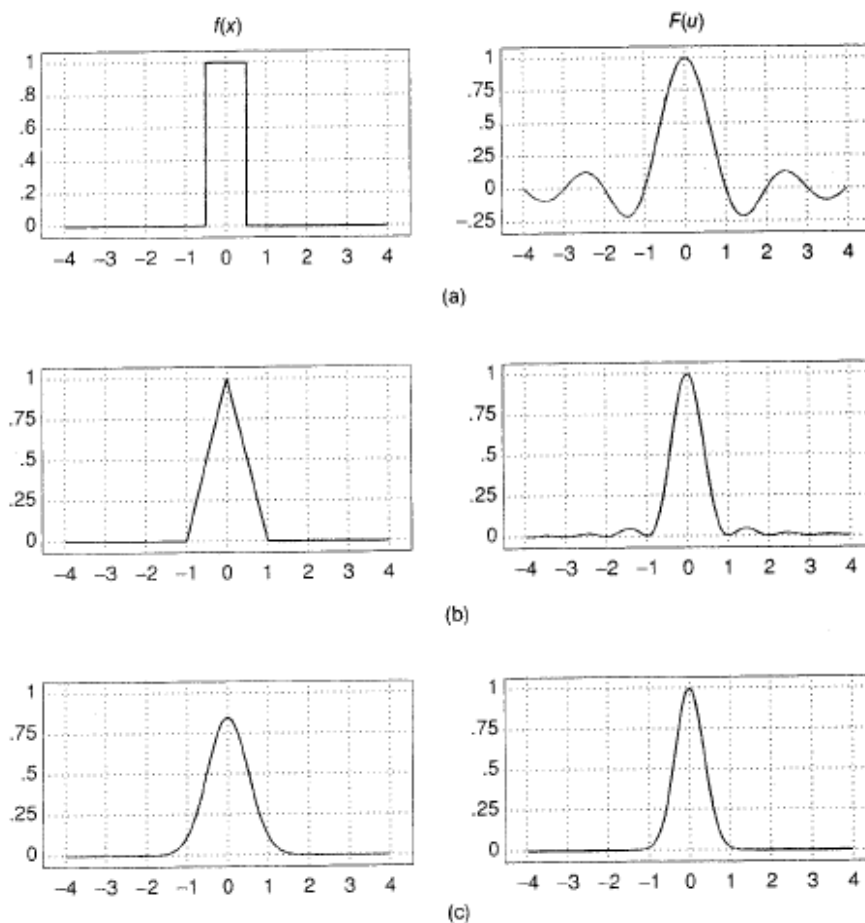


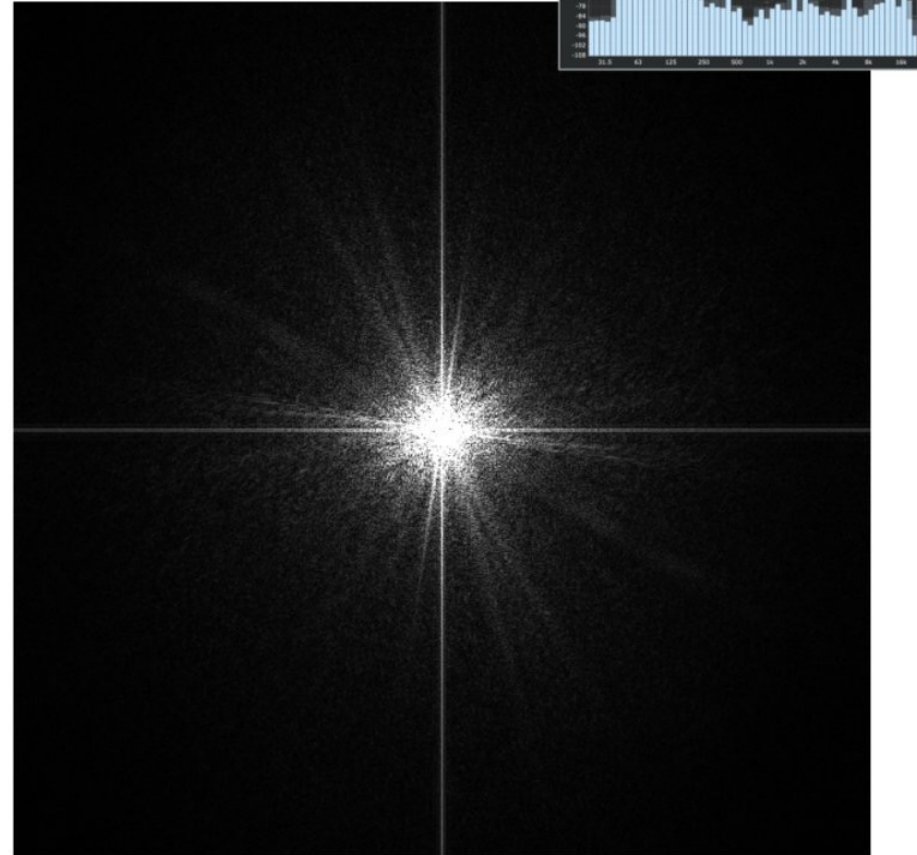
Fig. 14.25 Filters in spatial and frequency domains. (a) Pulse—sinc. (b) Triangle— $\text{sinc}^2$ . (c) Gaussian—Gaussian. (Courtesy of George Wolberg, Columbia University.)

# Visualizing the frequency content of images

Visualization below is the 2D frequency domain equivalent of the 1D audio spectrum I showed you earlier \*



**Spatial domain result**

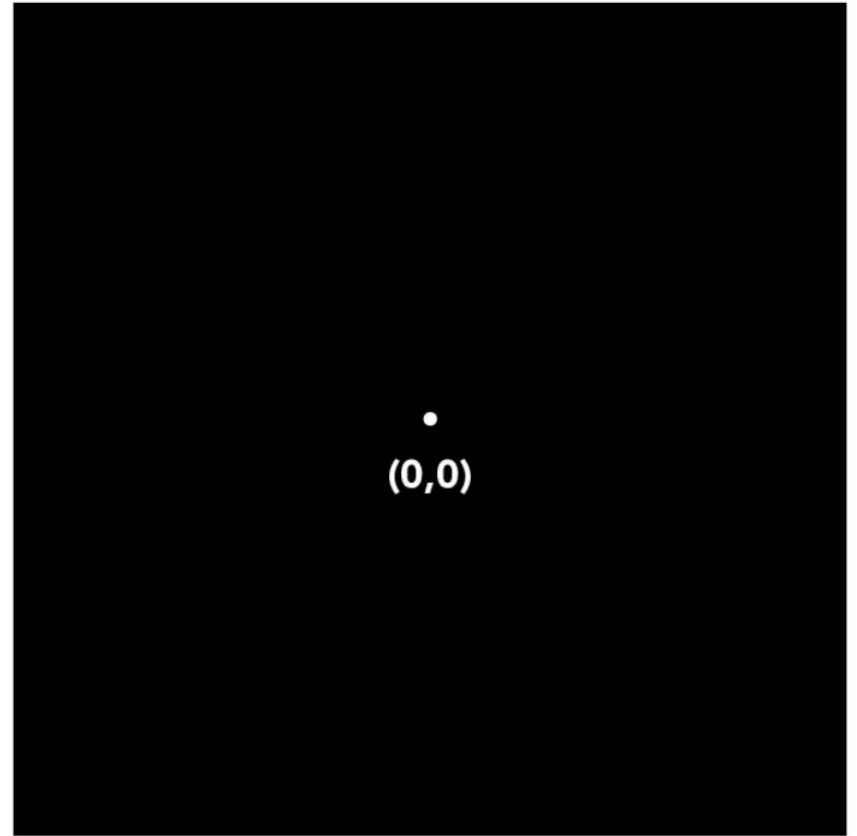


**Spectrum**

# Constant signal (in primal domain)

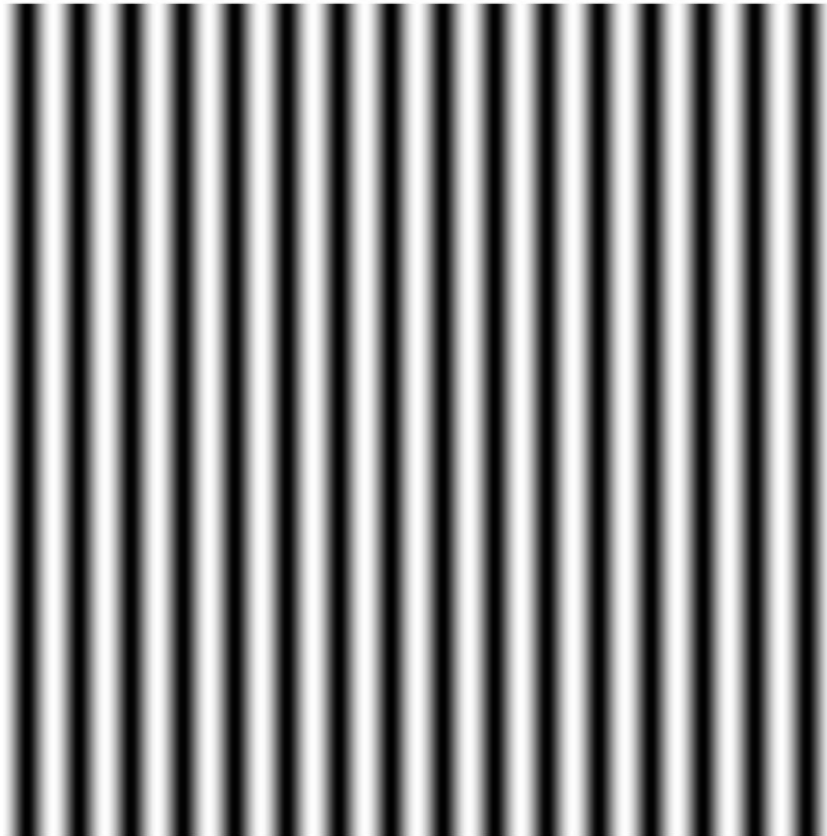


**Spatial domain**

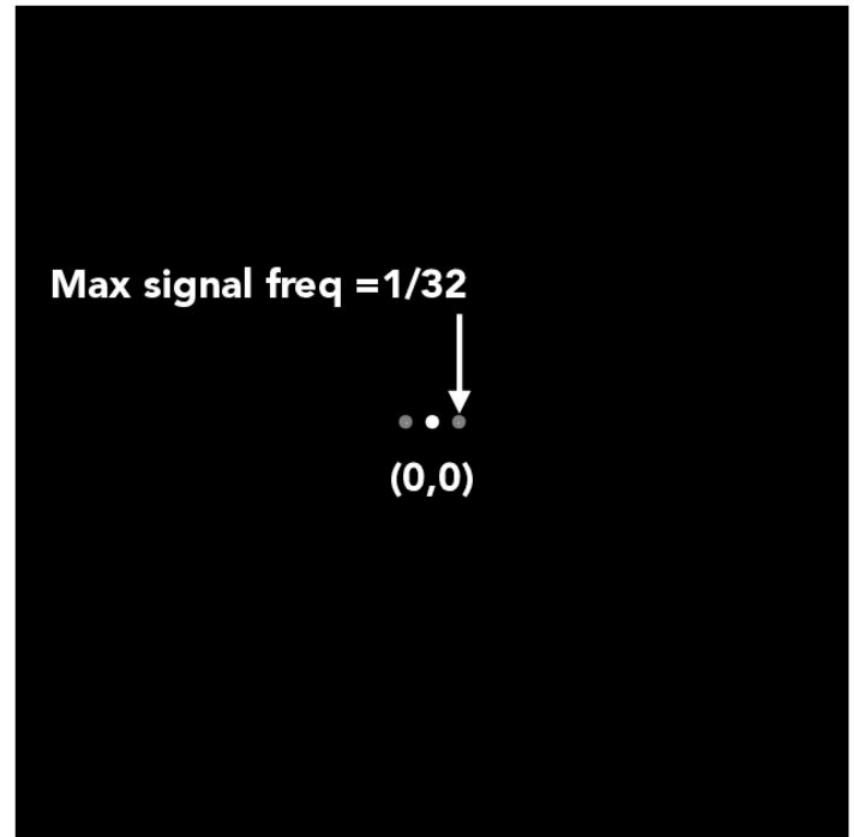


**Frequency domain**

$\sin(2\pi/32)x$  — frequency 1/32; 32 pixels per cycle



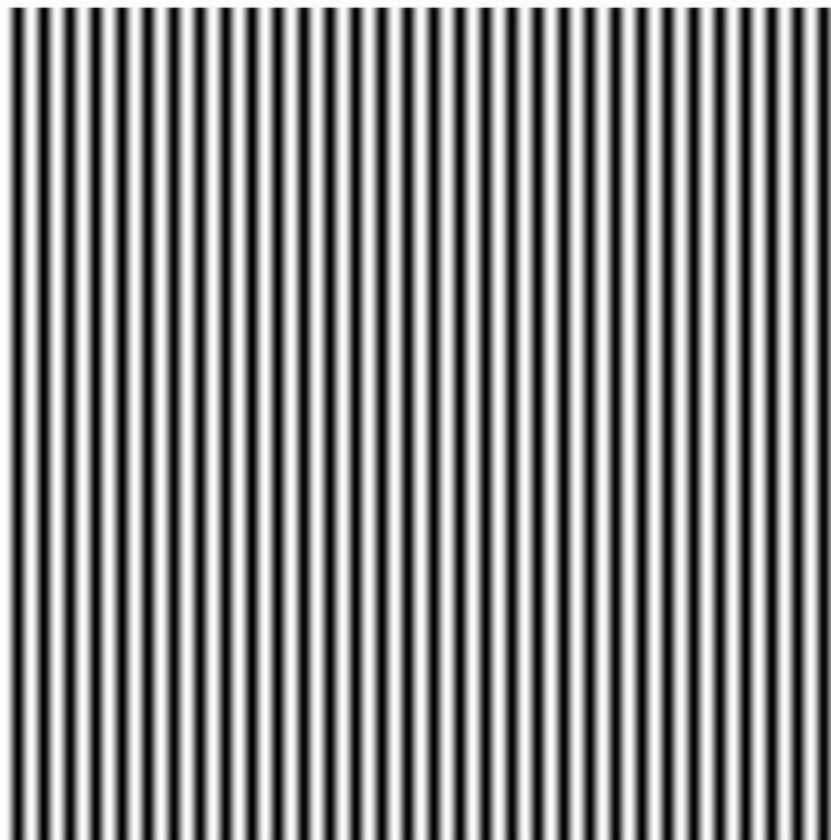
**Spatial domain**



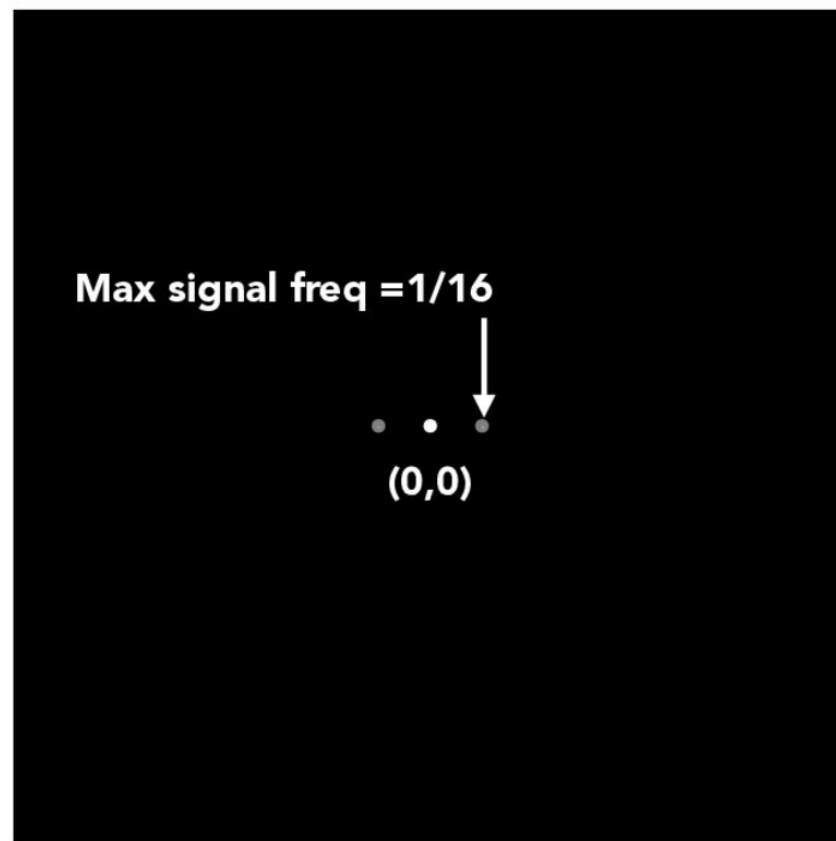
**Frequency domain**



$\sin(2\pi/16)x$  — **frequency 1/16; 16 pixels per cycle**

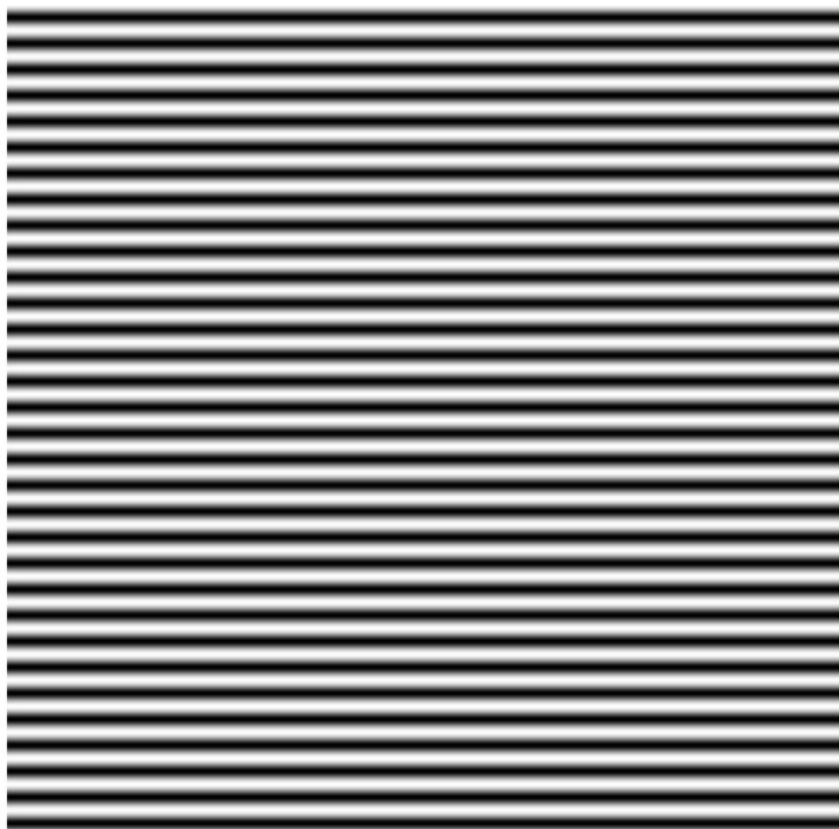


**Spatial domain**

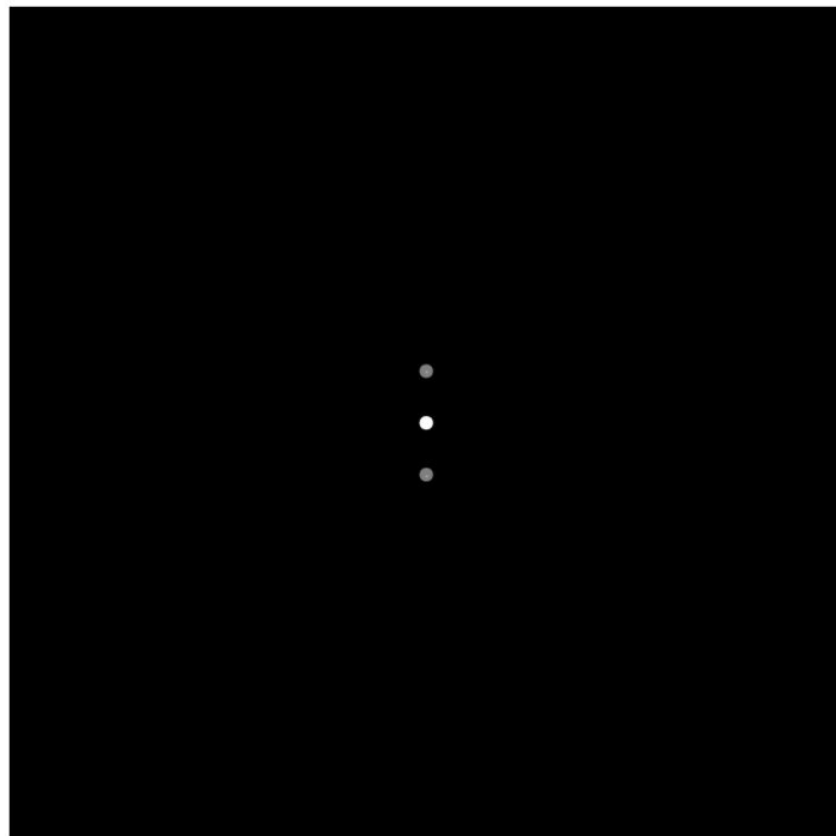


**Frequency domain**

$$\sin(2\pi/16)y$$

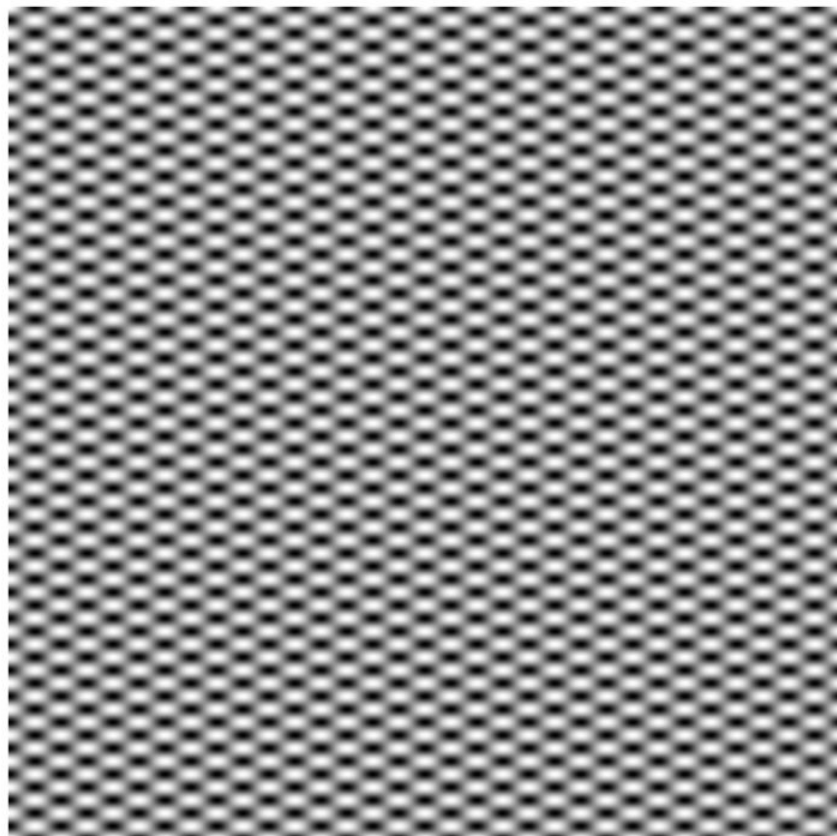


**Spatial domain**

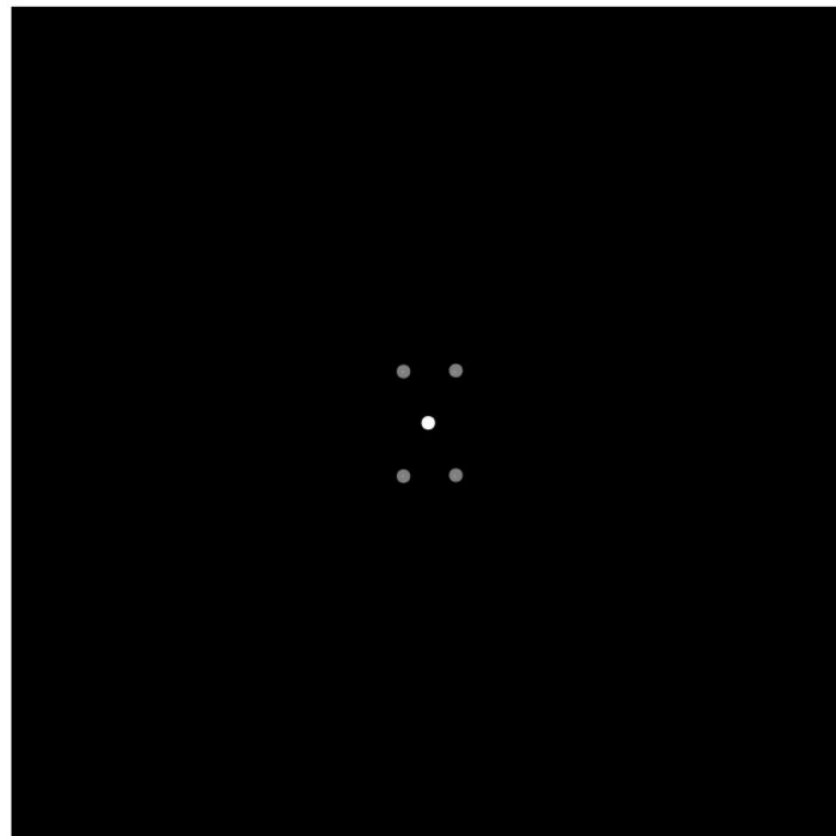


**Frequency domain**

$$\sin(2\pi/32)x \times \sin(2\pi/16)y$$

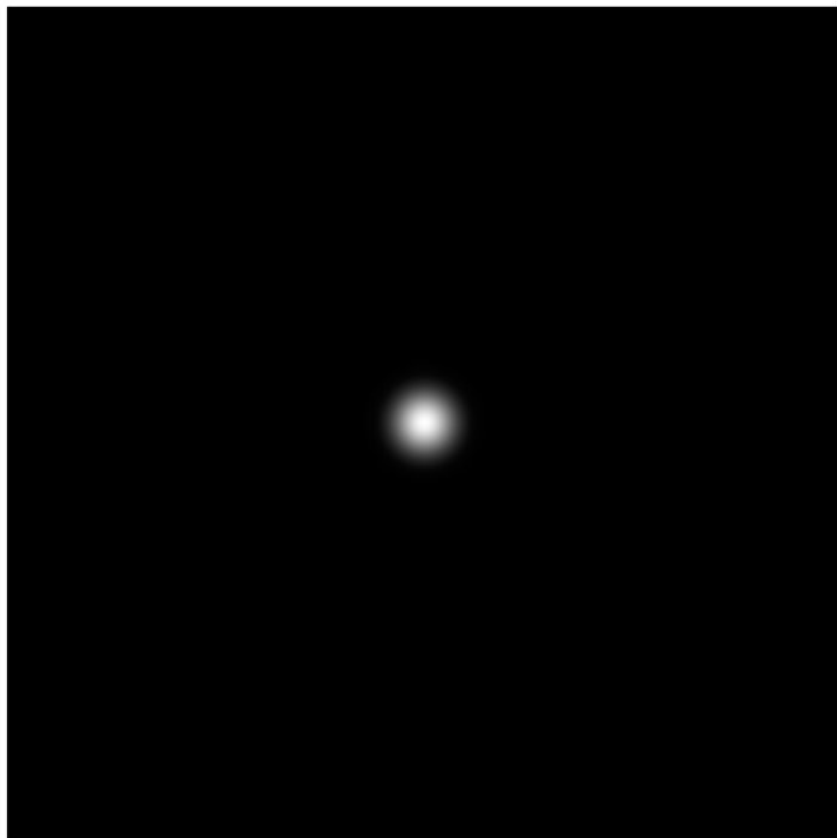


**Spatial domain**

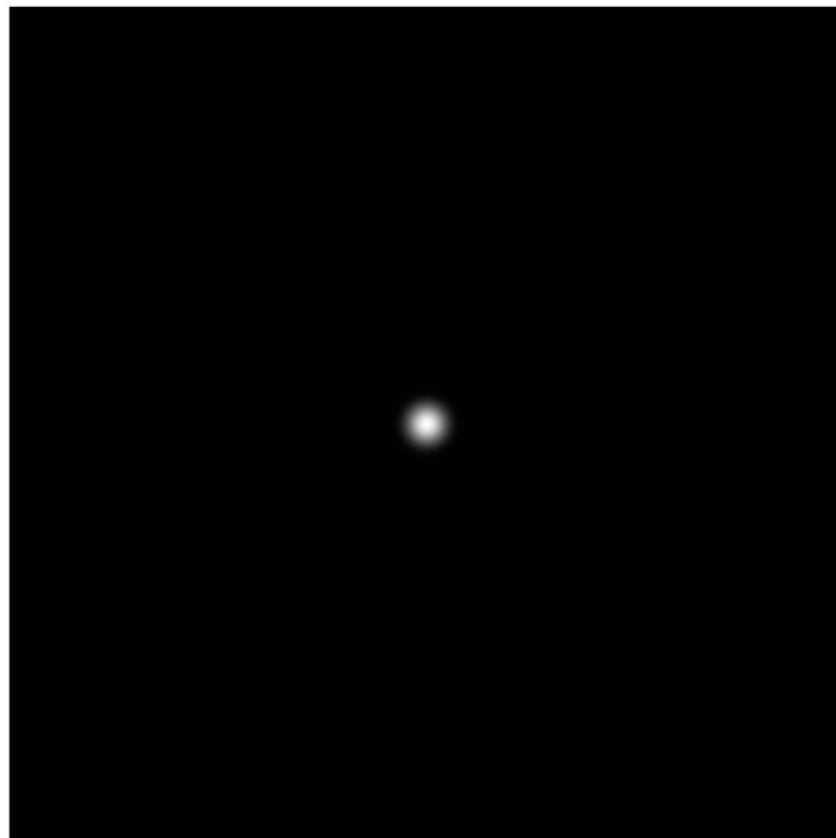


**Frequency domain**

$$\exp(-r^2/16^2)$$

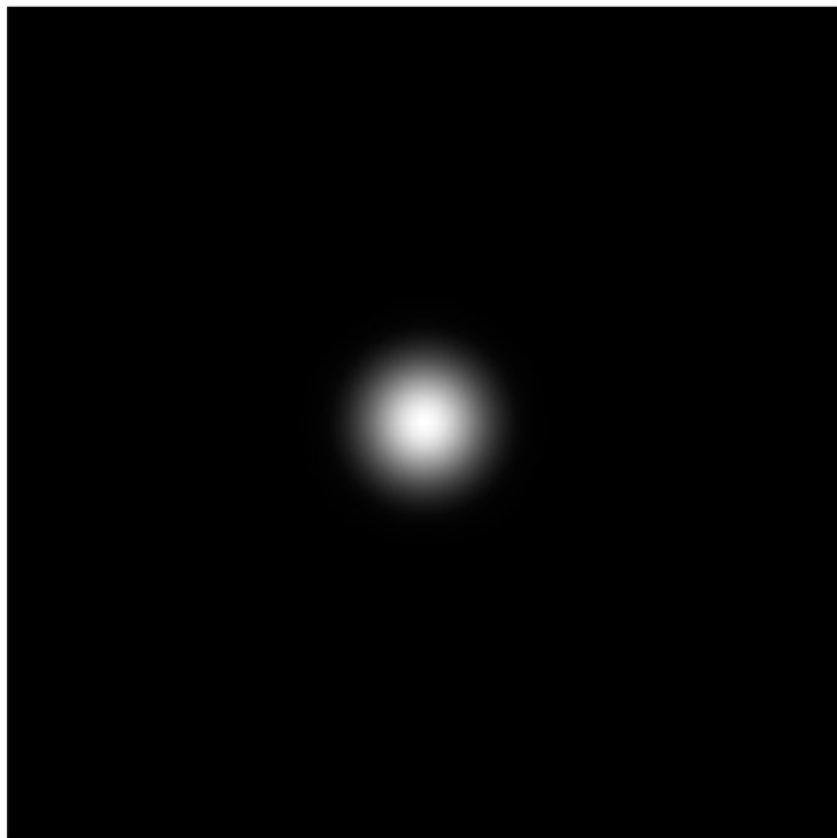


**Spatial domain**

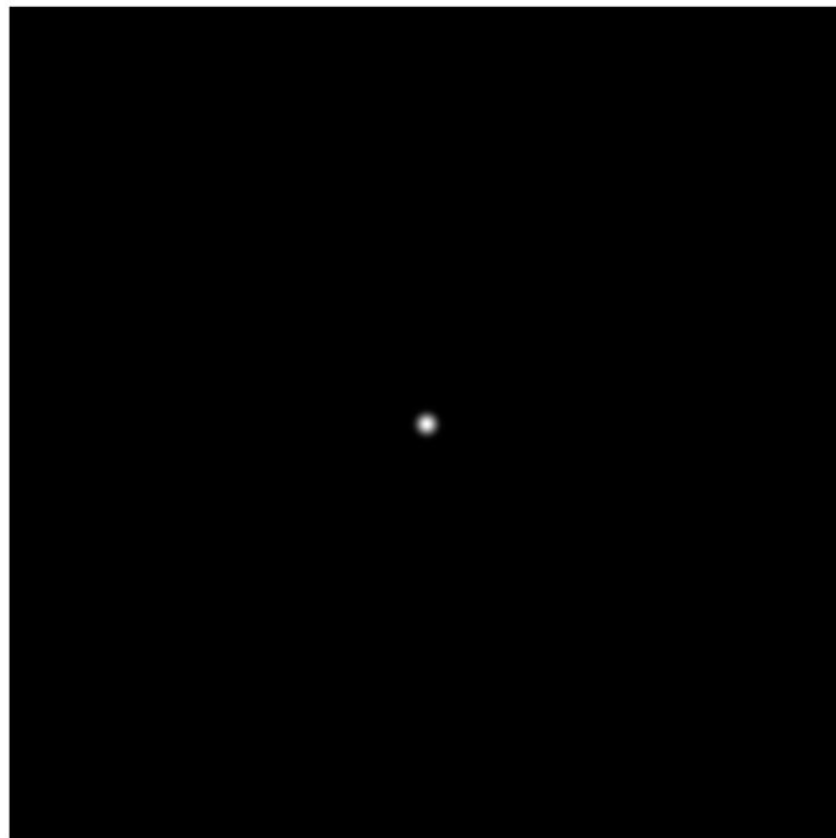


**Frequency domain**

$$\exp(-r^2/32^2)$$



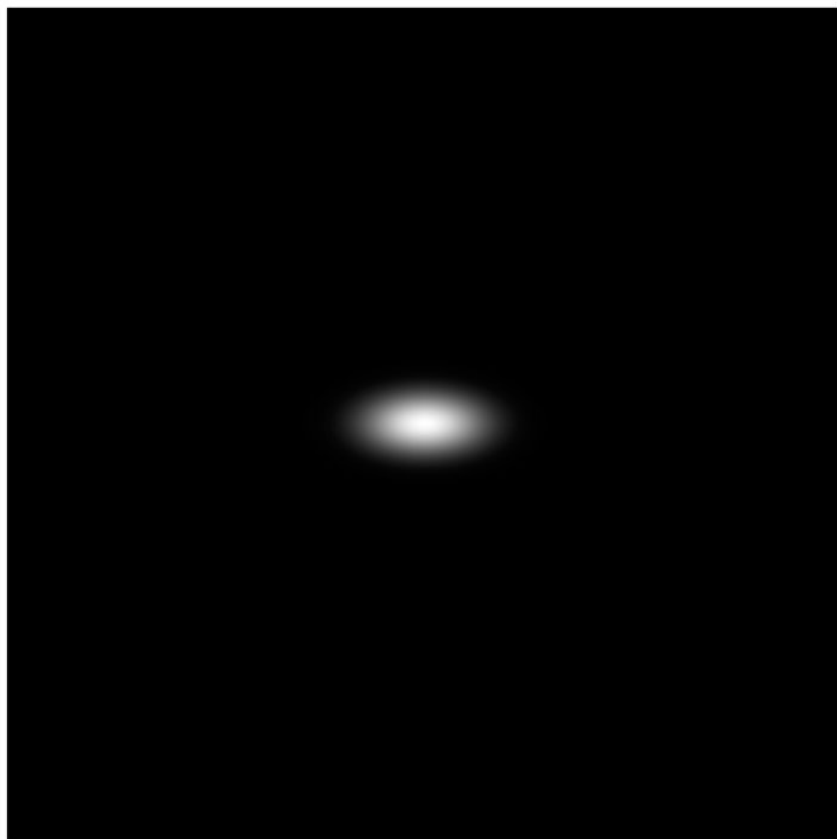
**Spatial domain**



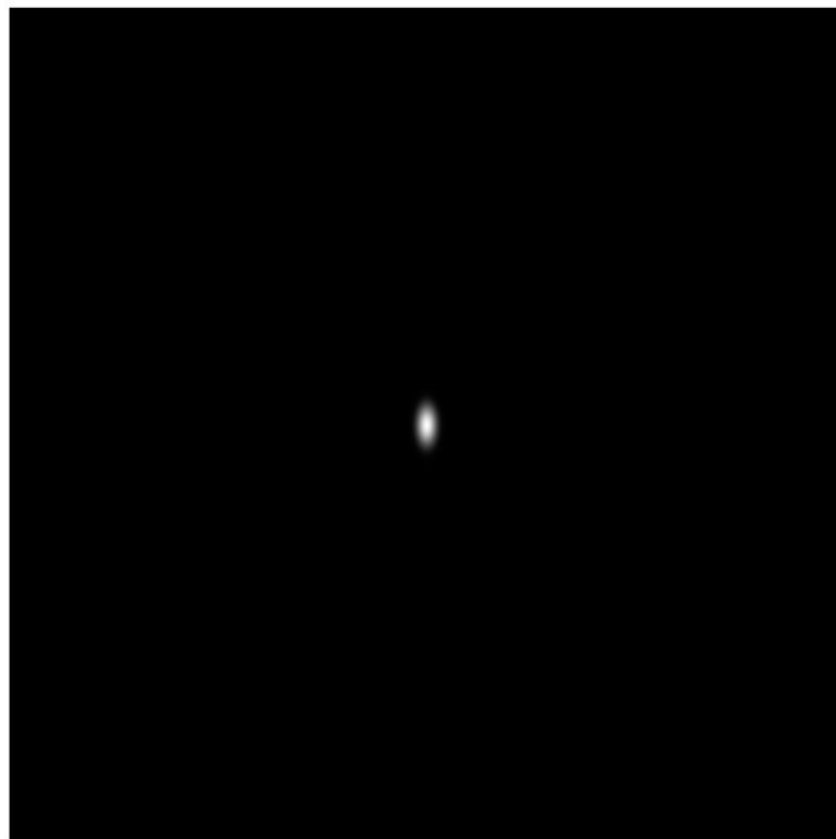
**Frequency domain**



$$\exp(-x^2/32^2) \times \exp(-y^2/16^2)$$

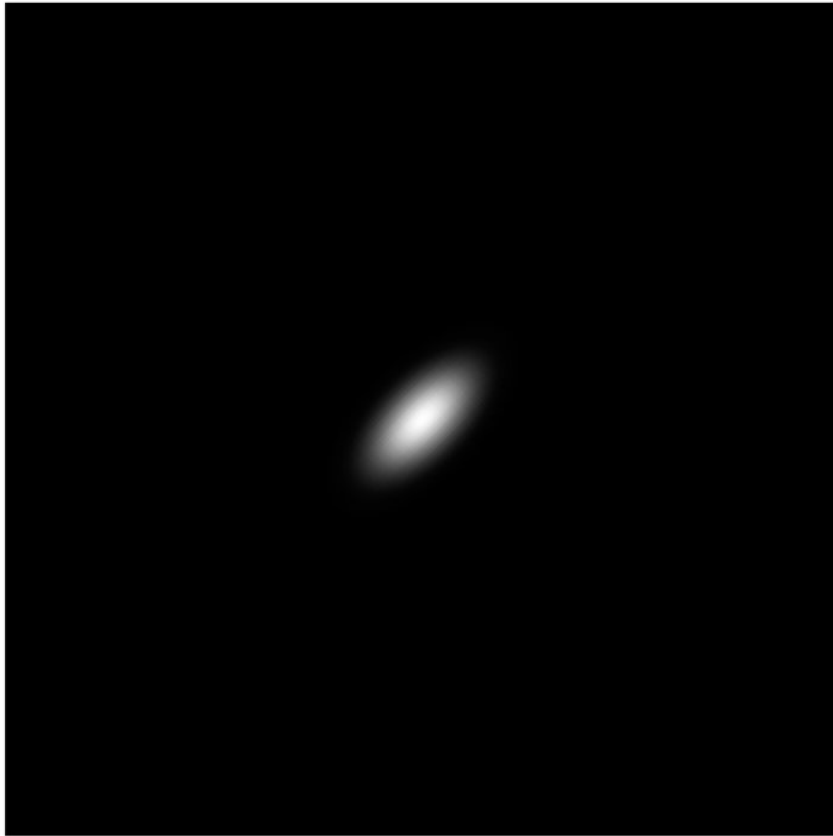


**Spatial domain**



**Frequency domain**

**Rotate 45**  $\exp(-x^2/32^2) \times \exp(-y^2/16^2)$



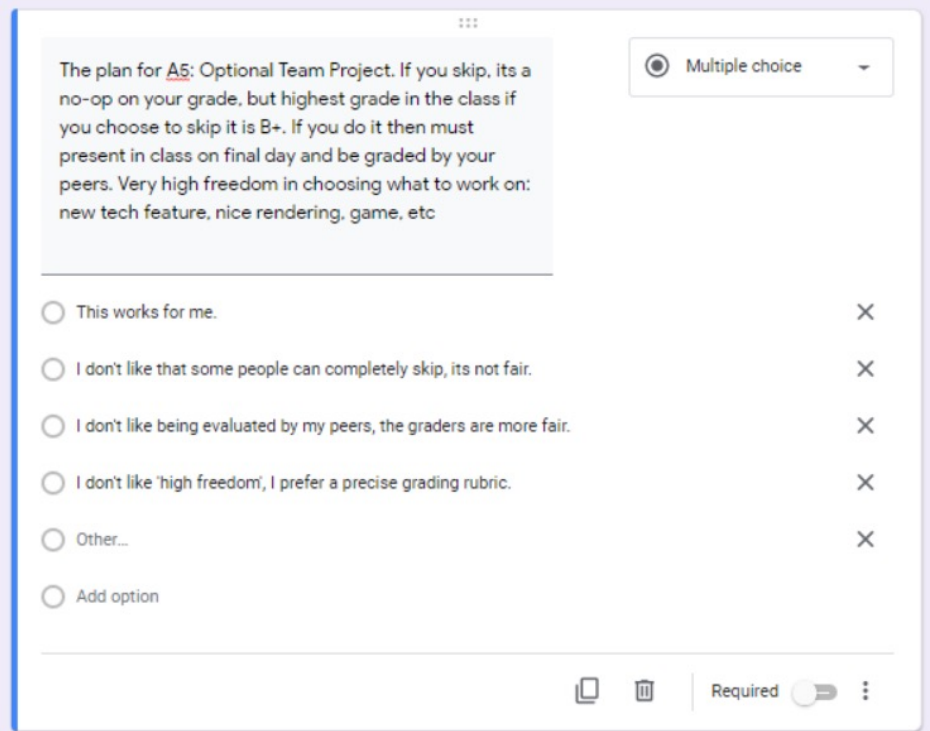
**Spatial domain**



**Frequency domain**

# Participation Survey

- About the project plan



The plan for A5: Optional Team Project. If you skip, its a no-op on your grade, but highest grade in the class if you choose to skip it is B+. If you do it then must present in class on final day and be graded by your peers. Very high freedom in choosing what to work on: new tech feature, nice rendering, game, etc

Multiple choice

- ☐ This works for me.
- ☐ I don't like that some people can completely skip, its not fair.
- ☐ I don't like being evaluated by my peers, the graders are more fair.
- ☐ I don't like 'high freedom', I prefer a precise grading rubric.
- ☐ Other...
- ☐ Add option

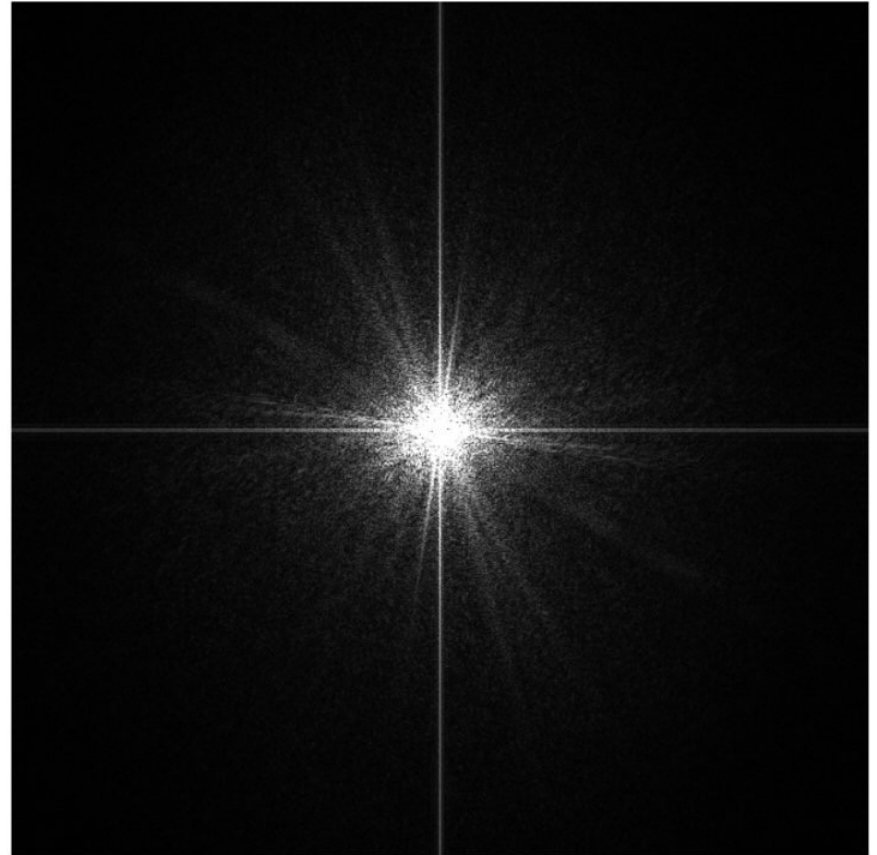
Required

Filtering (frequency domain)

# Manipulating the frequency content of images



**Spatial domain**

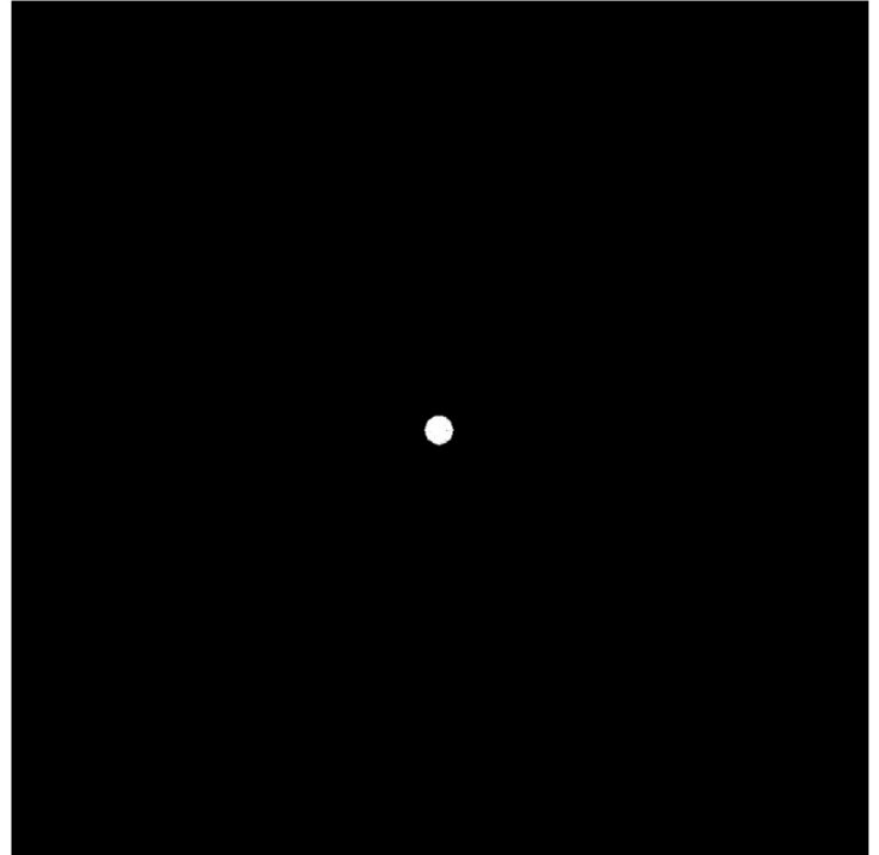


**Frequency domain**

# Low frequencies only (smooth gradients)



**Spatial domain**



**Frequency domain**

(after low-pass filter)

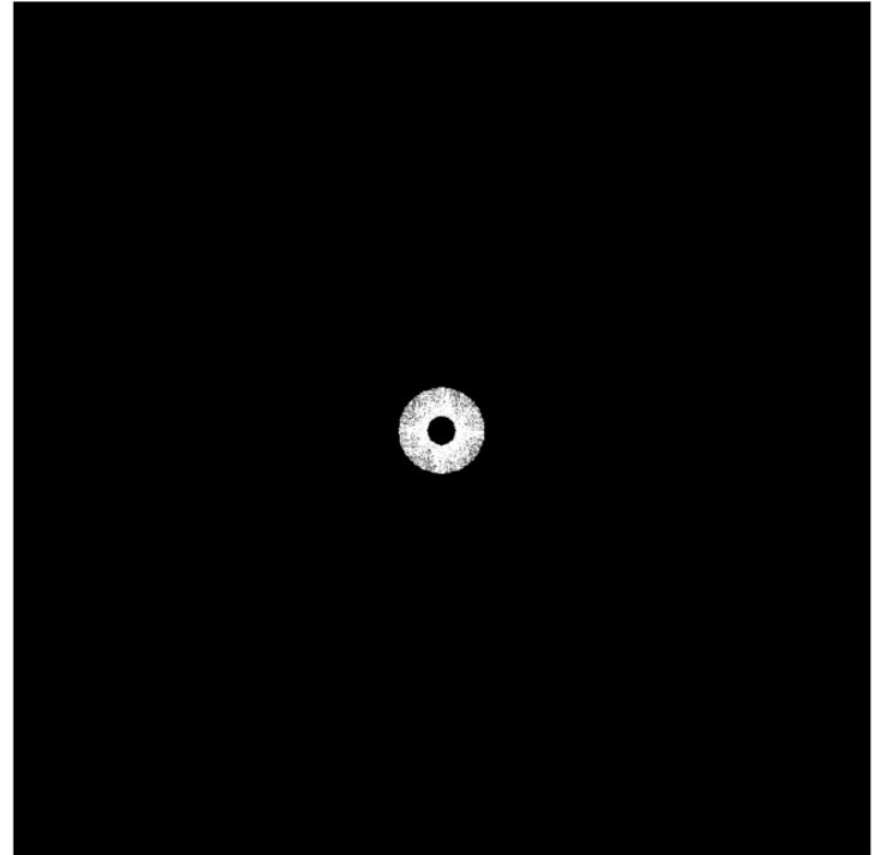
All frequencies above cutoff have 0 magnitude



# Mid-range frequencies



**Spatial domain**

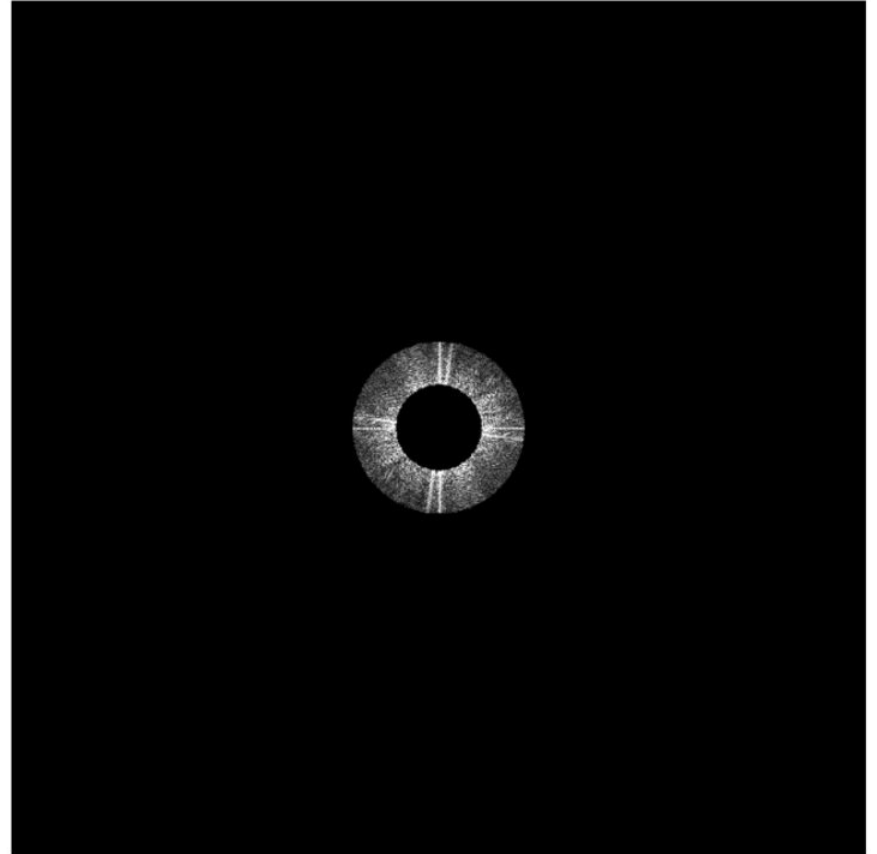


**Frequency domain**  
(after band-pass filter)

# Mid-range frequencies



**Spatial domain**

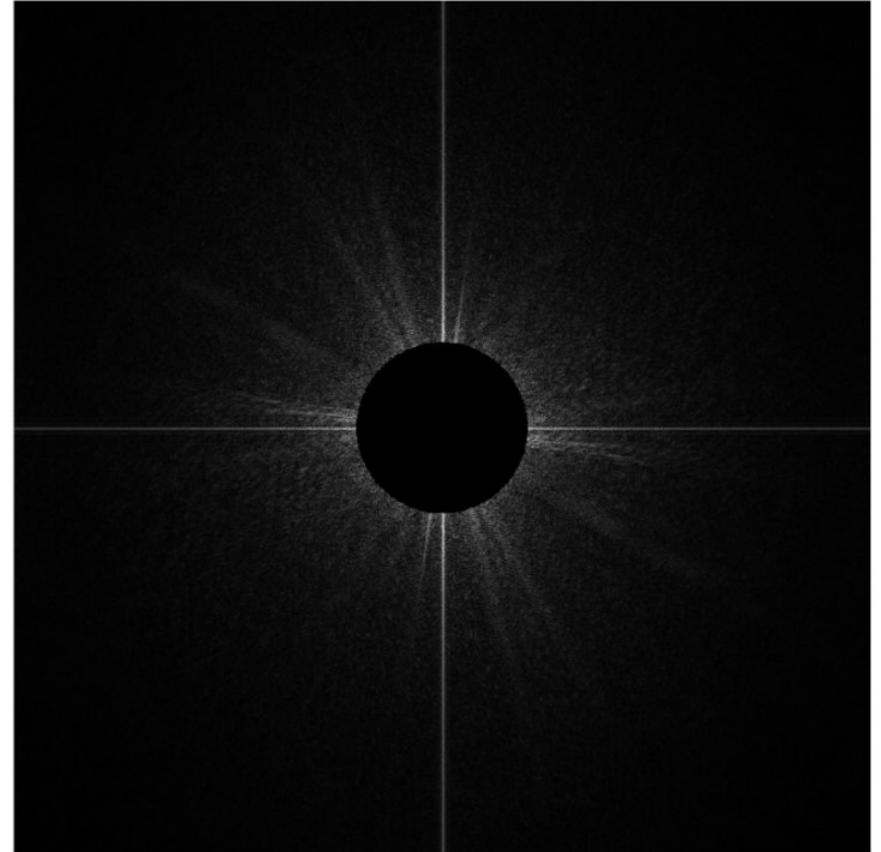


**Frequency domain**  
(after band-pass filter)

# High frequencies (edges)

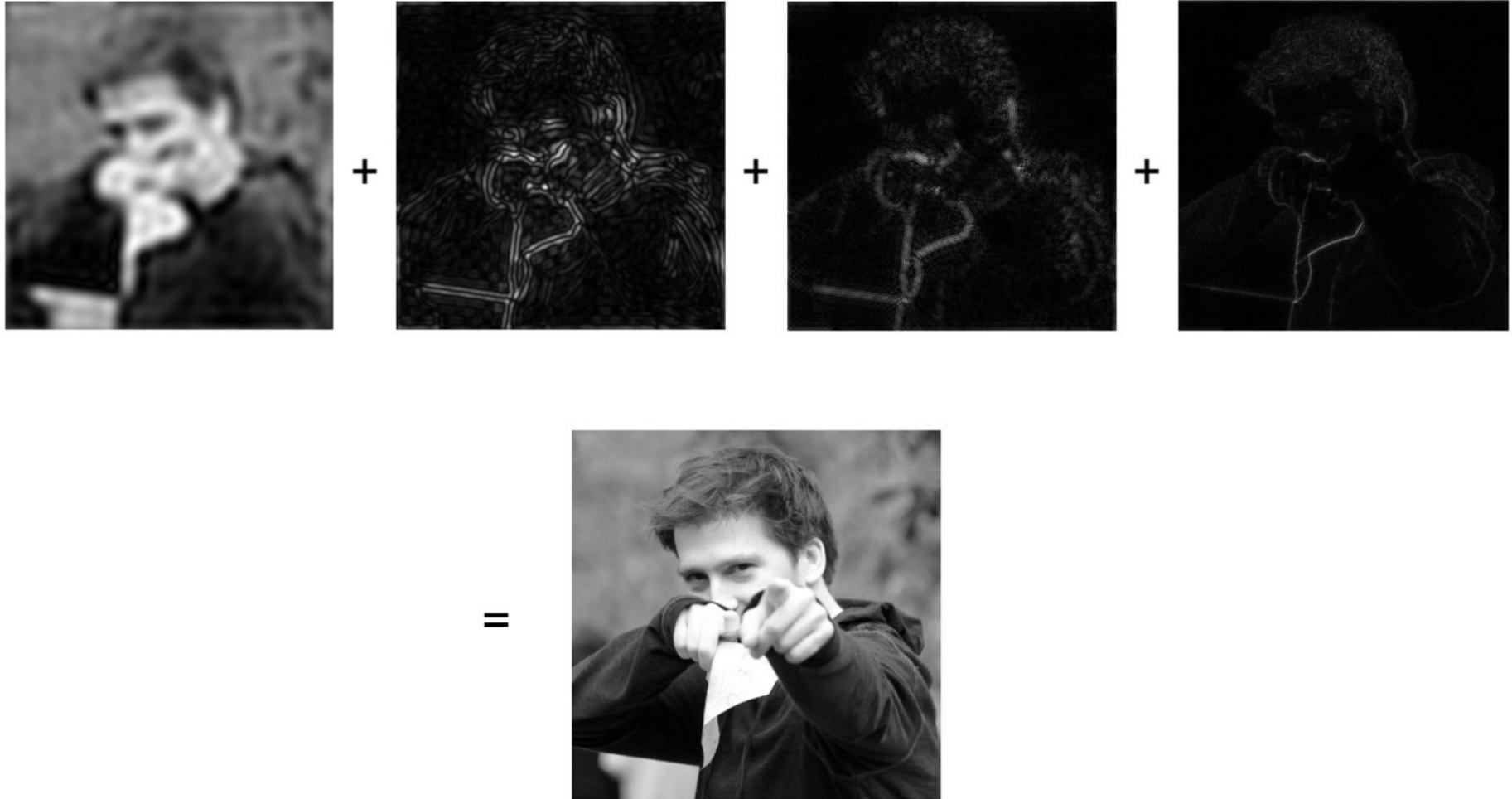


**Spatial domain**  
(strongest edges)



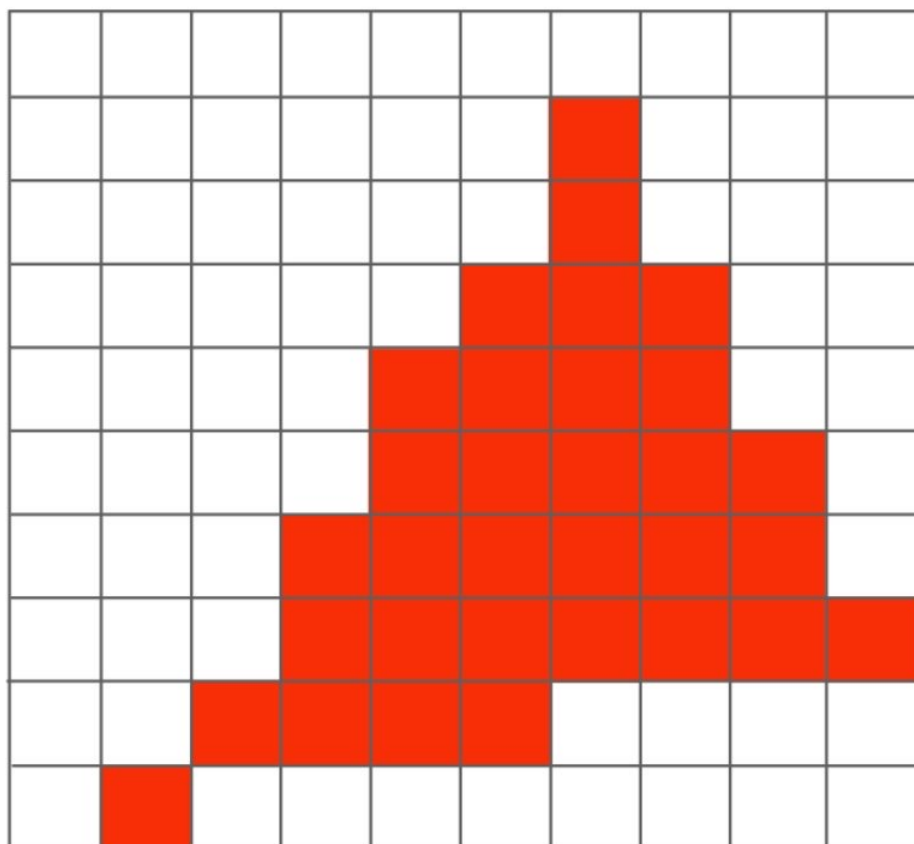
**Frequency domain**  
(after high-pass filter)  
All frequencies below threshold have 0  
magnitude

# An image as a sum of its frequency components



# Pre-filtering for anti-aliasing

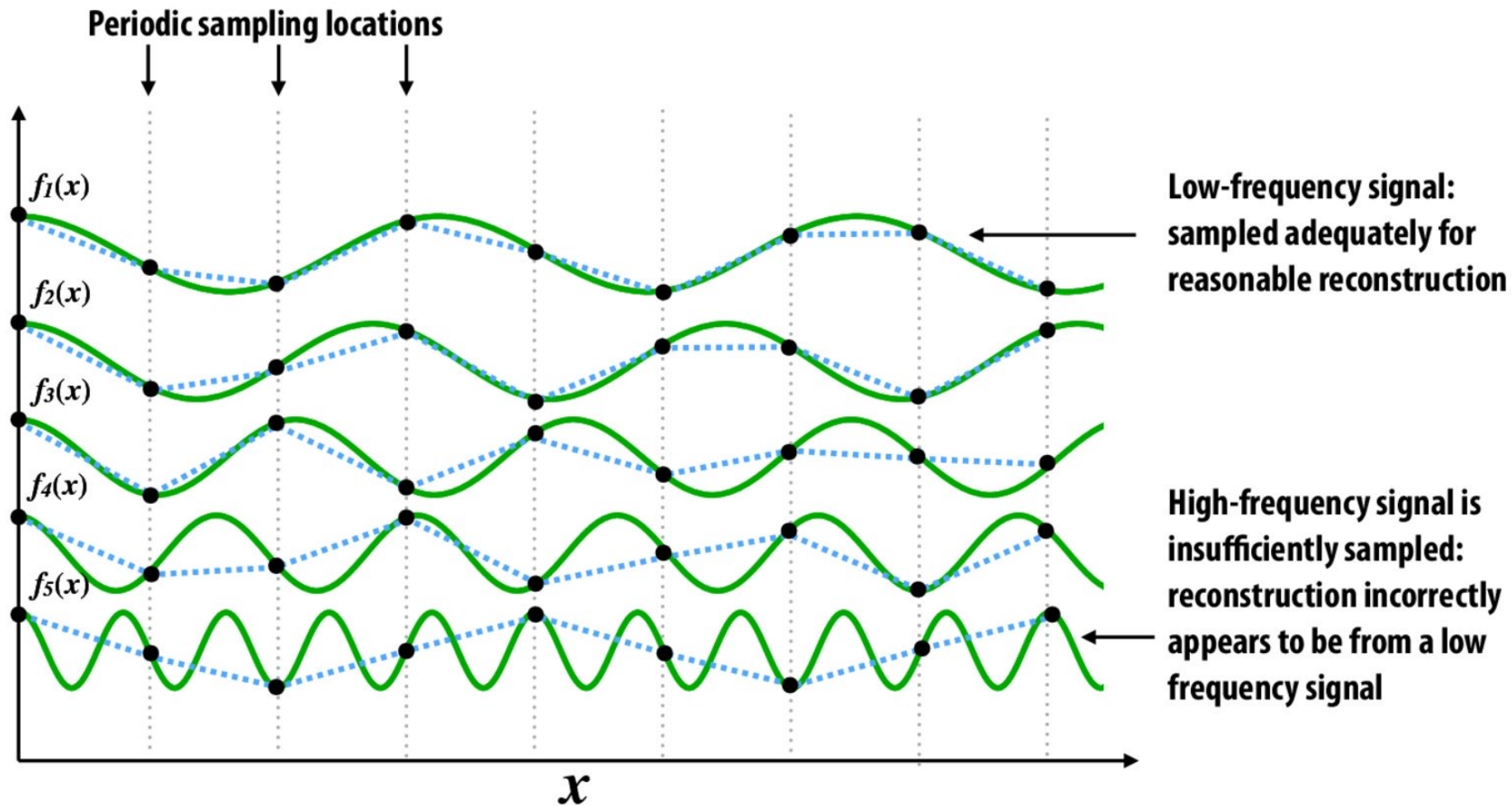
# Back to our problem of artifacts in images



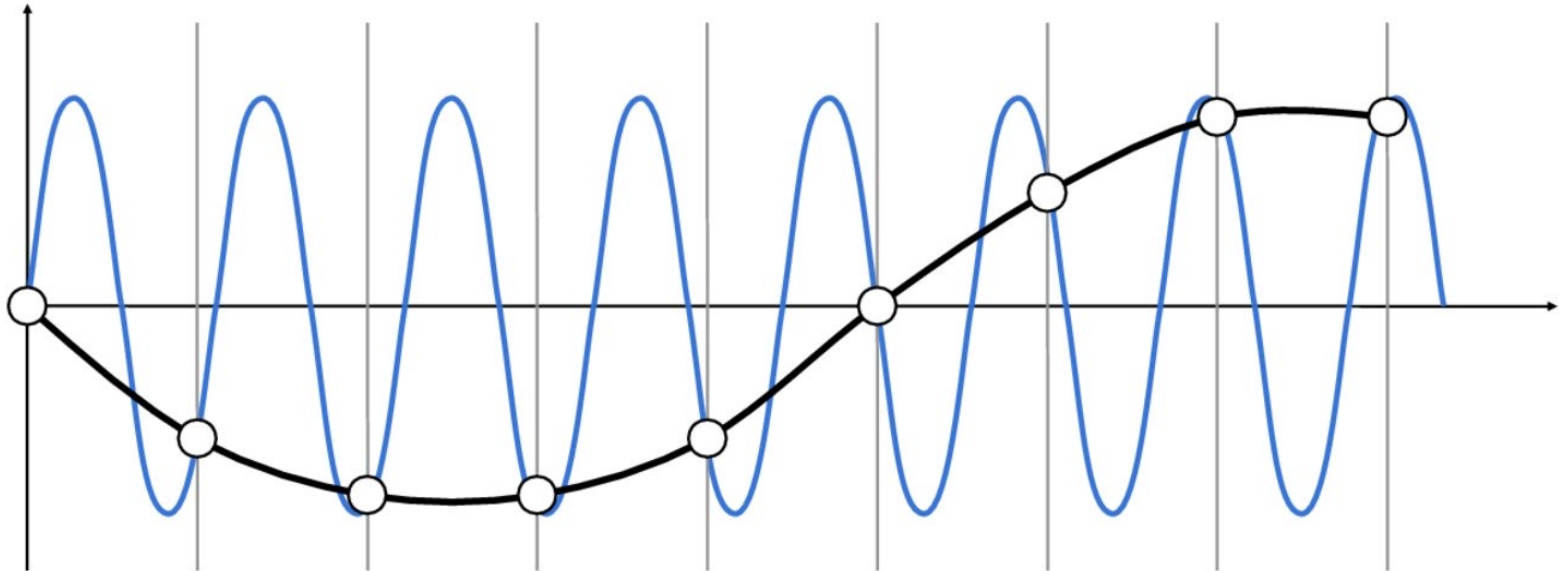
**Jaggies!**



# Higher frequencies need denser sampling



# Undersampling creates frequency “aliases”

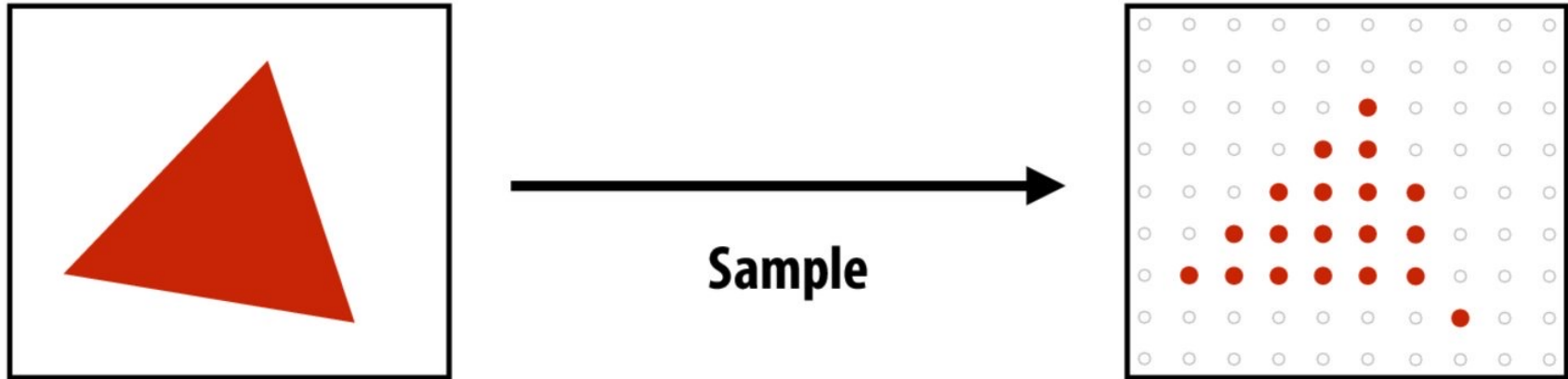


**High-frequency signal is insufficiently sampled: samples erroneously appear to be from a low-frequency signal**

**Two frequencies that are indistinguishable at a given sampling rate are called “aliases”**

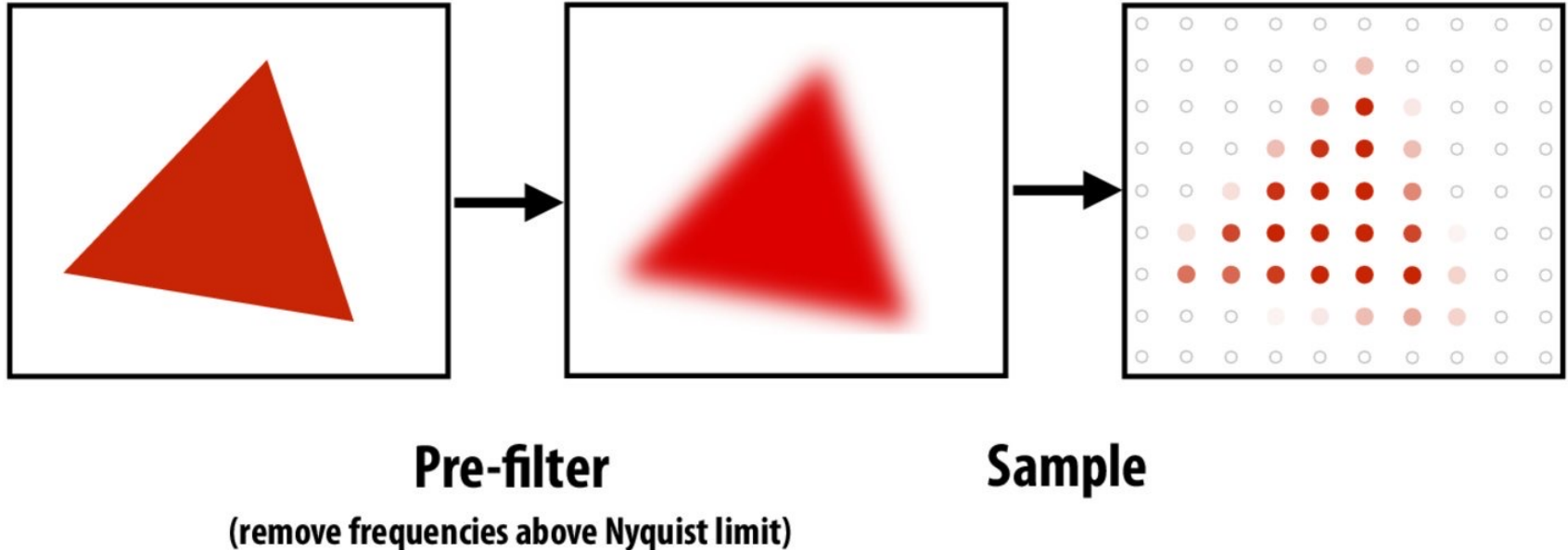
**Anti-aliasing idea: filter out high frequencies before sampling**

# Rasterization: point sampling in 2D space



**Note jaggies in rasterized triangle  
(pixel values are either red or white: sample is in or out of triangle)**

# Rasterization: anti-aliased sampling



**Note anti-aliased edges of rasterized triangle:  
where pixel values take intermediate values**

**How much pre-filtering do we need to  
avoid aliasing?**



# Nyquist theorem

**Theorem: We get no aliasing from frequencies in the signal that are less than the Nyquist frequency (which is defined as half the sampling frequency)**

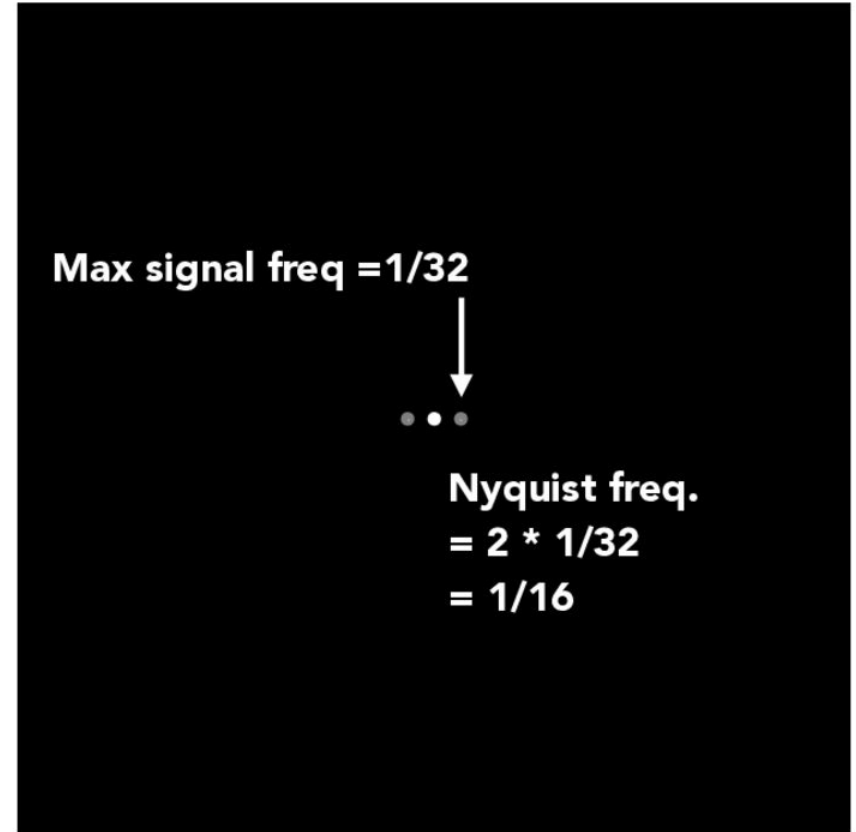
**Consequence: sampling at twice the highest frequency in the signal will eliminate aliasing**

# Signal vs Nyquist frequency: example

$\sin(2\pi/32)x$  — frequency  $1/32$ ; 32 pixels per cycle



**Spatial domain**

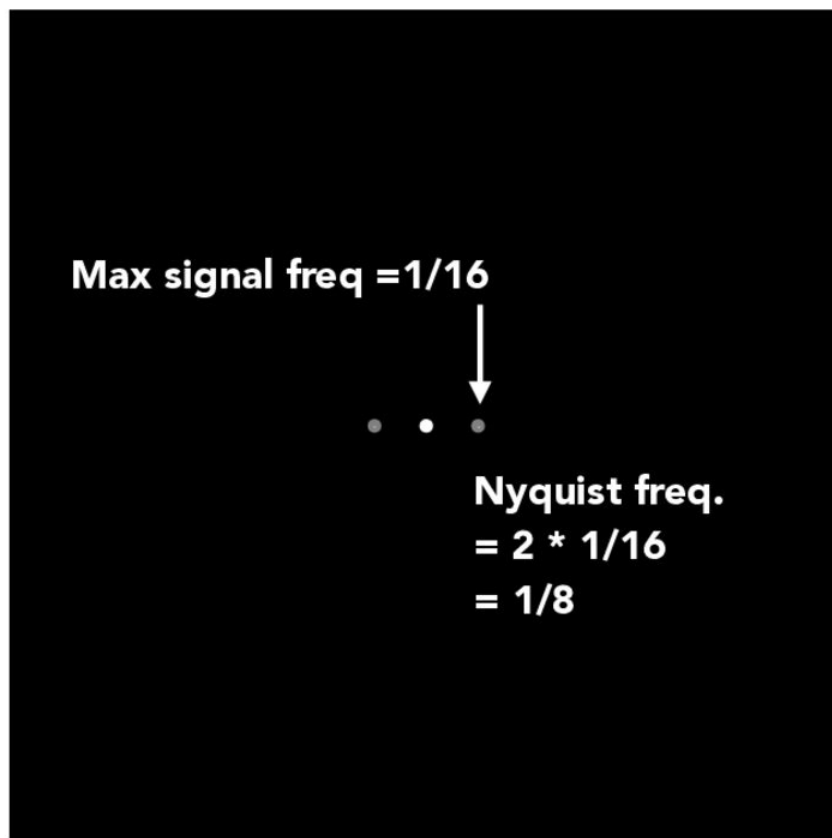
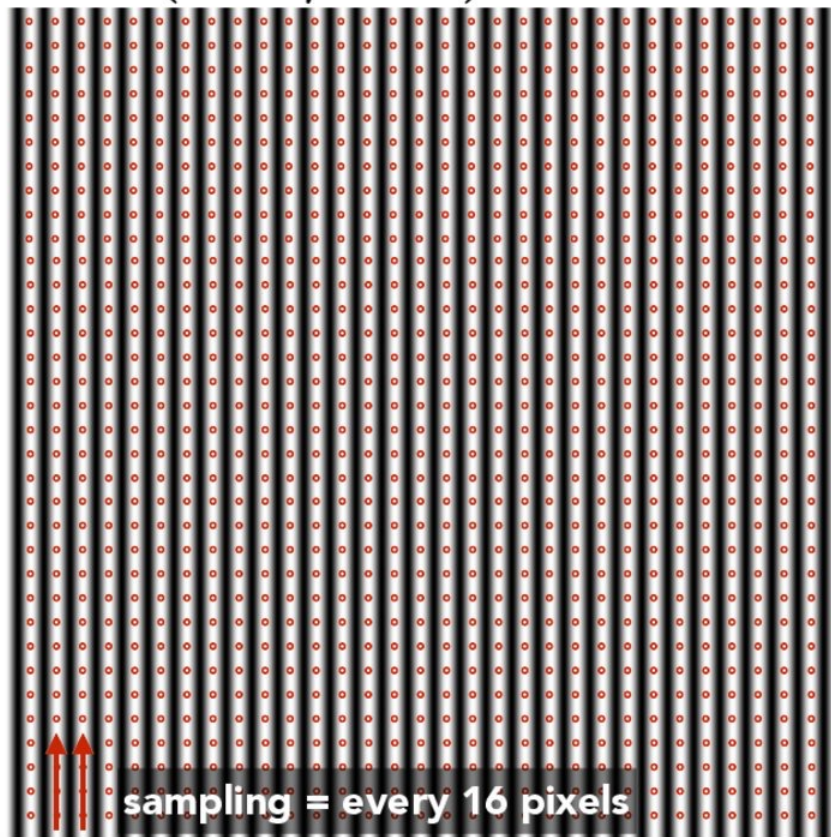


**Frequency domain**

**No Aliasing!**

# Signal vs Nyquist frequency: example

$\sin(2\pi/16)x$  — frequency 1/16; 16 pixels per cycle

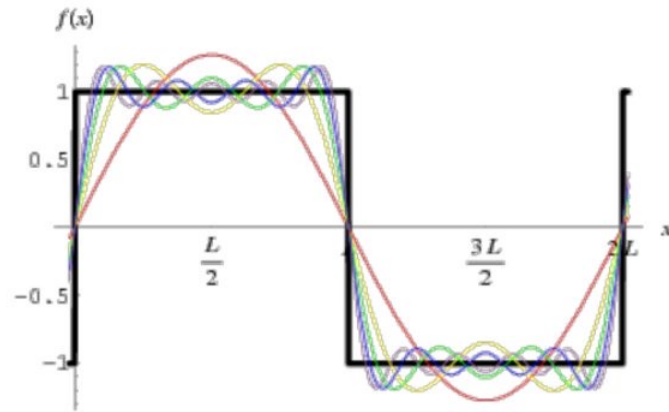
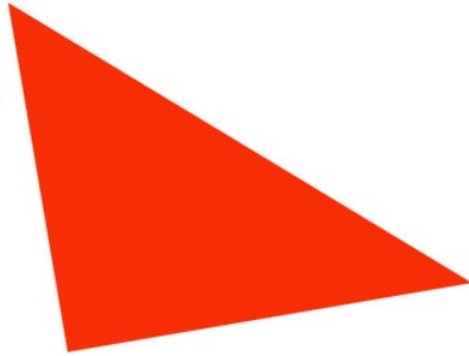


**Aliasing! (due to undersampling)**

# Challenges of sampling-based approaches in graphics

- Our signals are not always band-limited in computer graphics.  
Why?

Hint:

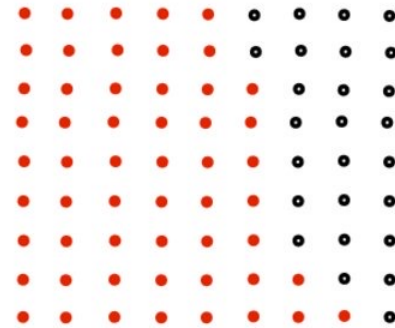


# Convolution

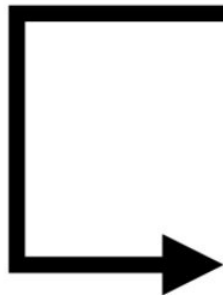
# Recall our anti-aliasing technique in the first half of lecture



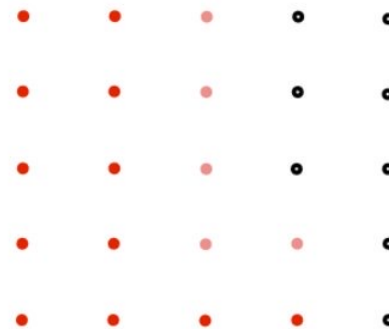
**Original signal**  
(high frequency edge)



**Dense sampling of signal**



**Reconstructed signal**  
(after averaging over pixel)



**Coarsely sampled signal**



# Convolution

Signal

1	3	5	3	7	1	3	8	6	4
---	---	---	---	---	---	---	---	---	---

Filter

1	2	1
---	---	---

# Convolution

Signal

1	3	5	3	7	1	3	8	6	4
---	---	---	---	---	---	---	---	---	---

Filter

1	2	1
---	---	---

$$1 \times 1 + 3 \times 2 + 5 \times 1 = 12$$

Result

12									
----	--	--	--	--	--	--	--	--	--

# Convolution

Signal

1	3	5	3	7	1	3	8	6	4
---	---	---	---	---	---	---	---	---	---

Filter

1	2	1
---	---	---

$$3 \times 1 + 5 \times 2 + 3 \times 1 = 16$$

Result

12	16								
----	----	--	--	--	--	--	--	--	--

# Convolution

Signal

1	3	5	3	7	1	3	8	6	4
---	---	---	---	---	---	---	---	---	---

Filter

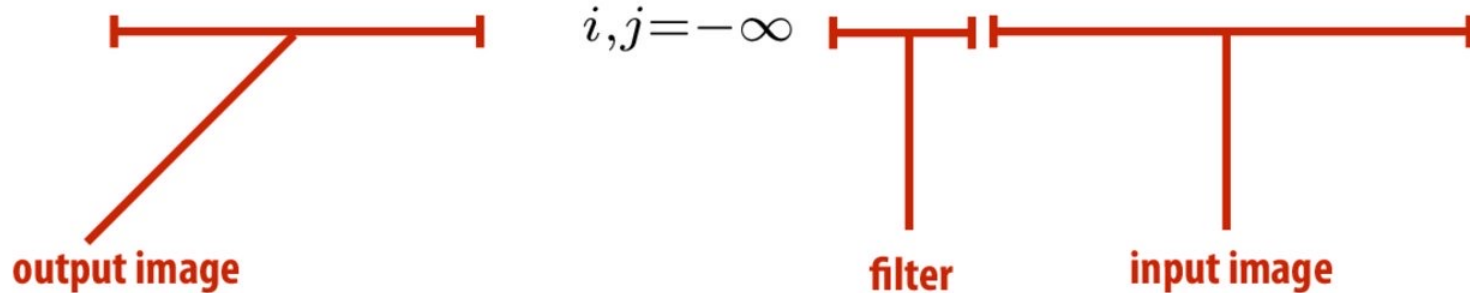
1	2	1
---	---	---

$$5 \times 1 + 3 \times 2 + 7 \times 1 = 18$$

Result

12	16	18							
----	----	----	--	--	--	--	--	--	--

# Discrete 2D convolution

$$(f * g)(x, y) = \sum_{i, j = -\infty}^{\infty} f(i, j) I(x - i, y - j)$$


Consider  $f(i, j)$  that is nonzero only when:  $-1 \leq i, j \leq 1$

Then:

$$(f * g)(x, y) = \sum_{i, j = -1}^1 f(i, j) I(x - i, y - j)$$

And we can represent  $f(i, j)$  as a 3x3 matrix of values where:

$$f(i, j) = \mathbf{F}_{i, j} \quad \text{(often called: "filter weights", "filter kernel")}$$

# Box filter (used in a 2D convolution)

$$\frac{1}{9}$$

1	1	1
1	1	1
1	1	1

**Example: 3x3 box filter**

# 2D convolution with box filter blurs the image



**Original image**



**Blurred  
(convolve with box filter)**

**Hmm... this reminds me of a low-pass filter...**



# Convolution Theorem

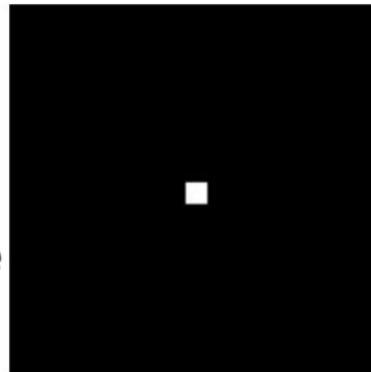
# Convolution theorem

Convolution in the spatial domain is equal to multiplication in the frequency domain, and vice versa

**Spatial  
Domain**



**\***  
convolve



**=**



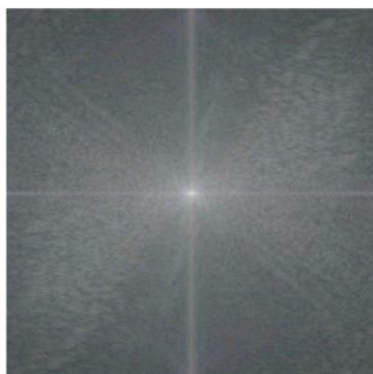
**Fourier  
Transform**



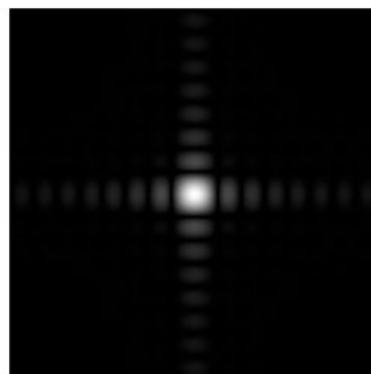
**Inv. Fourier  
Transform**



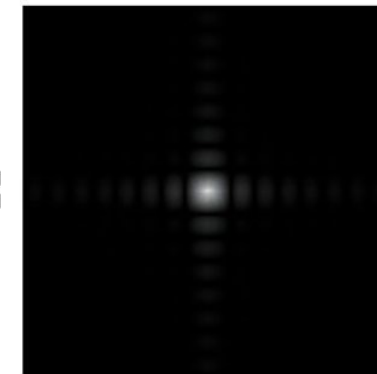
**Frequency  
Domain**



**x**



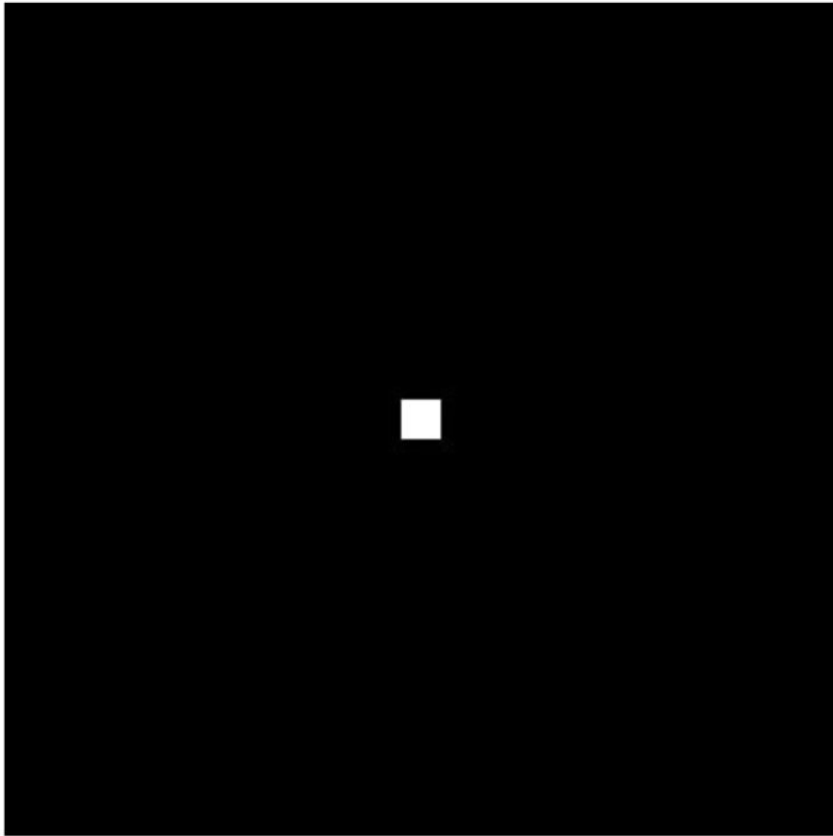
**=**



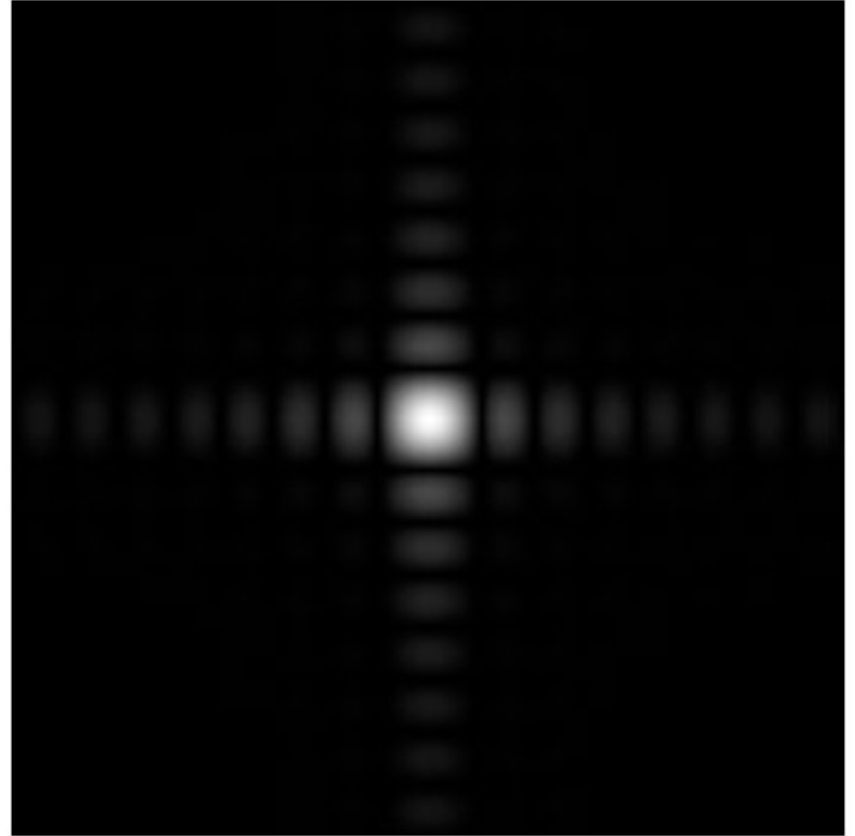
# Convolution theorem

- **Convolution in the spatial domain is equal to multiplication in the frequency domain, and vice versa**
- **Pre-filtering option 1:**
  - **Filter by convolution in the spatial domain**
- **Pre-filtering option 2:**
  - **Transform to frequency domain (Fourier transform)**
  - **Multiply by Fourier transform of convolution kernel**
  - **Transform back to spatial domain (inverse Fourier)**

# Box function = “low pass” filter

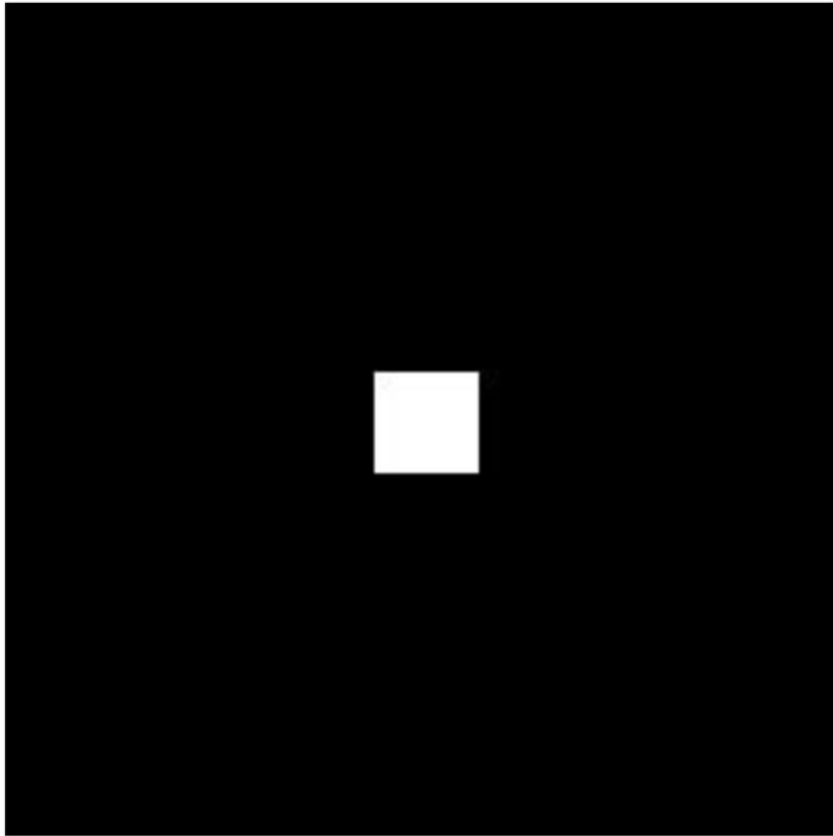


**Spatial domain**

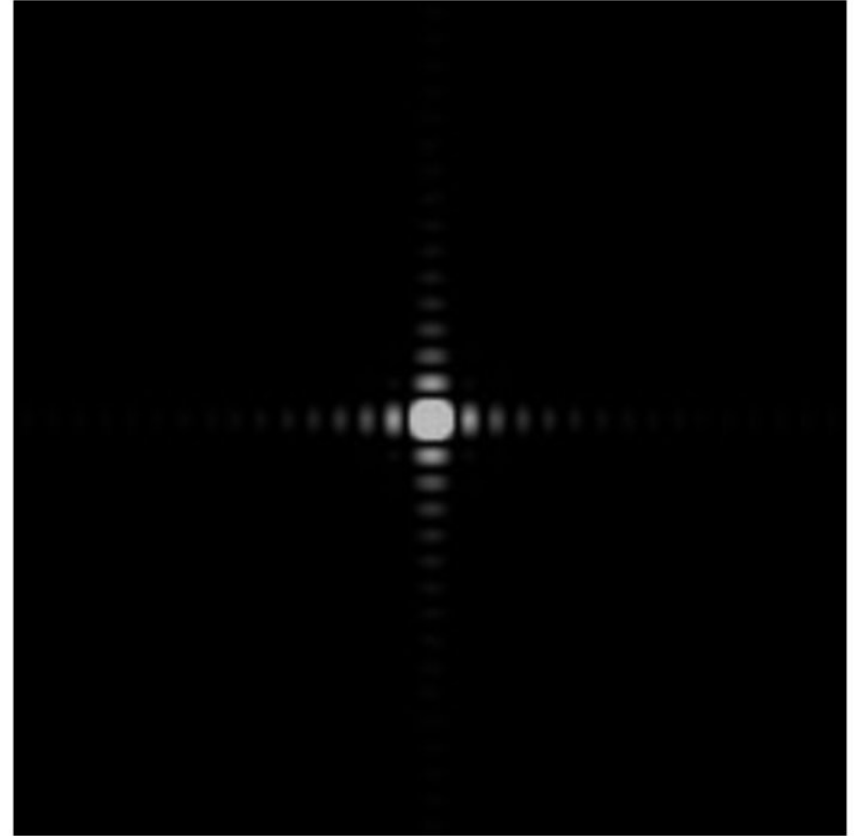


**Frequency domain**

# Wider filter kernel = lower frequencies



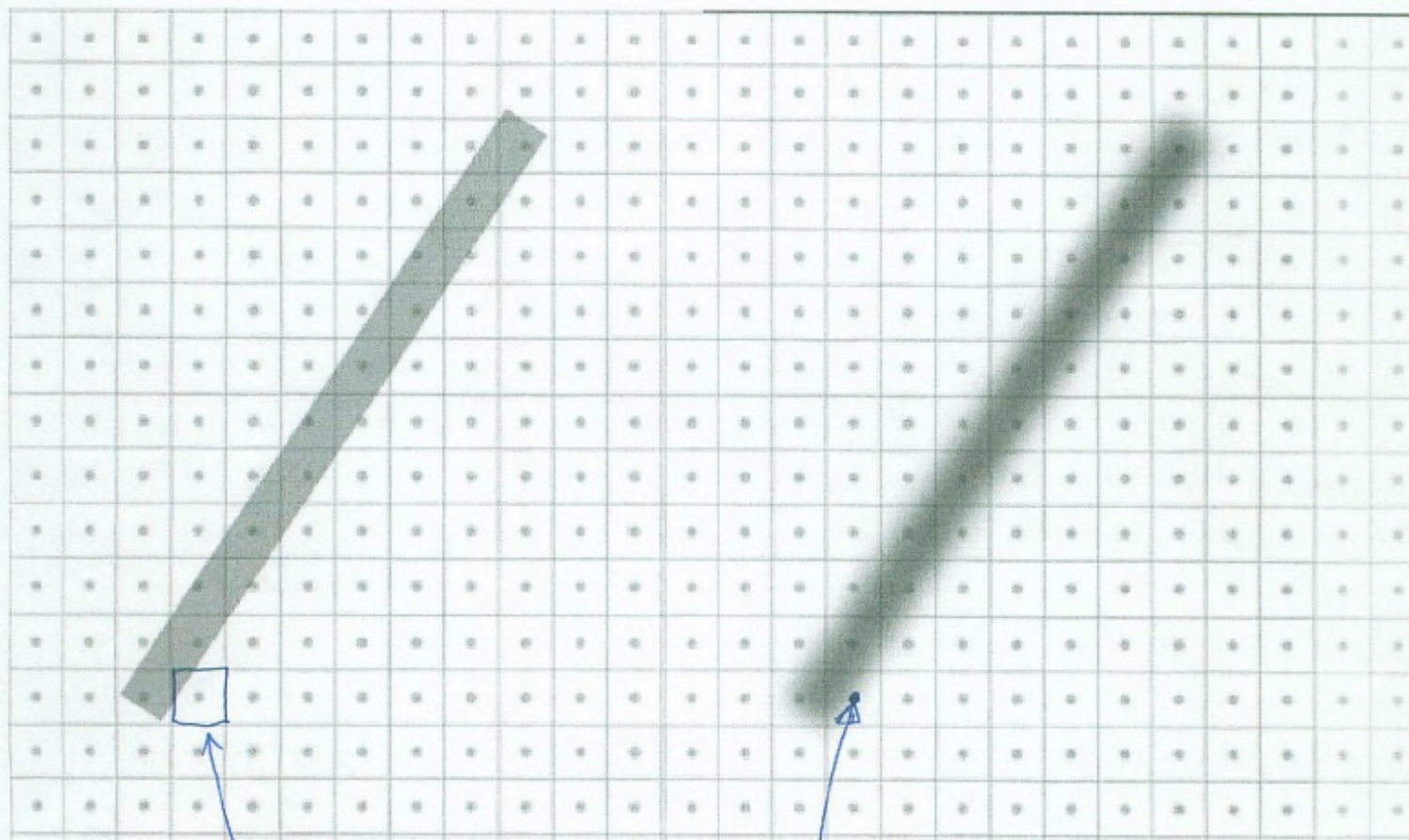
**Spatial domain**



**Frequency domain**

# How can we reduce aliasing error?

- **Increase sampling rate (increase Nyquist frequency)**
  - **Higher resolution displays, sensors, framebuffers...**
  - **But: costly and may need very high resolution to sufficiently reduce aliasing**
- **Anti-aliasing**
  - **Simple idea: remove (or reduce) signal frequencies above the Nyquist frequency before sampling**
  - **How to filter out high frequencies before sampling?**

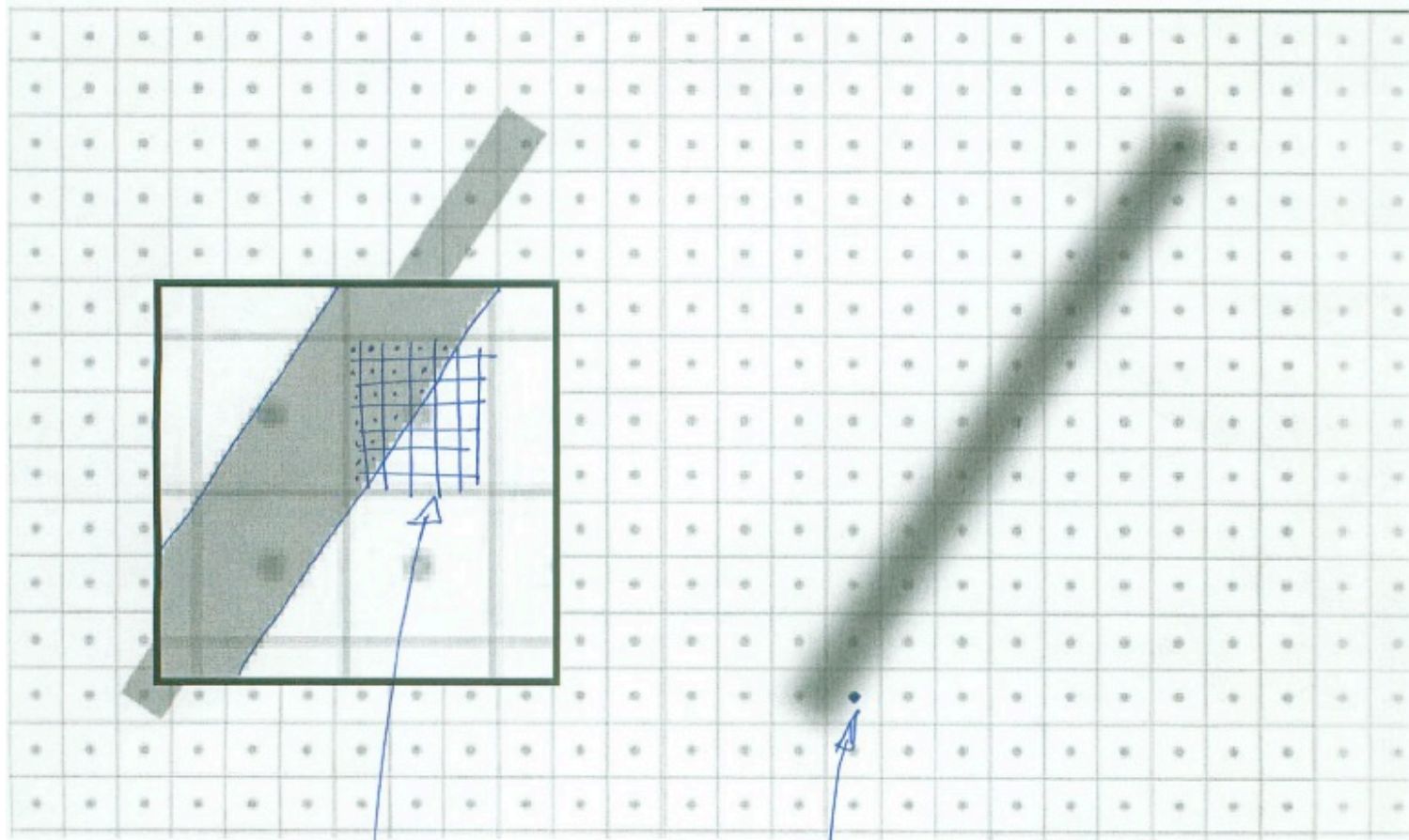


$\Sigma$  everything in the box

original line  $\otimes$  box filter

same thing





discrete approximation  
to integral

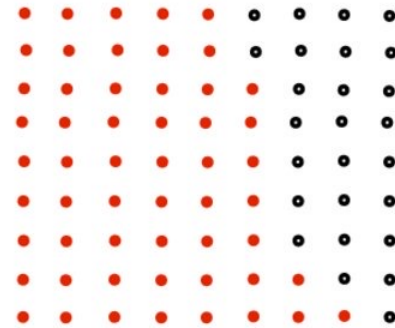
"supersample" at "subpixel"  
locations and sum to  
get value of normal sample

continuous case is analytic  
convolution of box filter

# Putting it all together: anti-aliasing via supersampling



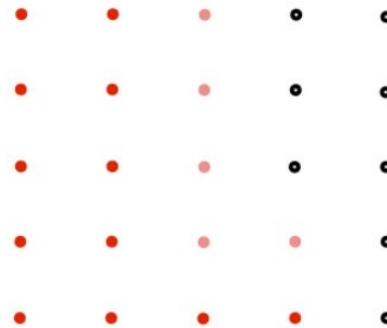
**Original signal**  
(with high frequency edge)



**Dense sampling of signal**  
(supersampling)



**Reconstructed signal**  
(averaging over pixel (via convolution) yields  
new signal with high frequencies removed)



**Coarse sampling of  
reconstructed signal exhibits  
less aliasing**

**Why so complicated?**

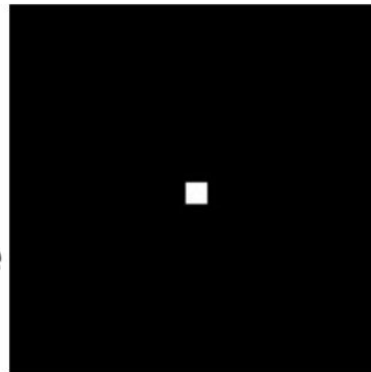
# Convolution theorem

Convolution in the spatial domain is equal to multiplication in the frequency domain, and vice versa

Spatial  
Domain



$*$   
convolve



=



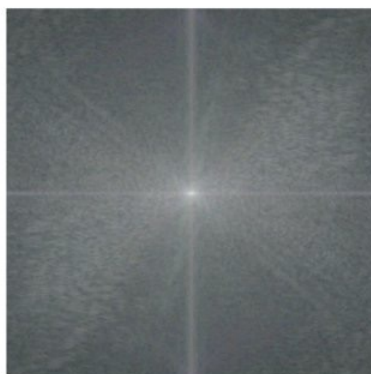
Fourier  
Transform



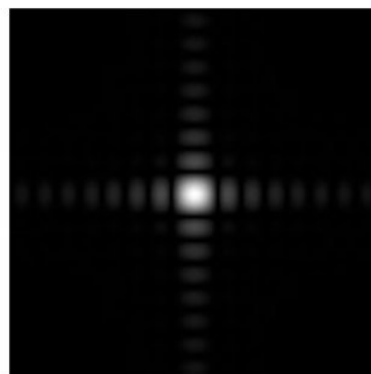
Inv. Fourier  
Transform



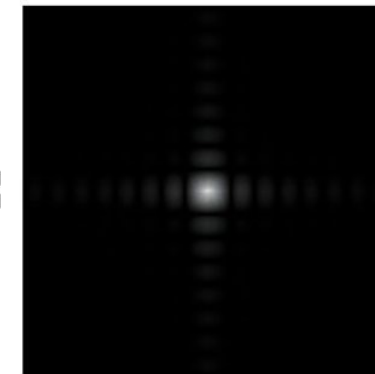
Frequency  
Domain



$\times$



=



# Convolution theorem

Convolution in the spatial domain is equal to multiplication in the frequency domain, and vice versa

**Spatial  
Domain**



**\***  
convolve

$\frac{1}{9}$

1	1	1
1	1	1
1	1	1

Example: 3x3 box filter

**=**



**Fourier  
Transform**



**Inv. Fourier  
Transform**



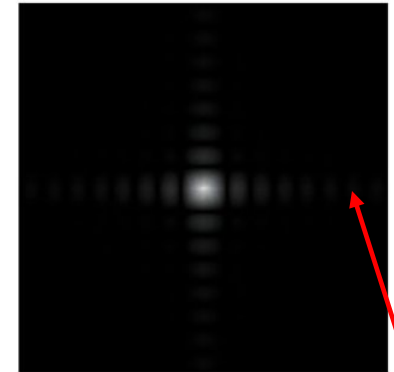
**Frequency  
Domain**



**x**



**=**

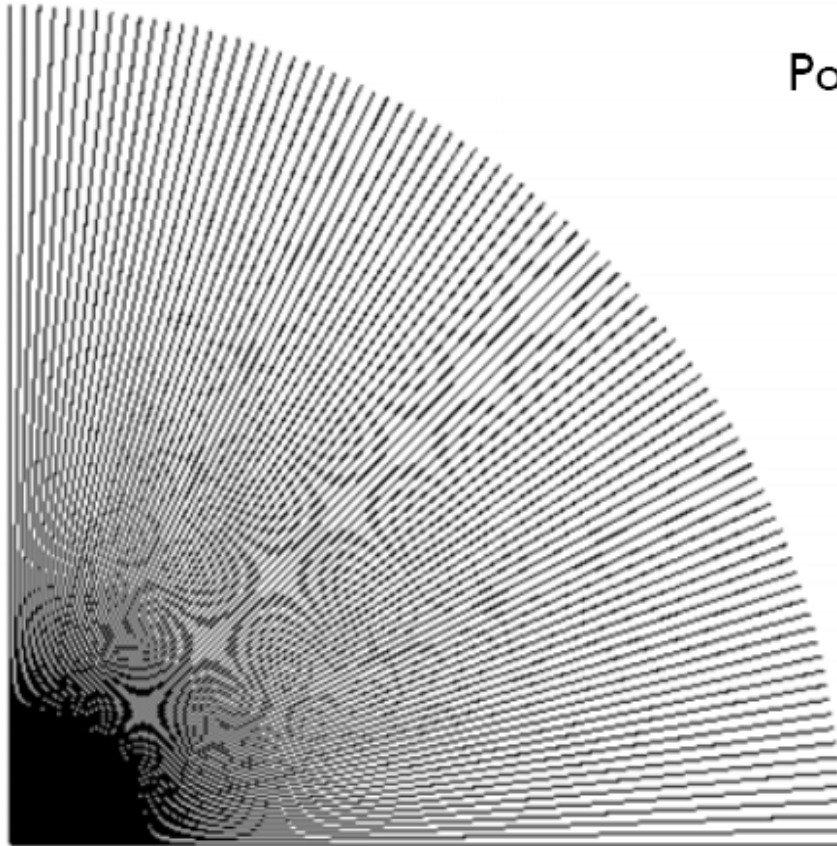


*Infinite extent*

Sinc filter

*High frequency not really gone*

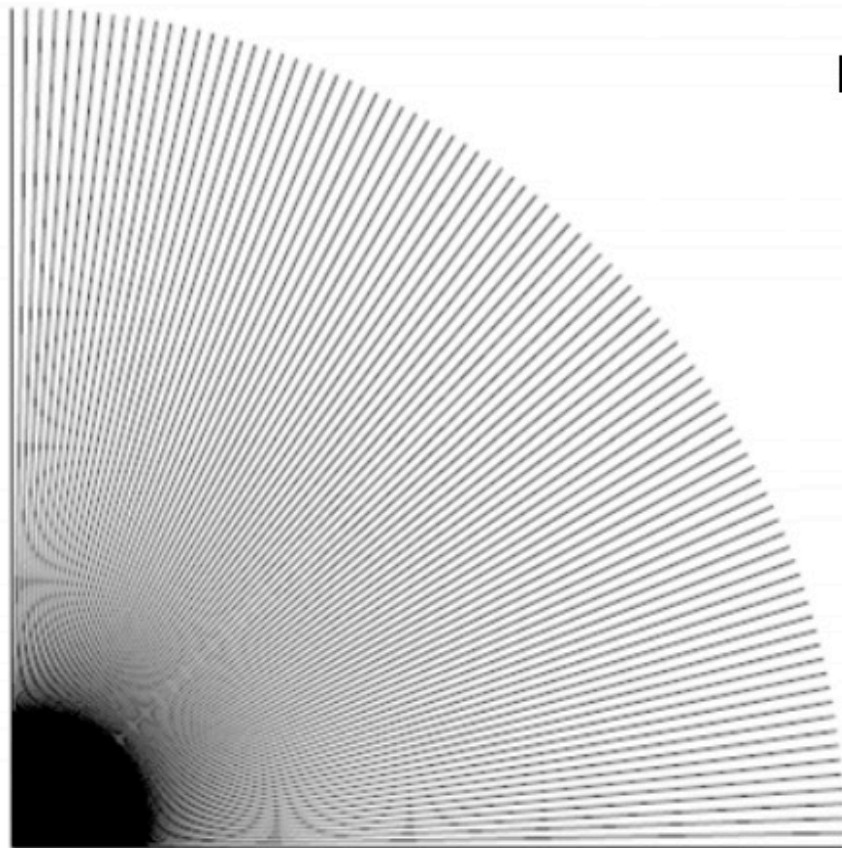
## Point sampling in action





How do I get rid of the rest of these artifacts?

Go learn more about signal processing. It's a major tool in your mental toolbox.



Box filtering  
in action

© 2004 Steve Marschner • 11



**Administrative**

# Due Dates

- Due Tomorrow
  - Quiz4
- Due next Monday
  - A4 (Lighting)

Q&A

End



**Not using slides below this year**



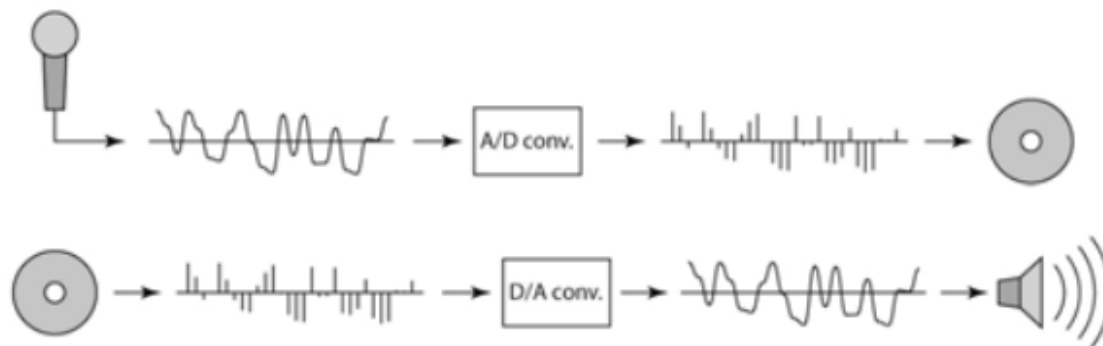


## Roots of sampling

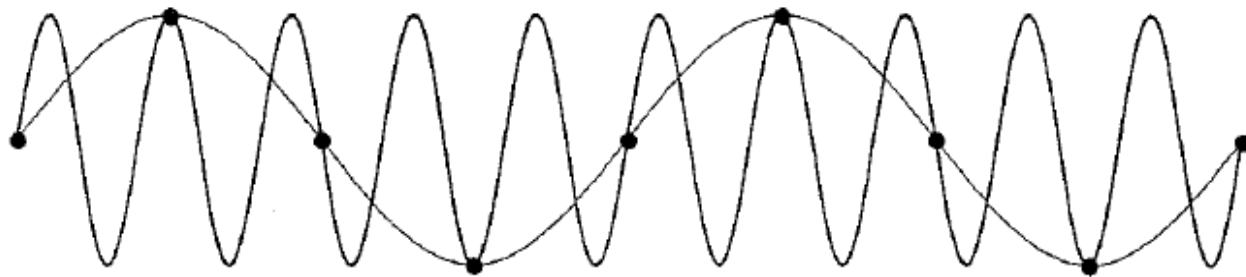
- Nyquist 1928; Shannon 1949
  - famous results in information theory
- 1940s: first practical uses in telecommunications
- 1960s: first digital audio systems
- 1970s: commercialization of digital audio
- 1982: introduction of the Compact Disc
  - the first high-profile consumer application
- This is why all the terminology has a communications or audio “flavor”
  - early applications are 1D; for us 2D (images) is important

## Sampling in digital audio

- Recording: sound to analog to samples to disc
- Playback: disc to samples to analog to sound again
  - how can we be sure we are filling in the gaps correctly?



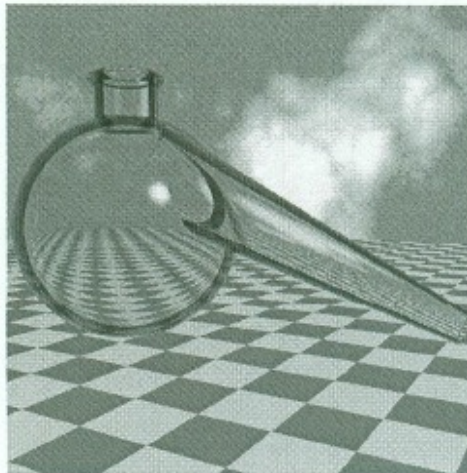
What if our samples missed something important?



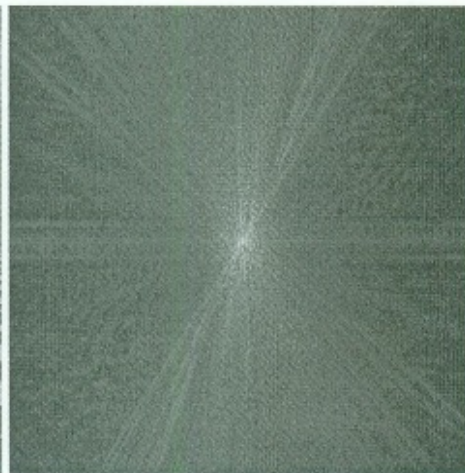
**Fig. 14.17** Sampling below the Nyquist rate. (Courtesy of George Wolberg, Columbia University.)

## Spatial and Frequency Domain

---



**Spatial Domain**



**Frequency Domain**

## Convolution and multiplication

- They are dual to one another under F.T.

$$\mathcal{F}\{f * g\}(u) = F(u)G(u)$$

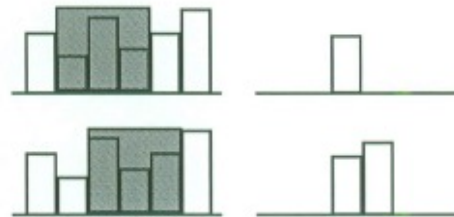
$$\mathcal{F}\{fg\}(u) = (F * G)(u)$$

- Lowpass filters
  - Most of our “blurring” filters have most of their F.T. at low frequencies
  - Therefore they attenuate higher frequencies

## Filtering: Spatial Domain

---

### Filtering



### Convolution of two functions

$$h(x) = f \otimes g = \int f(y)g(x - y) dy$$

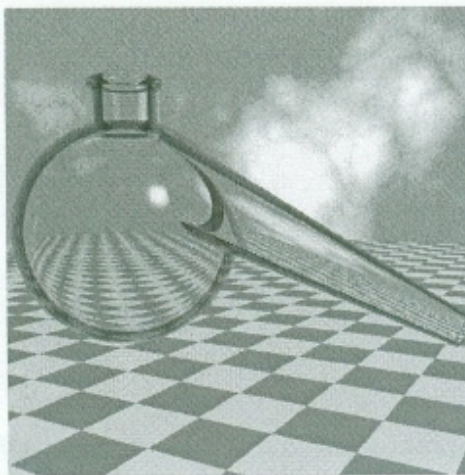
## Filtering: Frequency Domain

---

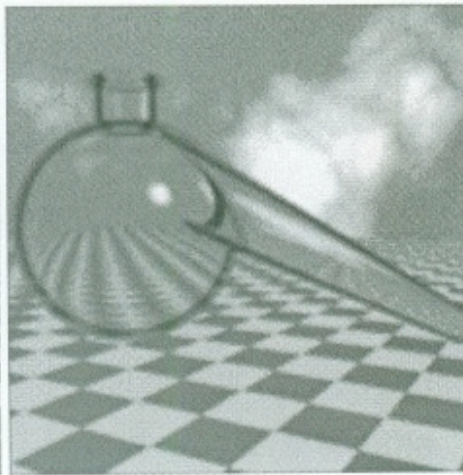


## Low-Pass Filter

---



**Original**

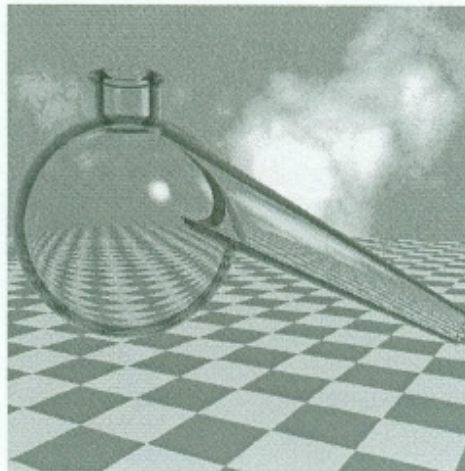


**Blurred**

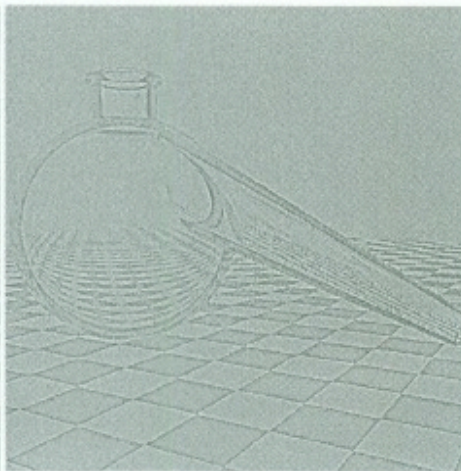


## High-Pass Filter

---

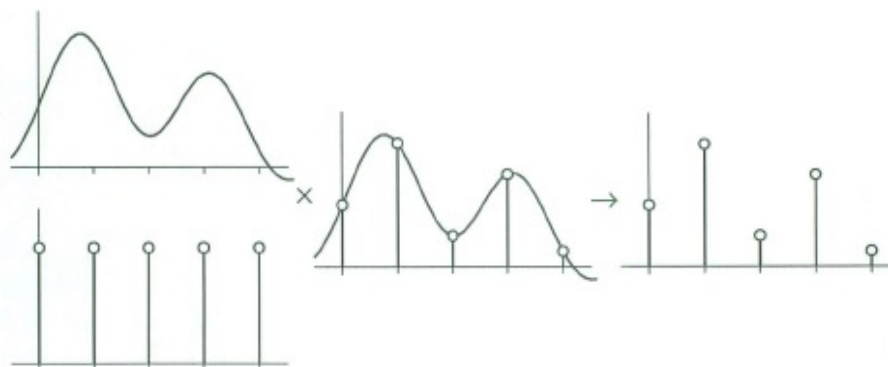


Original

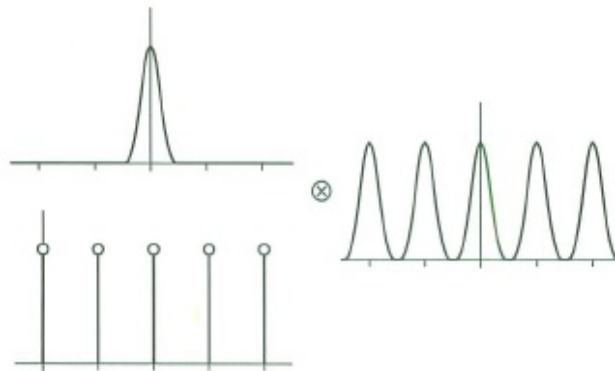


Edge enhancement

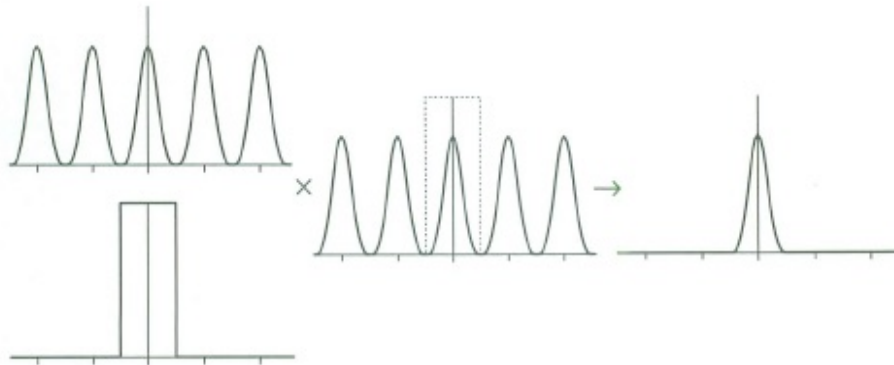
## Sampling: Spatial Domain



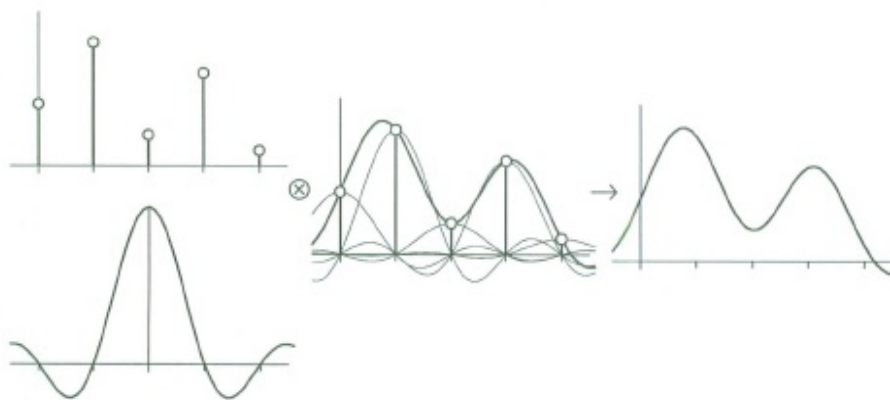
## Sampling: Frequency Domain



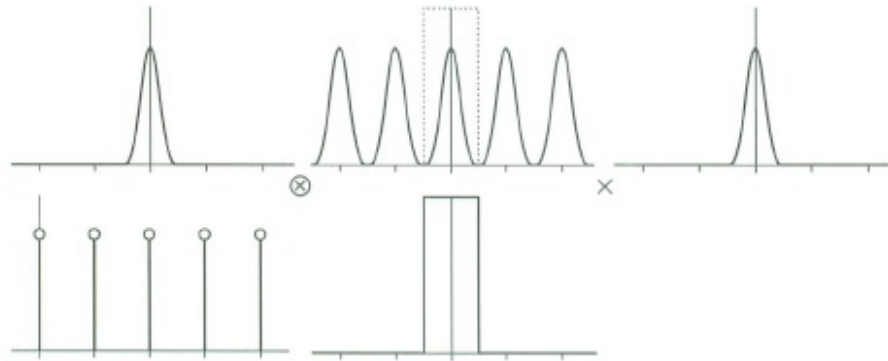
## Reconstruction: Frequency Domain

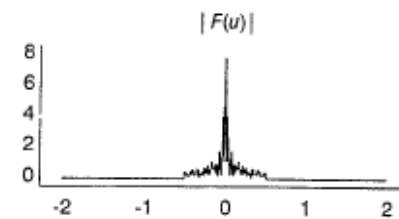
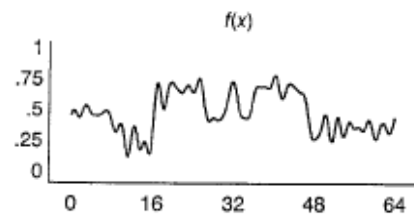


## Reconstruction: Spatial Domain

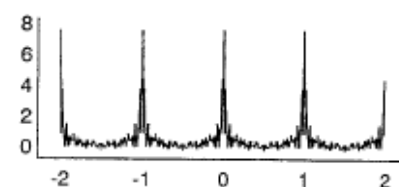
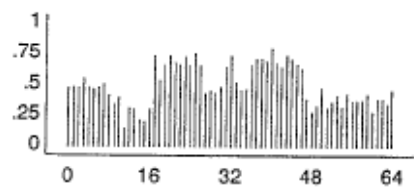


## Sampling and Reconstruction

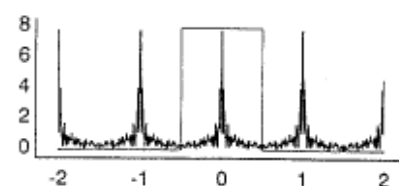
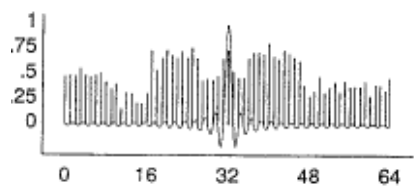




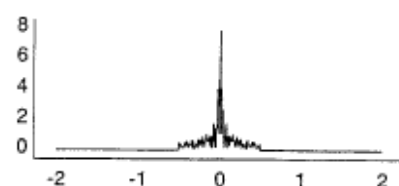
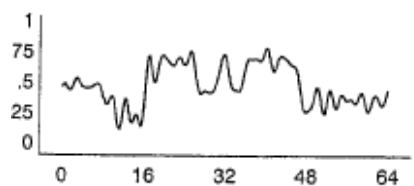
(a)



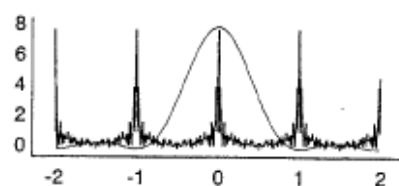
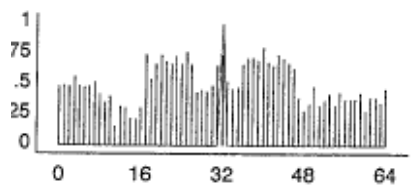
(b)



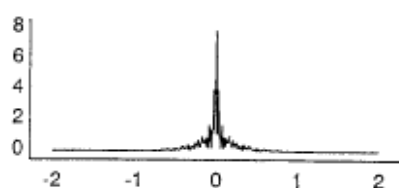
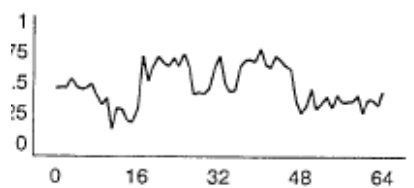
(c)



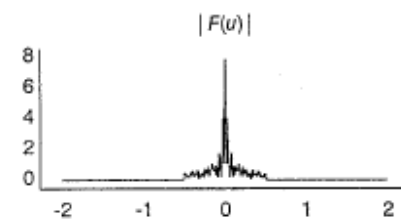
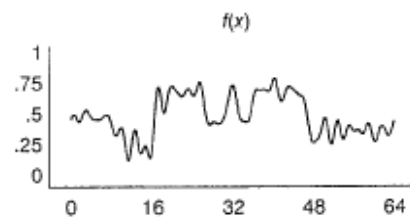
(d)



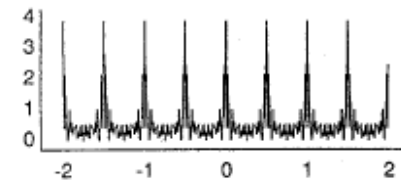
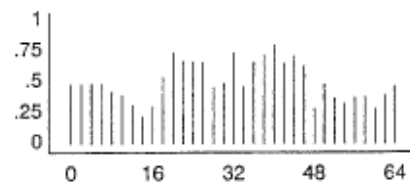
(e)



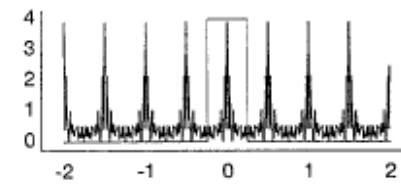
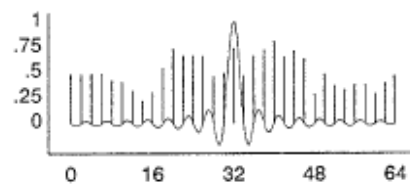
(f)



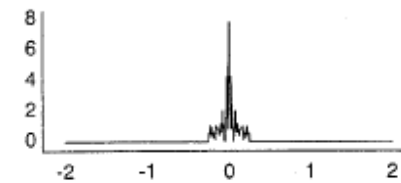
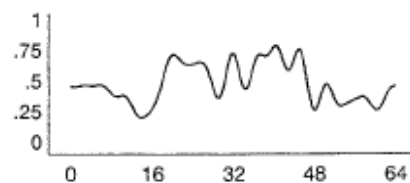
(a)



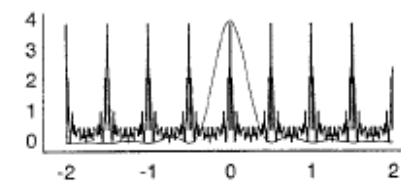
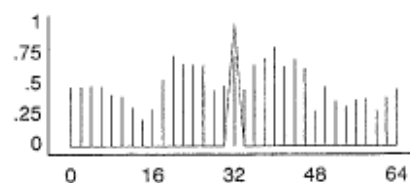
(b)



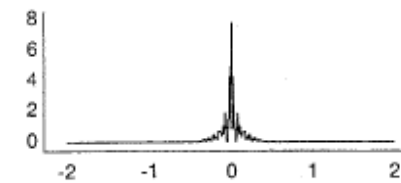
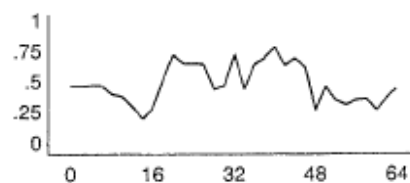
(c)



(d)



(e)



(f)



## Sampling Theorem

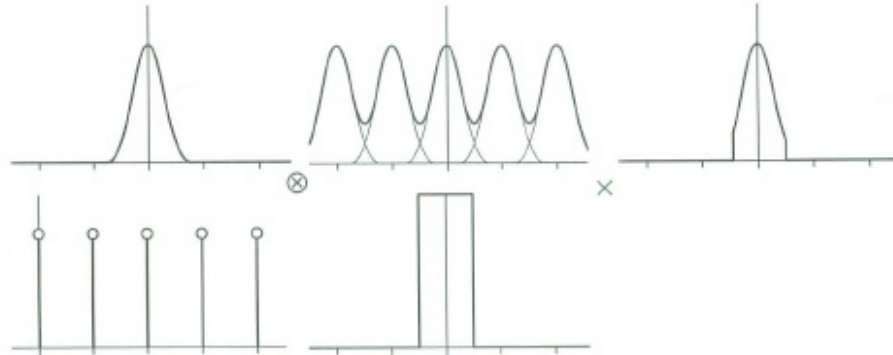
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This result is known as the *Sampling Theorem* and is due to Claude Shannon who first discovered it in 1949

*A signal can be reconstructed from its samples without loss of information, if the original signal has no frequencies above  $1/2$  the sampling frequency*

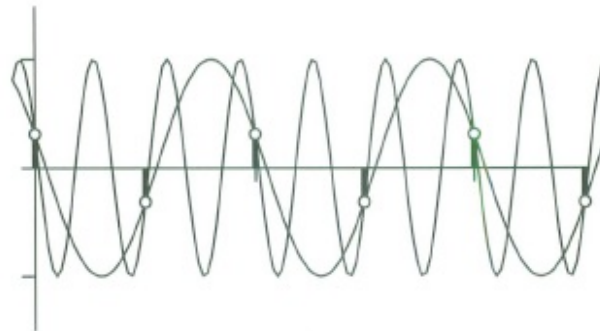
For a given bandlimited function, the rate at which it must be sampled is called the *Nyquist Frequency*

## Undersampling: Aliasing



## **“Aliases”**

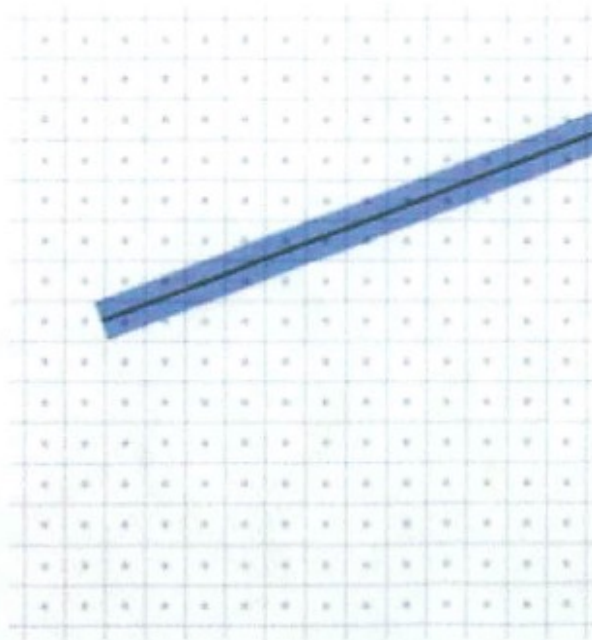
---



①

## Rasterizing lines

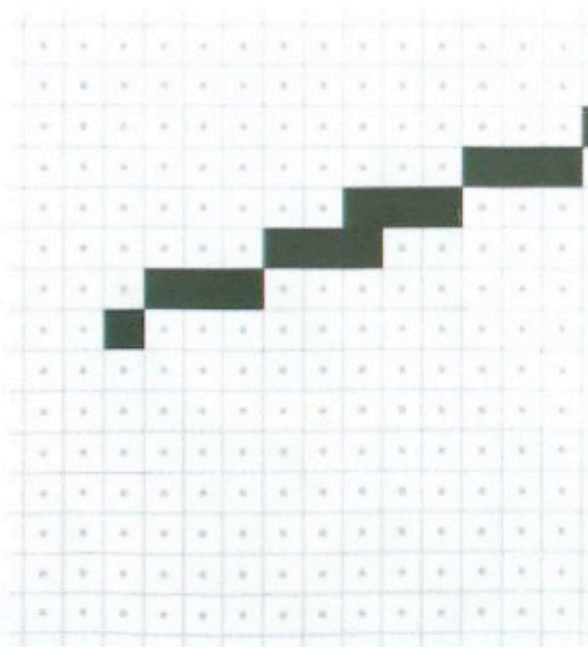
- Define line as a rectangle
- Specify by two endpoints
- Ideal image: black inside, white outside



②

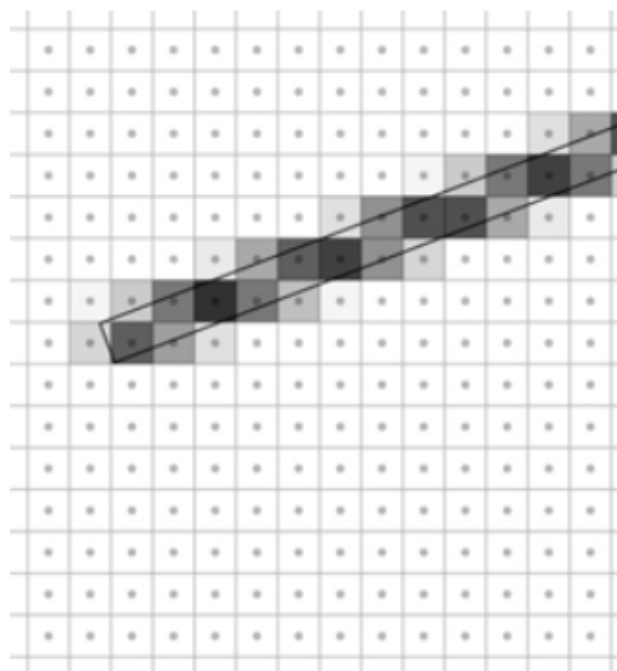
## Point sampling

- Approximate rectangle by drawing all pixels whose centers fall within the line
- Problem: all-or-nothing leads to jaggies
  - this is sampling with no filter (aka. point sampling)



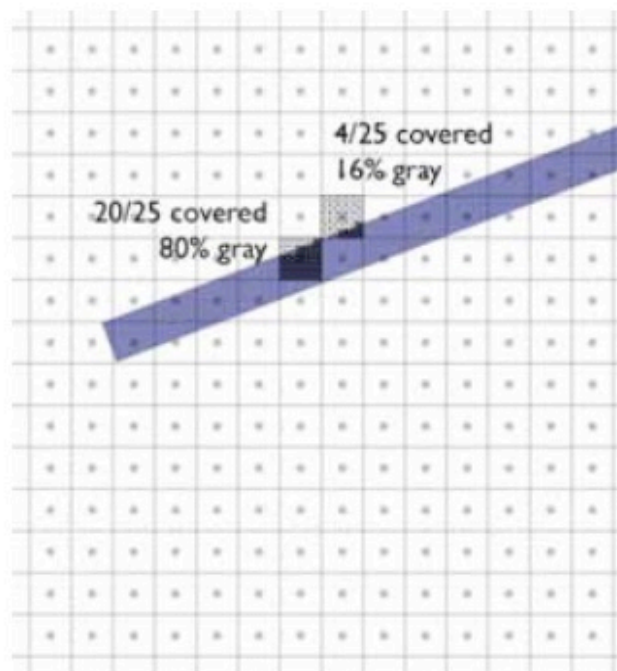
## Antialiasing

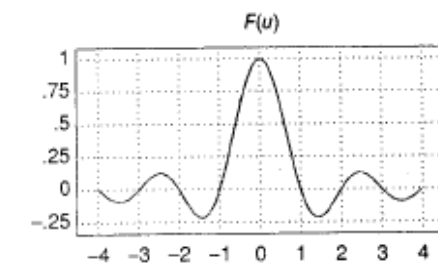
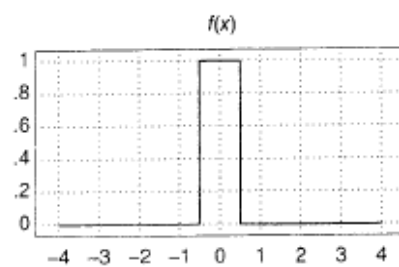
- Basic idea: replace “is the image black at the pixel center?” with “how much is pixel covered by black?”
- Replace yes/no question with quantitative question.



## Box filtering by supersampling

- Compute coverage fraction by counting subpixels
- Simple, accurate
- But slow



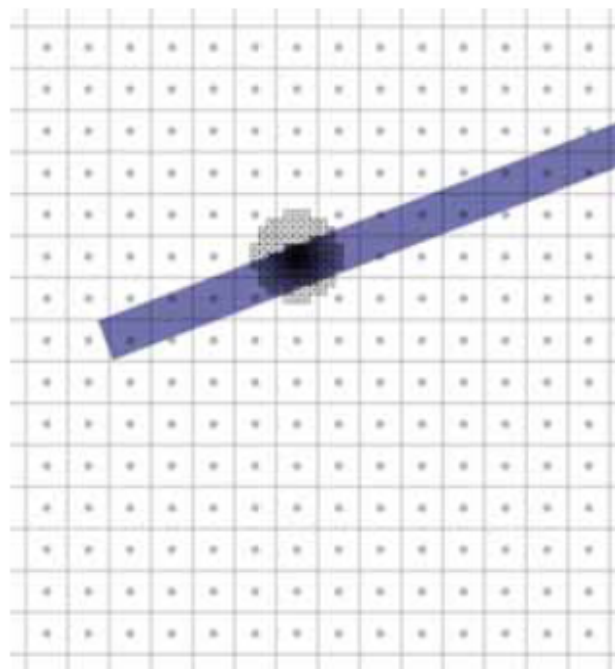


(a)

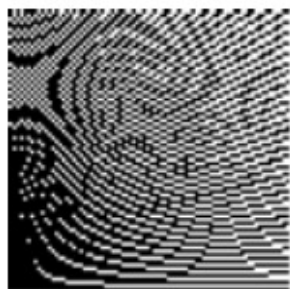


## Weighted filtering by supersampling

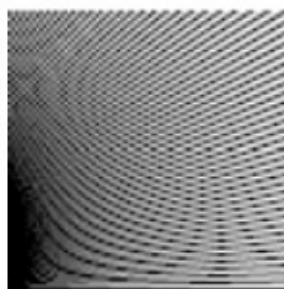
- Compute filtering integral by summing filter values for covered subpixels
- Simple, accurate
- But really slow



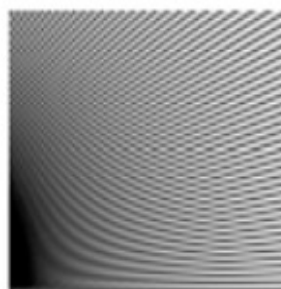
## Filter comparison



Point sampling

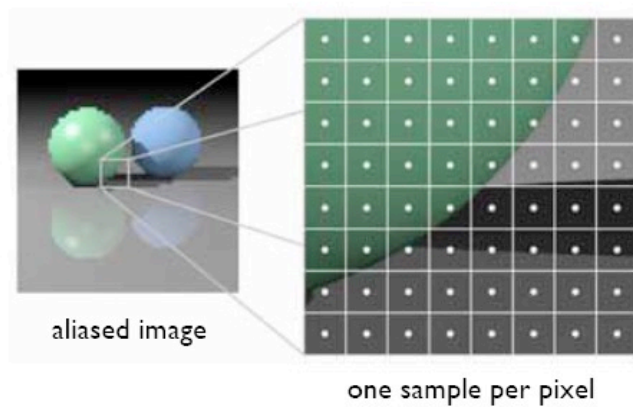


Box filtering



Gaussian filtering

## Antialiasing in ray tracing



## Antialiasing in ray tracing

